

# Nano-scale Composite Hetero-structures: Novel High Capacity Reversible Anodes for Lithium-ion Batteries

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2009 DOE Hydrogen Program and Vehicle Technologies Annual Merit Review and Peer Evaluation Meeting  
May 18-22, 2009



Project ID #: es\_30\_kumta

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# Overview

- **Timeline**

- Start: Sept 2007
- Finish: August 2008
- 100% complete

- **Budget**

- Total project funding
  - \$310K
- Funding received in FY07
  - \$150K
- Funding for FY08
  - \$160K

- **Present Systems**

- Gr/LiPF<sub>6</sub>+EC:DEC/LiNi<sub>1/3</sub>Mn<sub>1/3</sub>Co<sub>1/3</sub>O<sub>2</sub>
- Gr/LiPF<sub>6</sub>+EC:DEC/LiFePO<sub>4</sub>

- **Barriers**

- Low energy density
- Low specific capacity of graphite anode
- Large irreversible loss
- Poor cycle life

- **Targets**

- Improve the specific capacity of anode
- Low irreversible loss
- Improve the cycle life

- **Partners/Collaborators/Students**

- Dr. Jagjit Nanda, Ford Motor Company
- Dr. Robert Kostecki, LBNL
- Dr. Monikanchan Datta, Univ. of Pittsburgh
- Wei Wang, Univ. of Pittsburgh

# Objectives

- Identify new alternative anode materials to replace synthetic graphite that will provide higher gravimetric and volumetric energy density
- Similar or lower irreversible loss in comparison to synthetic graphite
- Similar or better cyclability in comparison to synthetic graphite
- Investigate Nano-structured Si based composite anodes
- Improve the specific capacity, rate capability and cycle life of nano-structured Si based anode materials

# Milestones

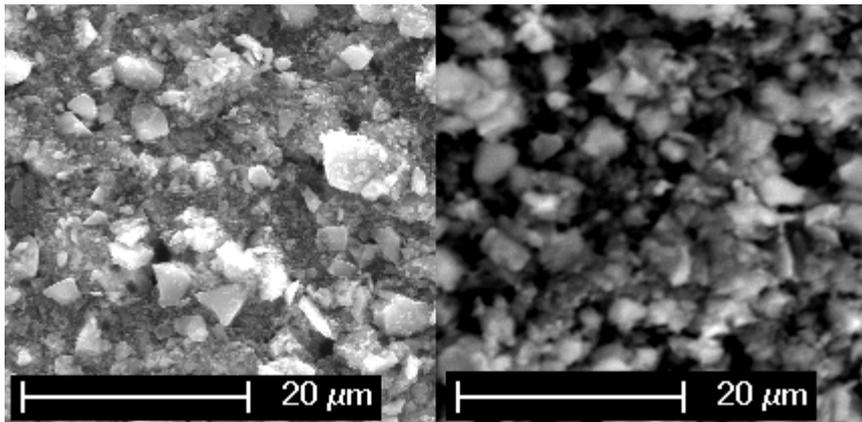
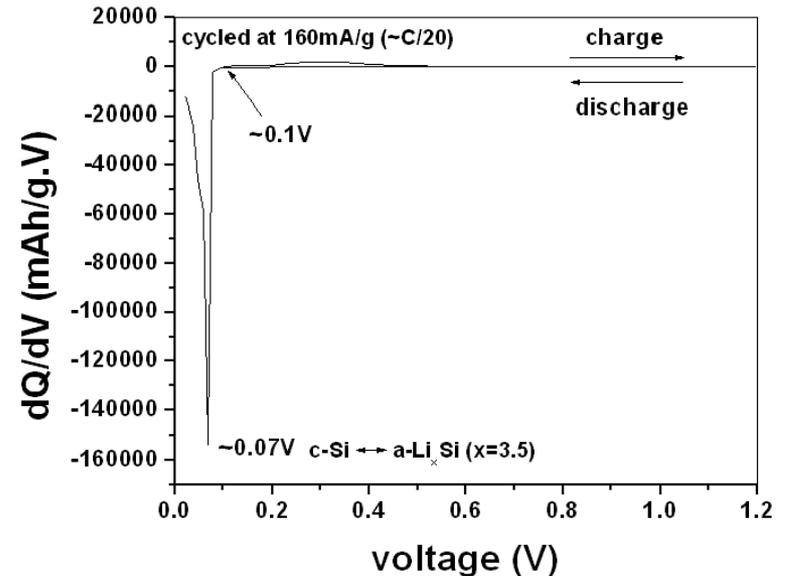
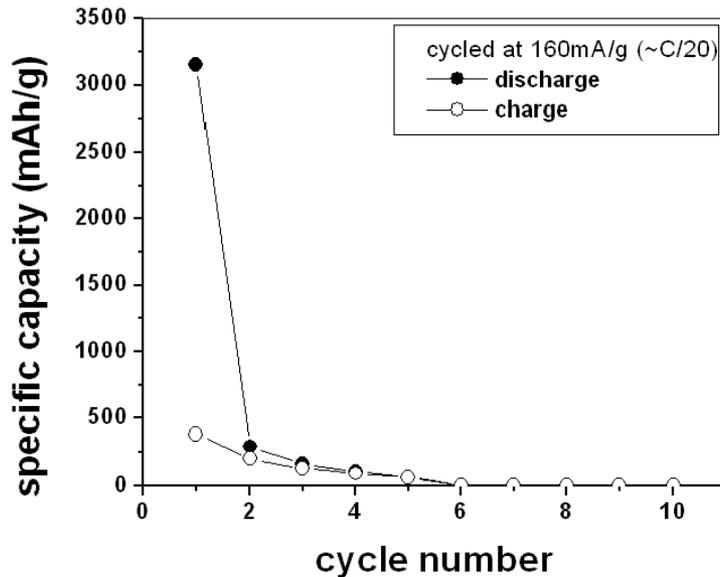
- Synthesize **nano-structured Si based** anodes using **cost effective processing** methods
- Achieve stable reversible capacity higher than **~700mAh/g**
- Characterize the **nano-scale hetero-structures** for structure and composition using electron microscopy techniques such as, SEM, TEM and HREM
- Reduce Irreversible loss to less than **~20%**
- **Investigate the origin and characterize** the solid electrolyte interphase (**SEI**) layers

