

Audit Work Plan for FY 2014

Eastern Audits Division

- Follow-up of the Reindustrialization Program at East Tennessee Technology Park
- Audit of the Department's Management of High-Risk Property
- Audit of the Department's Efforts to Reduce Mercury Contamination at the Y-12 National Security Complex
- Non-conforming Equipment and Parts at the Savannah River Site
- Audit of the Department's Facility Contractors' Use of No Bid Subcontracts
- Decontamination and Decommissioning Activities at the Oak Ridge National Laboratory
- The Department's Audit Resolution and Follow-up Process
- Legacy Management Activities at Selected Sites
- Department's Contract Awards Made to Alaska Native Corporation
- Readiness of the Saltstone Disposal Facility at the Savannah River Site
- Acquisition and Use of Helium-3 by Department of Energy Programs
- Follow-up Audit of the Department's Management of Contractor Fines, Penalties and Legal Costs
- Transportation of Hazardous Materials
- Select Legacy Material Stored at the Oak Ridge Reservation
- Waste Solidification Building at the Savannah River Site
- Portsmouth Gaseous Diffusion Plant Decontamination and Decommissioning Project
- Department's Depleted Uranium Hexafluoride Conversion
- Defense Waste Processing Facility Glass-Melter Fabrication Project
- Salt Waste Processing Facility Construction Project
- Management of the FutureGen Cooperative Agreement
- Environmental Management's Consolidated Business Center
- Office of Science Contractor Governance
- Department's Management of General Support Services Costs

Central Audits Division

- Office of Energy Efficiency and Renewable Energy's Procurement
- Office of Science's Management of Research Projects
- Permitting Processes at the Federal Energy Regulatory Commission
- Department of Energy's Implementation of Strategic Sourcing Initiatives

Central Audits Division (con't)

- Argonne National Laboratory's Infrastructure Projects
- Office of Fossil Energy's Regional Carbon Sequestration Partnerships
- National Energy Technology Laboratory Risk Management System
- Small Business Phase III Xlerator Program
- Advanced Photon Source Upgrades
- Office of Energy Efficiency and Renewable Energy's Wind Program
- SunShot Photovoltaic Manufacturing Initiative Subcontracts
- On-Site Energy Production Plant Savings
- A1B Naval Reactor Production
- The Department of Energy's Sustainability Efforts
- The Department of Energy's Science, Technology, Engineering, and Mathematics Programs
- Department of Energy's Management of Contaminated Non-Environmental Management Facilities
- Bonneville Power Administration Procurement
- Review of Select At-Risk Loan Guarantee Projects

Western Audits Division

- Corrective Action Program at the Waste Treatment Plant
- National Nuclear Security Administration Laboratory Directed Research and Development
- Follow up on Security at the Y-12 National Security Complex
- B-61 Life Extension Program
- Management of the Weapons Engineering Tritium Facility at the Los Alamos National Laboratory
- Small Modular Reactor Program
- Suspect/Counterfeit Parts for Safety Systems at Hanford
- Audit of the National Ignition Facility
- Corrective Action Systems at the Pantex Plant
- Audit of Second Line of Defense Nonproliferation Program
- Lithium Operations at the Y-12 National Security Complex
- Audit of Accelerated Vault Workoff Project at the Los Alamos National Laboratory
- Hanford Site Security
- Management of the Remote Handled Low-Level Waste Disposal Facility
- The W78/W88-1 Life Extension Program
- Management of the Advanced Recovery & Integrated Extraction System at the Los Alamos National Laboratory
- Follow up Audit of Sandia Labs Nuclear Weapons Safety Program

Western Audits Division (con't)

- Security at the Nevada National Security Site
- Management of the Idaho Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) Disposal Facility
- Capabilities-Based Facilities and Infrastructure Projects at Y-12 National Security Complex
- NNSA Contractor's Health and Post Retirement Benefits
- Hanford River Corridor Project Cleanup Verification
- Follow up Audit of Heavy Water Inventory
- NNSA's Neutron Generator Replacement Project
- Follow up Audit of Nanoscale Materials Safety
- Implementation of the Agreements for Commercialization of Technology
- New Facility Construction Management and Acceptance
- Management of Newly Generated Transuranic Waste
- Long Lead Procurements at the Office of River Protection
- Management of Major Projects at the SLAC National Accelerator Laboratory
- NNSA Integration of Concurrent Nuclear Weapon Life Extension Programs
- Management of Type-B Specialized Containers
- Health Services Contracts at the Hanford Site
- Audit of the Y-12 National Security Complex/Pantex Plant Consolidation

