



Department of Energy

Washington, DC 20585

January 16, 2015

Dr. Thomas Mason
Laboratory Director
UT-Battelle
1201 Oak Ridge Turnpike
Suite 100
Oak Ridge, Tennessee 37830

Dear Dr. Mason:

This letter serves as notification of the Office of Enterprise Assessments' Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with the unexpected airborne contamination release and radiological uptake by seven individuals that occurred on August 25, 2014, during size reduction of uranium metal alloy items in Building 3525 at Oak Ridge National Laboratory. UT-Battelle reported potential noncompliances associated with this event into the Department of Energy's Noncompliance Tracking System under NTS-ORO--ORNL-X10BOPLANT-2014-0005, dated November 12, 2014.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. § 820.21, *Investigations*. The investigation may include a site visit (date to be determined) and interviews of contractor personnel. A request for documents to support the investigation will be sent separately via e-mail.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding are subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, any costs incurred by UT-Battelle that are directly attributable to supporting the Department of Energy's investigation, subsequent to receipt of this letter, should be tracked and segregated from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-7707 or your staff may contact Mr. Kevin Mattern, Office of Nuclear Safety Enforcement, at (301) 903-0883.

Sincerely,

A handwritten signature in blue ink that reads "Steven C. Simonson".

Steven C. Simonson
Director
Office of Enforcement
Office of Enterprise Assessments

cc: Johnny Moore, SC-OSO
Michele Branton, SC-OSO
Debbie Jenkins, UT-Battelle