# Approach

- ***Explore Si and carbon based nano-composite electrodes***
  - Explore novel low cost approaches to generate nano-scale hetero-structures comprising crystalline Si and a variety of carbon precursors
    - High Energy Mechanical Milling (HEMM)
    - Chemical Vapor Deposition (CVD)
    - Pyrolysis of organic precursors
- ***Characterization of structure and composition***
  - High Resolution XRD (HRXRD)
  - High Resolution SEM (HRSEM)
  - High Resolution TEM (HRTEM)
  - *In-situ* Raman
- ***Electrochemical Characterization***
  - Electrodes evaluated in half cells against metallic Lithium as a counter electrode and comparisons have been made to graphite
  - Three electrode hockey puck cell
  - 2016 coin cell

# Technical Accomplishments

## Problems with pure microcrystalline Si



Before cycling

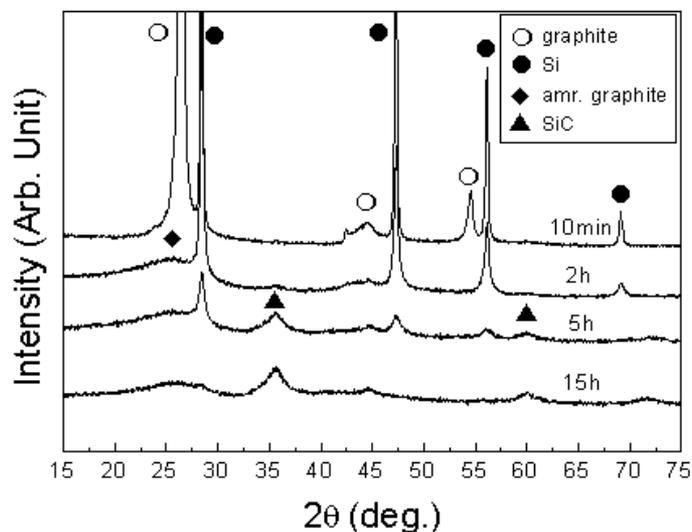
After 10<sup>th</sup> cycle

- **Pure microcrystalline Si (c-Si) (<44μm)**
  - Structural failure within few cycles
  - Formation of amorphous  $\text{Li}_{3.5}\text{Si}$  at onset potential  $\sim 0.1\text{V}$  with a peak potential  $\sim 0.07\text{V}$
  - Phase transformation of  $c\text{-Si}$  to  $a\text{-Li}_{3.5}\text{Si}$  is associated with a large volume expansion ( $\sim 300\%$ )
- **Major challenge/Target**
  - Improve the mechanical properties
  - Improve the stability and cycle life
  - Decrease the volume expansion/contraction
  - Irreversible loss reduction
- **Active/Inactive nanocomposite concepts**
  - Improved mechanical properties
  - Improved electronic conductivity

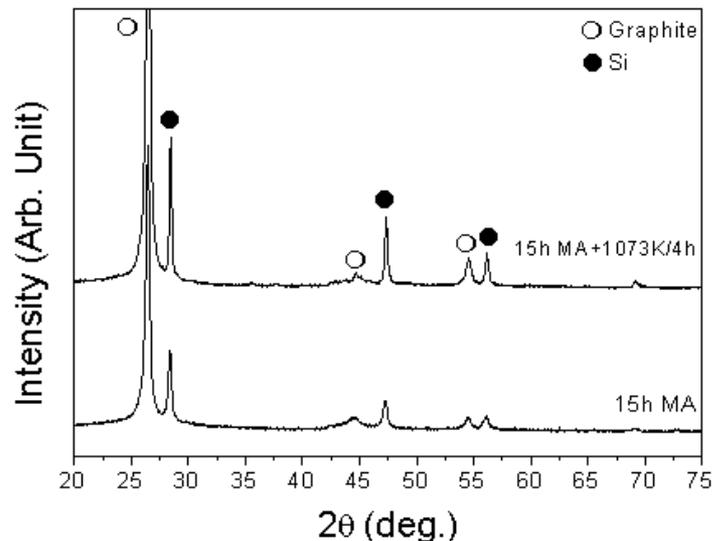
# Technical Accomplishments

## Synthesis of Si/C nanocomposite by HEMM

Gr-25wt.% Si



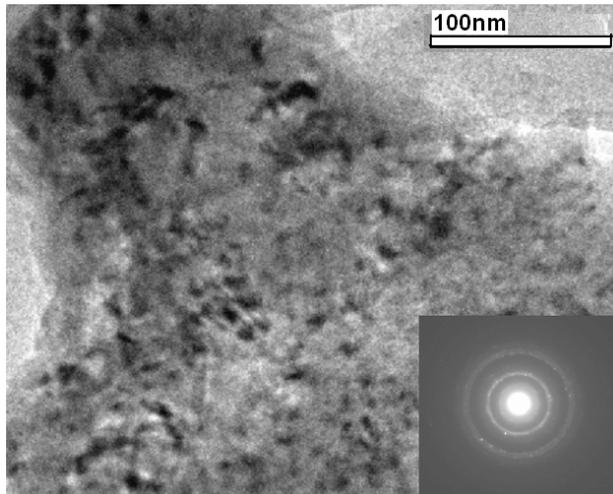
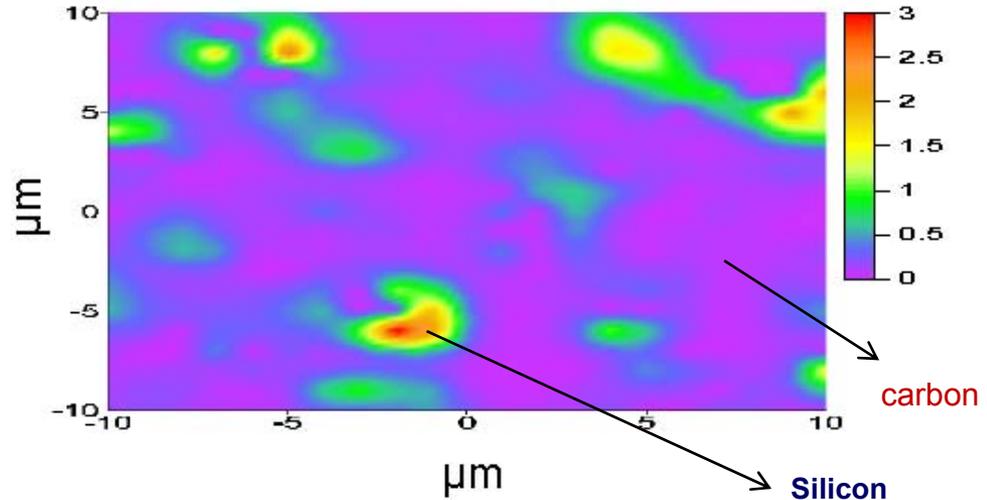
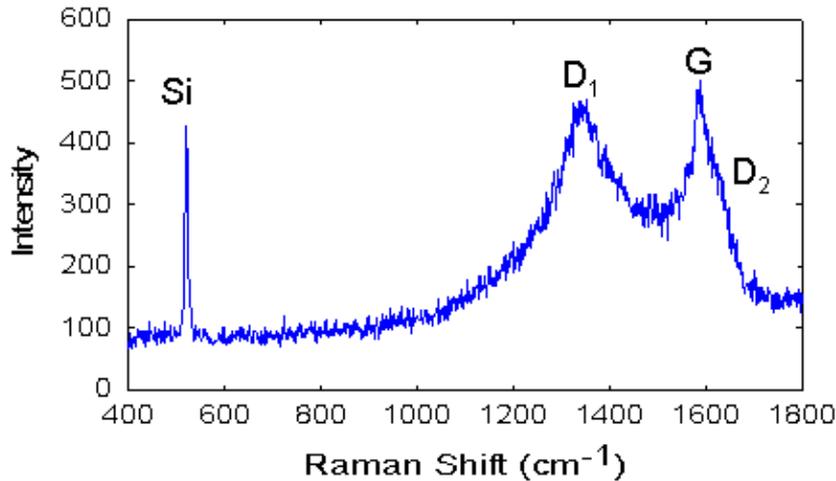
Gr-17.5wt.% Si-30wt.% PAN