Technology Audits

- The Department's Cyber Security Continuous Monitoring Process
- The Department of Energy's Information Technology Modernization Efforts
- Management of the Department's High Performance Computing Capabilities
- Management of the Department of Energy's Cyber Security Program for National Security Systems
- Implementation of Cyber Security Reliability Standards by the Power Marketing Administrations
- The Department's Management of Cloud Computing Activities
- Management of Information Technology Contracts and Oversight at the Hanford Site
- Follow-up Audit on Protection of the Department of Energy's Unclassified Sensitive Electronic Information
- Audit of the Department's iPortal System
- Audit of Information Technology Expenditures at Selected Sites
- The Department of Energy's Implementation of the Federal Information Security Management Act
- Federal Energy Regulatory Commission's Implementation of the Federal Information Security Management Act

Statement of Costs Incurred and Claimed (SCIC)

- Sandia National Laboratories- Lockheed Martin 2013
- Los Alamos National Laboratory- Los Alamos National Security 2013
- Lawrence Livermore National Laboratory- Lawrence Livermore National Security LLC 2013
- Oak Ridge National Laboratory- UT Battelle 2013
- Savannah River Site- Savannah River Nuclear Solutions 2010-2012
- Oak Ridge Y-12- Babcock & Wilcox Y-12 LLC 2013
- Naval Reactors- Bechtel Marine Propulsion Corporation 2013
- Pacific Northwest National Laboratory- Battelle Memorial Institute 2013
- Idaho National Laboratory- Battelle Energy Alliance LLC 2013
- Lawrence Berkeley National Laboratory- Regents of University of California 2012
- Waste Isolation Pilot Plant- Washington TRU Solutions 2012
- Argonne National Laboratory - UChicago- Argonne 2010-2013
- Princeton Plasma Physics Laboratory- Princeton University 2011-2012
- East Tennessee Technology Park- Bechtel Jacobs 2011

Government Management Reform Act (GMRA)

- Department of Energy's Consolidated Financial Statement Audit Fiscal Year 2014
- Federal Energy Regulatory Commission's Financial Statement Audit Fiscal Year 2014
- Southwestern Power Administration Financial Statement Audit Fiscal Year 2014
- Nuclear Waste Fund Fiscal Year 2014
- Information Technology Management Letter Fiscal Year 2014
- Financial Management Letter Fiscal Year 2014

Inspection Work Plan for FY 2014

Eastern Inspections Region

- Safety Risks to Workers and the Environment at a Selected Department Site
- Department Lockout/Tagout Processes at Selected Department Sites
- Protection of Classified Information at Offsite Department Facilities
- Review of the Department's Management of Contract Transition at a Department Site
- Severance Payments at a Selected Department Site
- Follow-up Inspection on Ammunition Control
- Review of a Department Site's Emergency Response Capability
- Review of Radio Frequency Identification Device Technology at a Selected Department Site
- Follow-up Inspection of Chemical Safety Protocols at a Department Site
- Review of Aviation Operational Readiness at a Department Site
- Review of Purchase Card Administration at the Department
- Review of the Department's Recruitment, Relocation, and Retention Incentives at Selected Sites
- Review of the Office of Economic Impact and Diversity's Implementation of Title IX Compliance Reviews

Western Inspections Region

- Review of Corrective Actions Concerning Technetium-99 Incident at the Los Alamos National Laboratory
- Nuclear Weapons Quality Control/Succession Planning at a Selected Site
- Inventory of Government Firearms at a Selected Site
- Review Security of National Nuclear Security Administration Aviation Assets
- Safety at the Liquid Waste Treatment Facility at a Selected Site
- Use of Waste Characterization Strategy at Selected Department Site
- Follow-up Inspection on Joint Technical Operations Team
- Follow-up Inspection on Human Reliability Program at a Selected Department Site
- Review of Excess Property at a Selected Department Site
- Disposition of Licensing and Royalty Income at a Selected Department Site