


## RECUSAL STATEMENT OF THE SECRETARY

AS OF JANUARY 21, 2011

1. In accordance with 18 U.S.C. 208(a) and the "United States Senate Committee on Energy and Natural Resources Recusal Policy," dated May 6, 1993, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any other person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to section 208(b)(1), or qualify for a regulatory exemption, pursuant to section 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.
2. I hereby recuse myself from participating personally and substantially in any particular matter that will have a direct and predictable effect on Helicos Biosciences Corporation and NABsys, for as long as I hold such interest, unless I first obtain a waiver or qualify for a regulatory exemption pursuant to 18 U.S.C. 208(b)(1) or (b)(2).
3. Pursuant to the terms of the United States Senate Committee on Energy and Natural Resources Recusal Policy dated May 6, 1993 and consultation with the Committee, for the duration of my service in the position of Secretary I hereby recuse myself from participating in the Lawrence Berkeley National Laboratory contract in the following areas: (1) any evaluation of the work performed; (2) any award fee process; (3) any extension of the contract; (4) any recompetition of the contract; (5) any approval of technology transfer transactions (e.g., licenses); (6) any approval of real property transactions with the Department of Energy; (7) any approval of other specific agreements with the Department of Energy; or (8) direct negotiations related to financial arrangements.
4. Pursuant to the Energy and Natural Resources Committee's recusal policy, I will not participate, for the entire duration of my service in office, in any particular matter involving specific parties in which I participated personally and substantially as a board member of the Hewlett Foundation, the Okinawa Institute of Science and Technology, the Richard C. Blum Center for Developing Economies, the National Math and Science Initiative, the University of Rochester or as a compensated member of the Moore Foundation Scientific Board, the MacArthur Foundation Scientific Board or Nvidia when the matter is one in which the Department of Energy is a party or has a substantial interest.

5. I have directed Rod O'Connor to route all matters referred to above from which I am recused to the Deputy Secretary.



\_\_\_\_\_  
Steven Chu  
Secretary of Energy

Dated: \_\_\_\_\_

1/31/2011