- Gr-25wt.% Si
  - Formation of SiC within 5h HEMM
  - The weight fraction of active Si decreases with increasing milling time
  - The specific capacity decreases with increasing milling time
  - Graphite transforms to amorphous structure
  - high irreversible loss
- Si/C composite synthesized by HEMM in the presence of polymer additive polyacrylonitrile (PAN)
  - Polymer additive acts as a interfacial diffusion reaction barrier to form electrochemically inactive SiC during HEMM as well as during thermal treatment
  - Graphite retains its graphitic structure
  - Polymer additive enhance the wettability between Si and graphite
  - Composition after thermal treatment at 1073K: Gr-21.6wt.% Si-13.6wt.% HC

# Technical Accomplishments

## Raman Spectroscopy and TEM of Si/C Nanocomposite

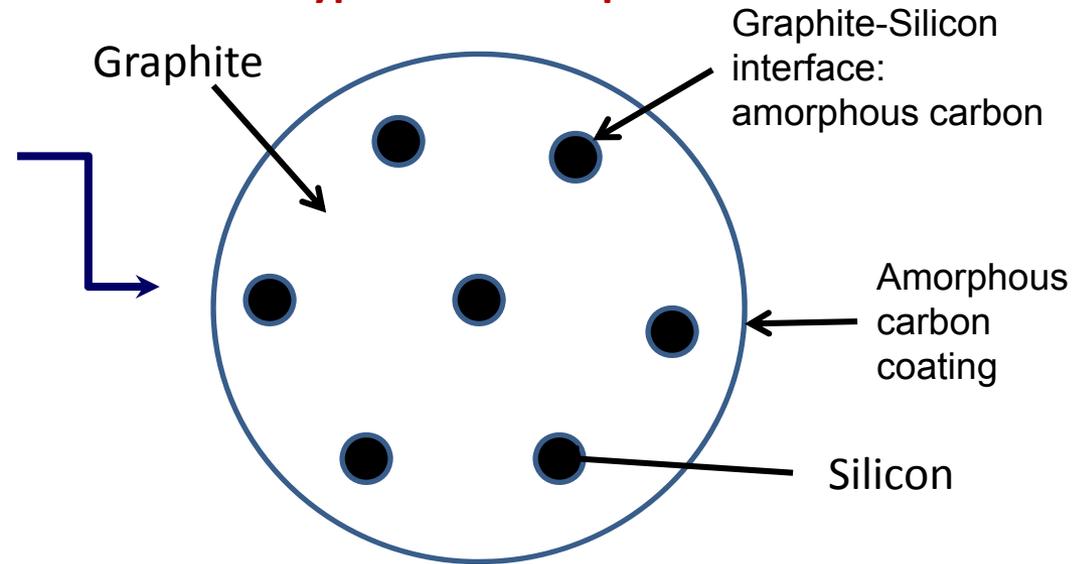
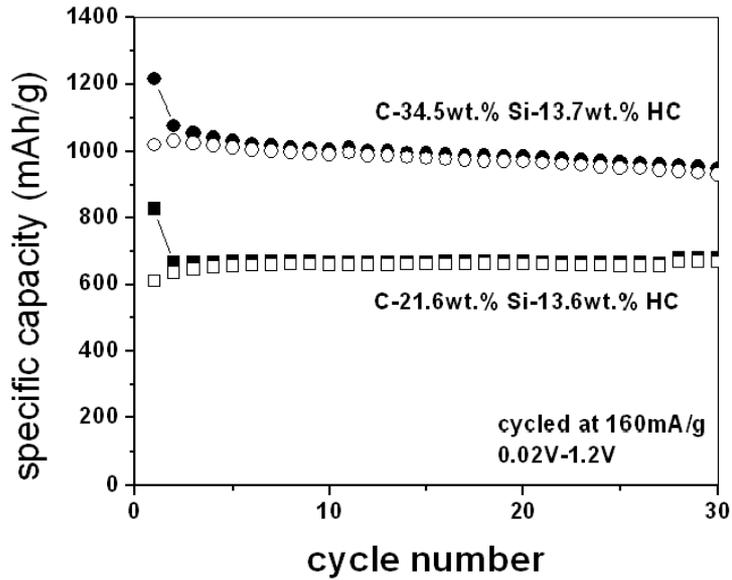


- **In-situ Raman spectroscopy**
  - Si band: 520cm<sup>-1</sup>, Graphite: 1580cm<sup>-1</sup>, Amorphous hard carbon: 1360 and 1620cm<sup>-1</sup>
  - Raman map shows that crystalline Si covered by graphite and amorphous hard carbon
- **TEM and SEM investigation**
  - Shows **homogeneous distribution of nanocrystalline Si (nc-Si) of particle size ~10nm on graphite matrix**
  - Nanocrystalline Si likely to provide a strong interface bonding with graphite
  - **Si/C nanocomposite likely enhances the mechanical properties in comparison to c-Si**

# Technical accomplishments

## Cyclability of Si/C Nanocomposite

### Intra type Nano-composite -ITN



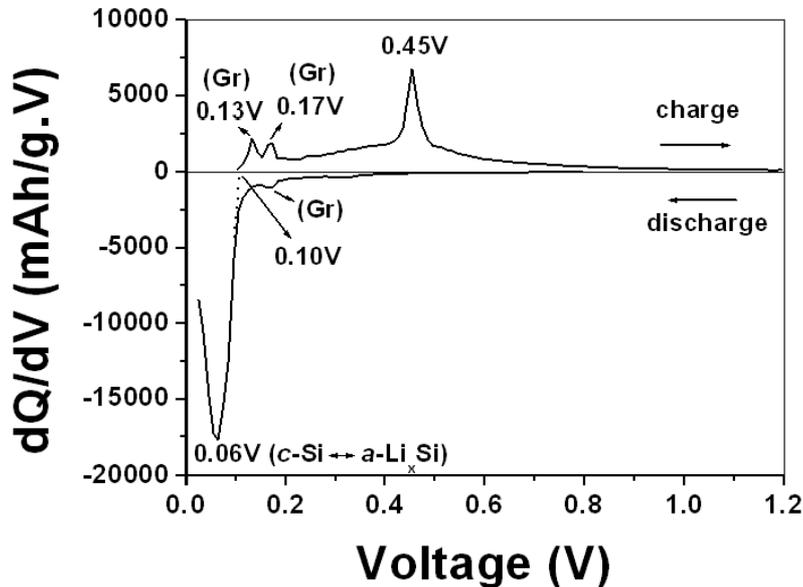
- Improved cyclability of Si/C nano-composite in comparison to pure c-Si arises due to
  - **Homogeneous dispersion and distribution of nc-Si on graphite matrix**
  - Strong interface bonding between Si and graphite
  - Compressive stress on Si/C composite due to coating with HC
- Major challenge
  - **Improve the cyclability of higher composition of Si based composite (higher specific capacity) anode**
  - **Reduction in the volume expansion/contraction during alloying of Li ion with Si**
- Target
  - **Synthesis of Li-Si based alloy which will undergo lower volume expansion and contraction compared to pure Si as an anode**

Composition (wt.%)	Specific capacity (mAh/g)		Irreversible loss (%)	Loss per cycle (%)
	1 <sup>st</sup> discharge	1 <sup>st</sup> charge		
C-21.6 Si-13.7 HC	825	610	26	~0.08
C-34.5 Si-13.7 HC	1215	1019	16	~0.34

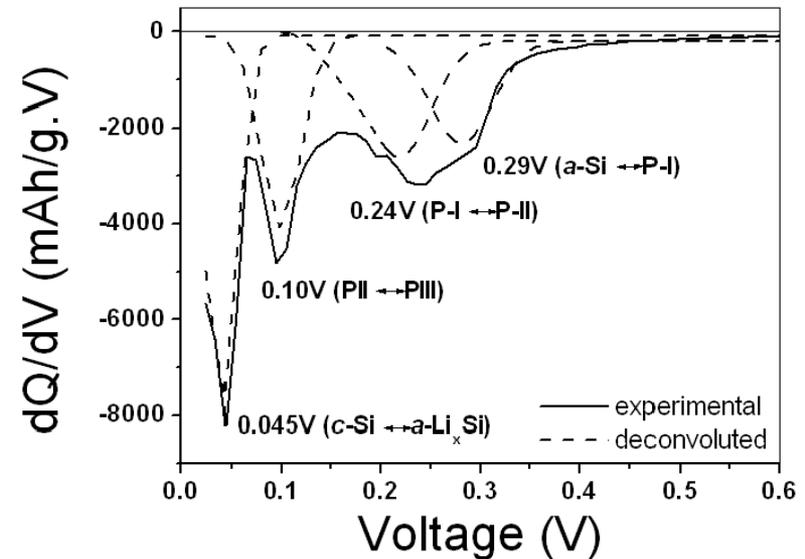
# Technical accomplishment

## Phase formation during alloying/dealloying of Li with Si

### First Cycle



### Second discharge profile

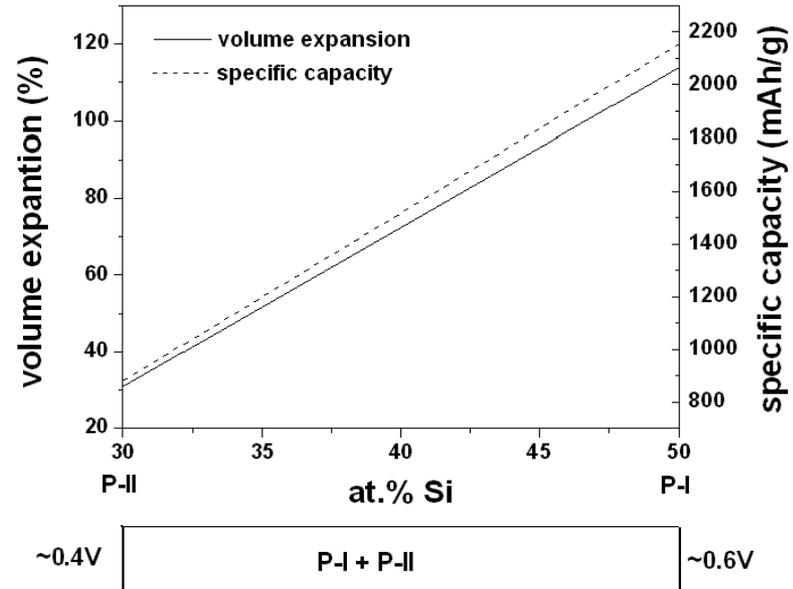
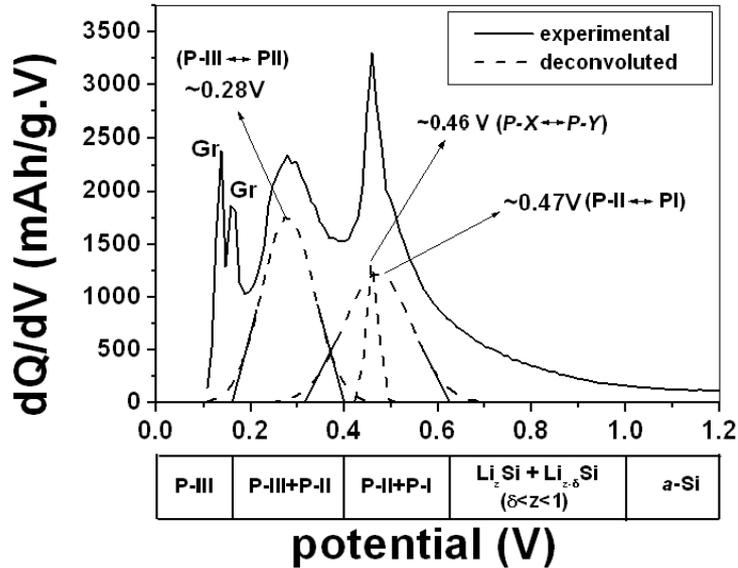


- 1<sup>st</sup> discharge and charge of Gr-21.6wt.% Si-13.6wt.% HC
  - cycled at ~160mA/g (~C/6)
  - 0.02V-1.2V
  - **a-Li<sub>3.5</sub>Si after 1<sup>st</sup> discharge (~0.06V)**
  - Amorphous Si (a-Si) after 1<sup>st</sup> charge (~1.2V)
  - Both Si and graphite are active

- **2<sup>nd</sup> discharge** of Gr-21.6wt.% Si-13.6wt.% HC
- **a-Si transforms to P-I, P-II and P-III phases during electrochemical reaction with Li<sup>+</sup>**
- **Calculated composition from electrochemical reaction**
  - **P-I: Li-50at.% Si (LiSi)**
  - **P-II: Li-30at.% Si (Li<sub>7</sub>Si<sub>3</sub>)**
  - **P-III: Li-24at.% Si (Li<sub>13</sub>Si<sub>4</sub>)**

# Technical accomplishment

## Alloying/dealloying behavior of Li with Si



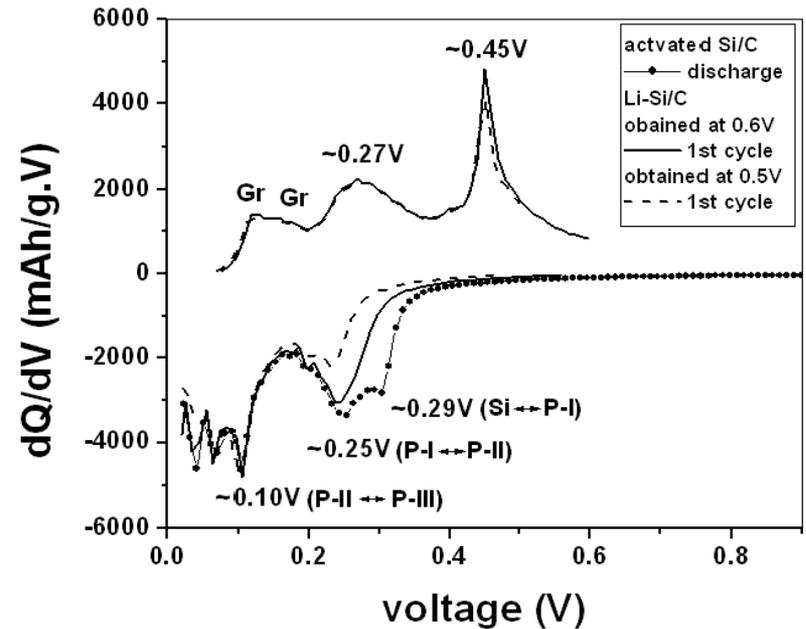
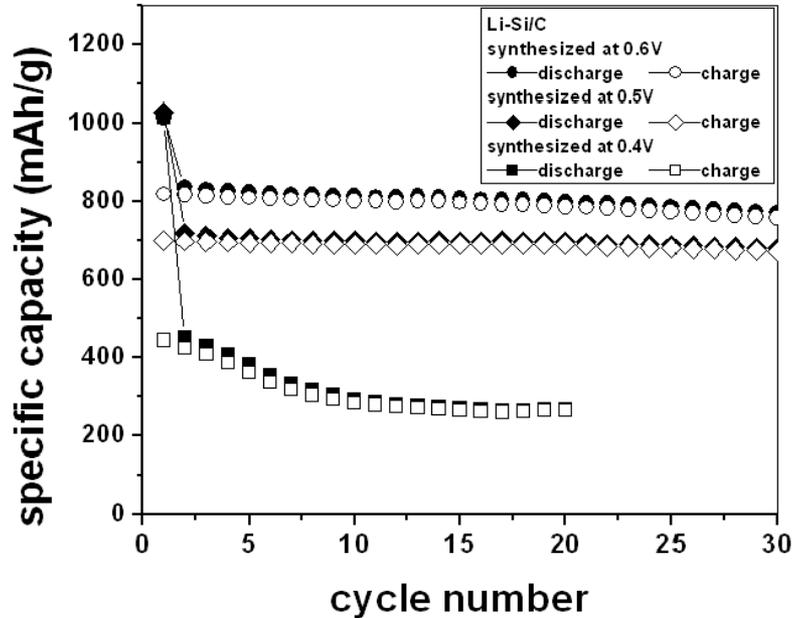
### 2<sup>nd</sup> charge

- 0.6V: P-I (Li-50at.% Si (LiSi))
- 0.4V: P-II (Li-30at.% Si(Li<sub>7</sub>Si<sub>3</sub>))
- 0.5V: P-I+P-II (Li-40at.% Si)

Phase transformation	Volume expansion (%)	Theoretical specific capacity (mAh/g)
P-I ↔ P-III	114	2154
P-II ↔ P-III	31	877
Si ↔ P-III	236	3100

# Technical accomplishments

## Li-Si/C composite anode

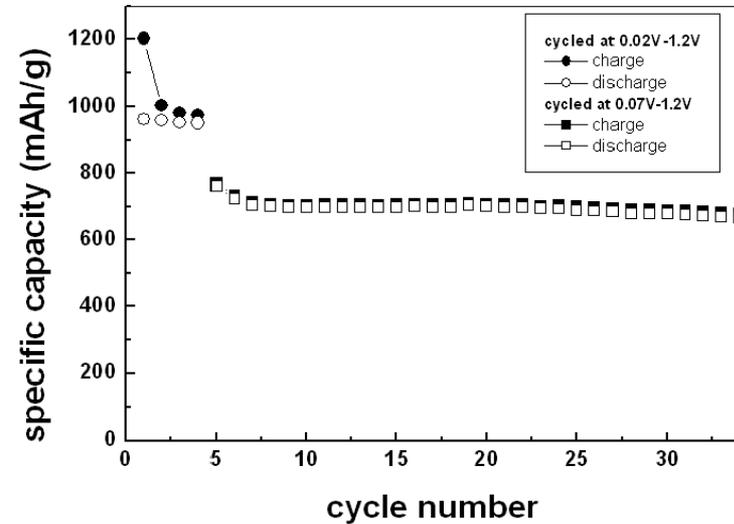
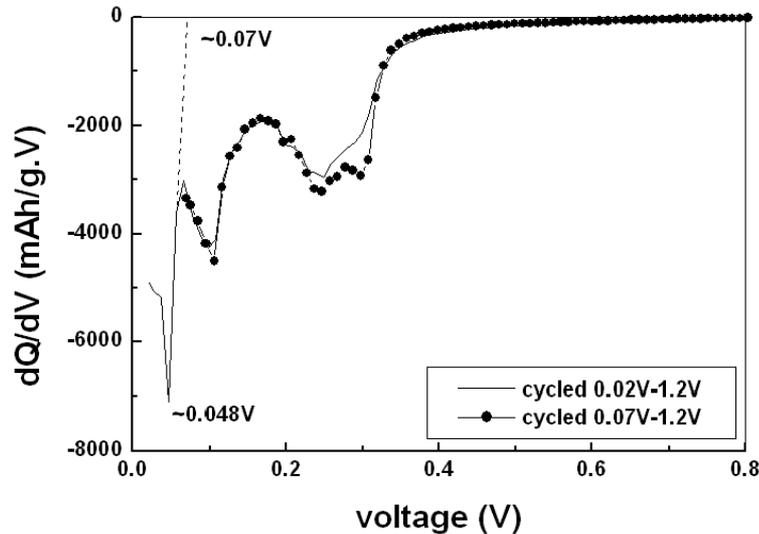


- **Li-Si/C composite generated by electrochemical reaction *in situ***
  - Synthesized by controlled electrochemical reaction of Li with **a-Si**
  - **Charging reaction was terminated at ~0.6V, ~0.5V and ~0.4V**
  - Cycled at 160mA/g within their stable potential window
  - **Transformation of a-Si ↔ P-I phase is bypassed**
  - Calculations based on electrochemical testing of Li-Si/C composite of composition C-21.6at.% Li-14.4at.% Si indicate a higher energy density with suitable cathode in comparison to pure HEMM derived Si/C composite.

Alloy	Composition	Specific capacity (mAh/g)	Capacity Loss per cycle (%)	Energy density (Wh/kg)
Si/C	C-18.4at.% Si	~1000	~0.34	~369
Li-Si-C (0.02-0.6V)	C-15.5at.% Li-15.5at.% Si	~800	~0.21	~429
Li-Si-C (0.02-0.5V)	C-21.6at.% Li-14.4at.% Si	~700	~0.13	~432

## Technical Accomplishments

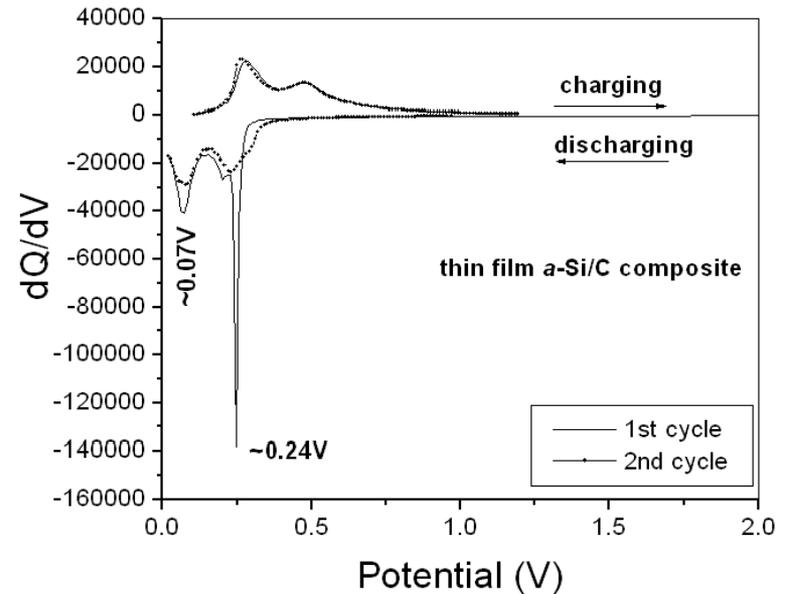
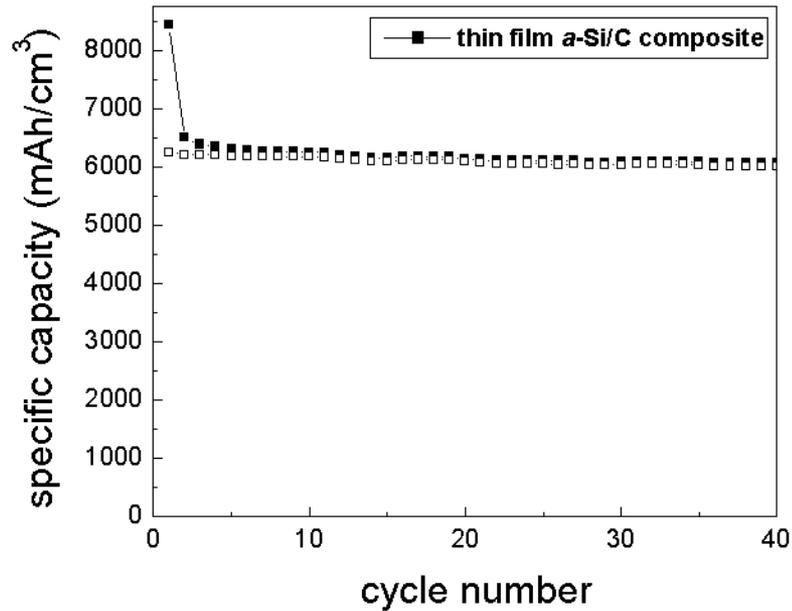
# Effect of phase formation on the structural stability of Si/C composite



- **Effect of formation of  $a\text{-Li}_{3.5}\text{Si}$  on the stability of the Si/C composite**
  - Cycled the electrode between 0.07V-1.2V to prevent the formation of  $a\text{-Li}_{3.5}\text{Si}$
  - Capacity retention has been improved (0.14% loss per cycle)
- **Target**
  - Design a Si based alloy which can prevent the formation of  $a\text{-Li}_{3.5}\text{Si}$  during discharge up to 0.02V
  - Synthesis of different structural morphologies of Si (amorphous, nano-crystalline, nano-wires, nano-rods) and composite hetero-structures

## Technical accomplishments

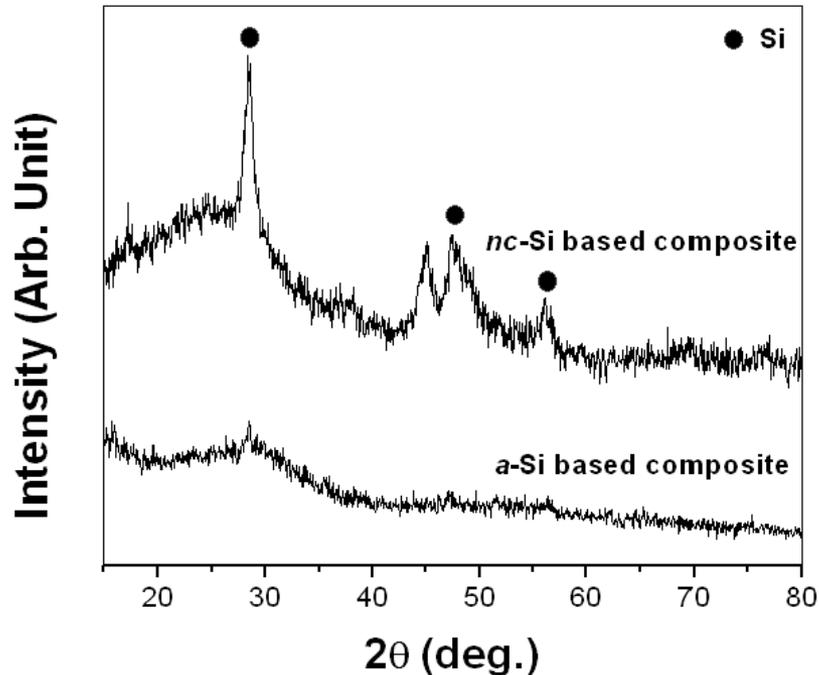
# Thin film amorphous Si/C composites



- **Thin film a-Si/C composite**
  - **Synthesized by RF magnetron sputtering**
  - Cycled at C/2.5 (0.02V-1.2V)
  - **Excellent cyclability (0.07% loss per cycle) and low irreversible loss (25%)**
  - **Formation of high Li content a-Li<sub>3.5</sub>Si or Li<sub>3.75</sub>Si is by-passed**
- **a-Si based composite anodes show promise for Li ion batteries**
  - **Major challenge: Large scale synthesis of a-Si based composites**

## Technical accomplishments

# Novel Synthesis of *a*-Si and *nc*-Si based composite anodes

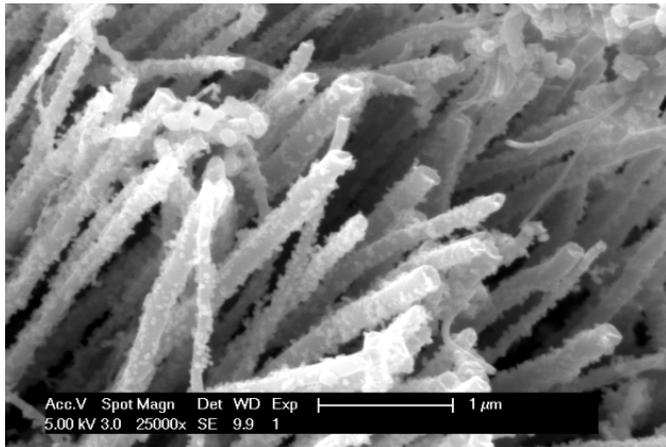


Calculated crystallite size of Si ~15nm

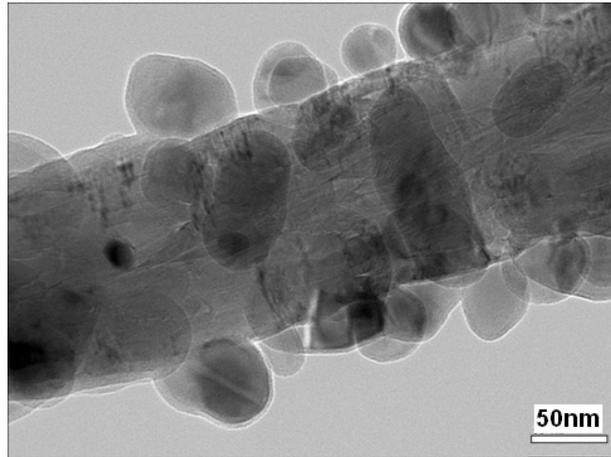
- **Large Scale Synthesis** of *a*-Si and *nc*-Si based composite anodes **achieved by HEMM**

# Technical accomplishments

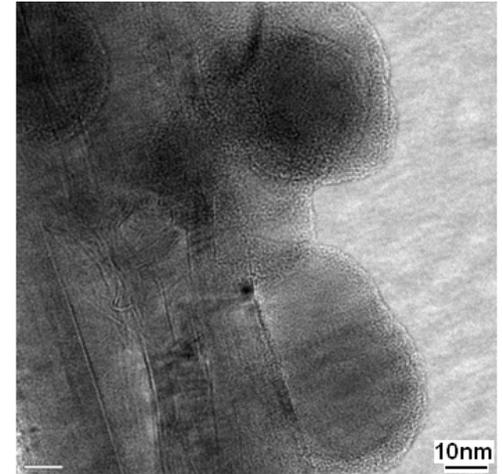
## Novel Synthesis of *a*-Si/C composite anodes



HRSEM of nano-scale composite hetero-structures



TEM of *a*-Si droplet coatings on CNT

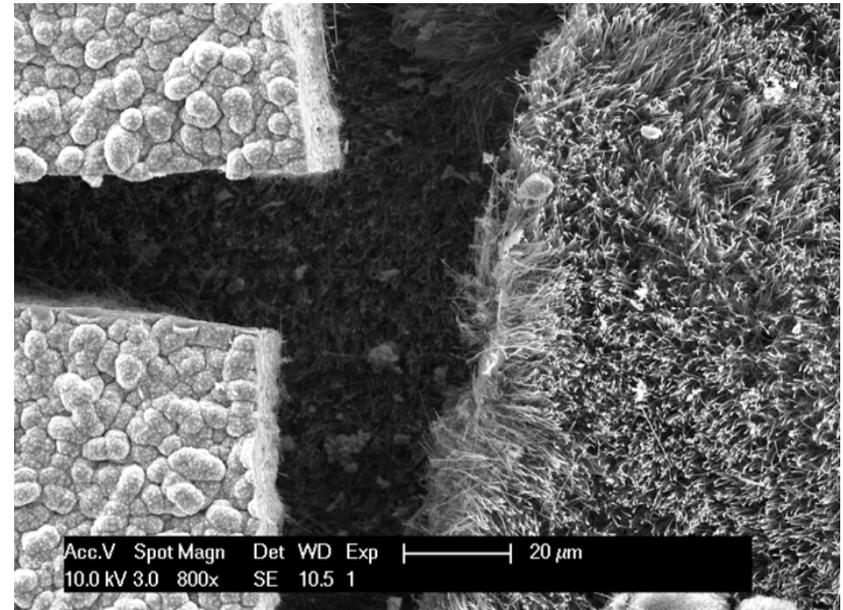
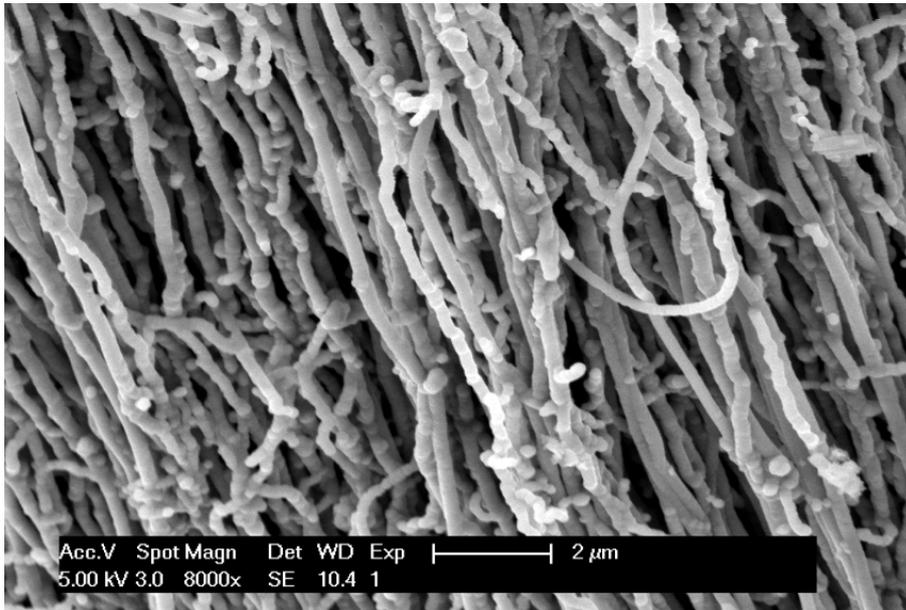


HRTEM of single CNT coated with *a*-Si droplets

- *a*-Si nano-particles coated on carbon nano-tubes (CNT)
  - **Controlled** synthesis of *a*-Si particles of varying size on CNT
  - Generation of **controlled thicknesses** of *a*-Si particles/films on **CNT**

# Technical accomplishments

## Novel Synthesis of $\alpha$ -Si/C composite anode



- $\alpha$ -Si /CNT core shell nanostructures

- CNTs re-enforced Si hetero-structures

# Activities for next fiscal year

- Activities for next fiscal year (Phase 2)
- **Synthesis of high specific capacity anode**
  - **Novel Materials Synthesis**
    - bulk crystalline Si, Nanocrystalline Si, Amorphous Si with carbon as a matrix
    - **Nanorods, Nanowire or amorphous Si on carbon nanotube.**
  - Synthesis Techniques that will be further explored
    - **High energy mechanical milling**
    - **Novel mechanochemical processes**
    - **High through-put chemical vapor deposition (CVD)**
- **Reducing irreversible loss**
  - Reducing the irreversible reaction of Li ion with Si based nano-composite (ITN)
    - **Improve the kinetics of Li ion alloying/dealloying processes**
    - **Coating of Li ion conducting oxide**
  - Understand the origin of the SEI layer
    - Raman Spectroscopy, FTIR
    - SEM analysis of post cycled structures

# Summary

- Si/C nano-composite synthesized by HEMM in presence of polymer additives
- Usefulness of Polymer Additives
  - To prevent the formation of electrochemically inactive SiC
  - Reduce the kinetics of amorphisation of graphite
- Si/C nanocomposite shows
  - excellent cyclability (~0.1% loss per cycle) with a specific capacity up to ~700mAh/g
  - low irreversible loss (~15-30%)
- Li-Si/C composite synthesized by *in-situ* controlled electrochemical reaction
  - Identification of suitable phase composition and phase fields exhibiting excellent cyclability with high reversible capacity
  - Shows better stability than pure Si/C composite
  - High energy density compared to pure Si/C composite anode
- Synthesize Si based nano-composites of different morphologies such as amorphous, nano-crystalline, nano-wires and nano-rods.
  - Large scale synthesis of **a-Si** and **nc-Si** based composite using cost effective methods
  - Thin film **a-Si/C nanocomposite** show promising response as an anode for Li ion batteries
  - **a-Si particles** and **films on CNT** have been successfully synthesized by **cost effective techniques**