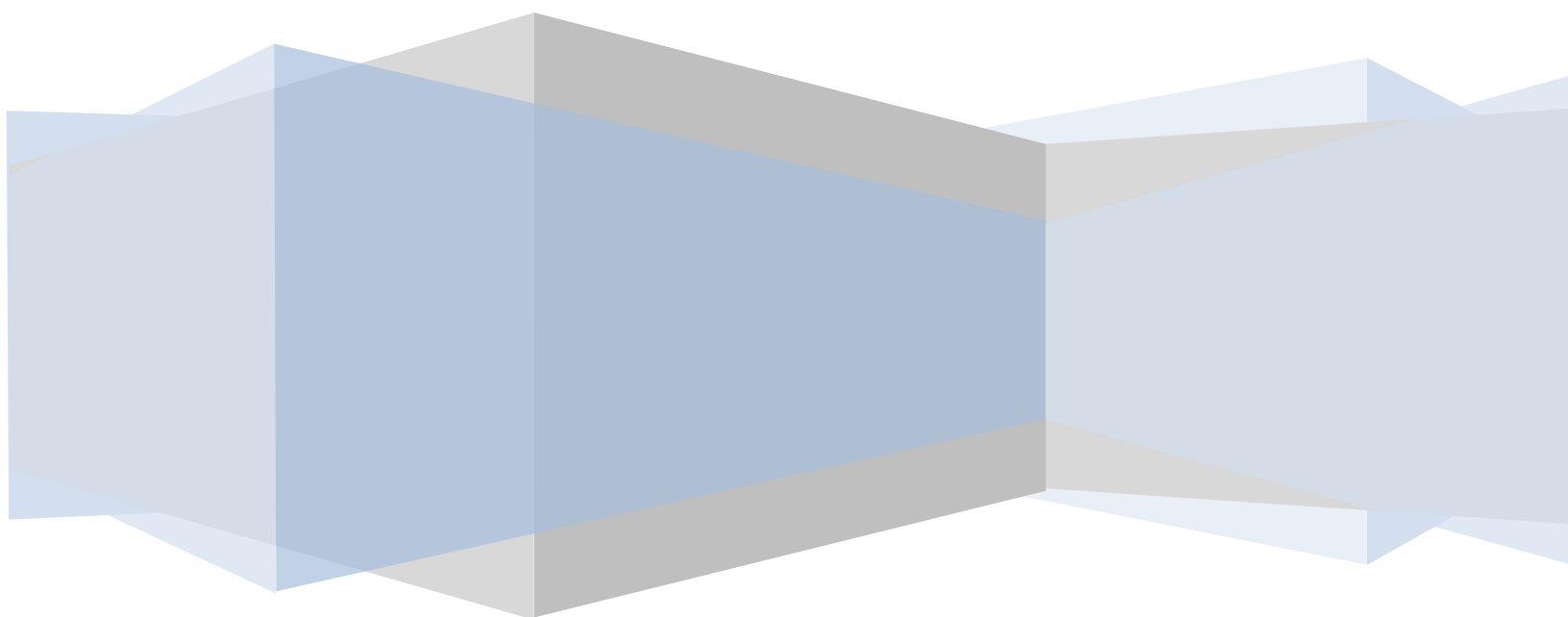


**U.S. Department of Energy
Office of Inspector General**

**Annual Performance Report FY 2011
Annual Performance Plan FY 2012**



FY 2011 OIG Performance Results

The OIG measures its performance against long-term and annual goals set forth in OIG planning documents. During this reporting period, the OIG successfully achieved its FY 2011 performance goals. The following are the specific results:

<u>Goal 1</u>		
Promote Presidential Reform Initiatives, Secretarial Mission Priorities, and Congress		
Objective 1:		
Conduct reviews seeking positive change in the Department relating to the implementation of Presidential Reform Initiatives, the Secretary's Mission Priorities, and the OIG-identified Management Challenges.		
Performance Measures:		Accomplishments
<i>1a. By the end of FY 2011, complete reviews that address each Presidential, Secretarial, and OIG initiative, priority, and/or challenge as identified in FY 2011.</i>		Met Goal
<i>1b. At least 30 percent of inspection reports will address safety or security-related topics.</i>		Exceeded Goal
	Audits and Inspections	Investigations
1a.	A total of 68 audit reports were issued that addressed the Presidential Reform Initiatives, Secretarial Mission Priorities, and OIG-identified Management Challenges.	<i>(Not applicable)</i>
1b.	60 percent of inspection reports addressed some aspect of safety or security-related topics.	<i>(Not applicable)</i>

Goal 2

Improve economy and efficiency, and reduce waste, fraud, and abuse within the Department

Objective 2:

Concentrate OIG efforts on issues that have the greatest impact and usefulness to the Department.

Performance Measures:	Accomplishments
<i>2a. Ensure that every performance review includes an analysis of program accomplishments and the use of metrics to measure results.</i>	Met Goal
<i>2b. Ensure that 57 percent of all performance audits include recommendations leading to demonstrable cost savings, program efficiencies, and/or funds put to better use.</i>	Exceeded Goal
<i>2c. Complete five follow-up reviews annually to determine the status and efficacy of corrective actions.</i>	Exceeded Goal
<i>2d. By June, 2011, complete an annual risk-based programmatic assessment that considers OIG institutional knowledge; past program performance; funding levels; Presidential, Secretarial, and congressional concerns; Recovery Act initiatives; and, input from Department program managers.</i>	Exceeded Goal
<i>2e. Ensure 80 percent of all planned performance audits address high-risk areas as identified in the OIG annual risk-based programmatic assessments.</i>	Exceeded Goal
<i>2f. Ensure that 10 percent of all planned inspections address Recovery Act-funded activities.</i>	Met Goal

	Audits and Inspections	Investigations
2a.	59 performance audits and 5 performance inspections completed included an analysis of program accomplishments and the use of metrics to measure results.	<i>(Not applicable)</i>
2b.	88 percent of all performance audits included demonstrable cost savings, program efficiencies and/or funds that can be put to better use in excess of \$17.8 million.	<i>(Not applicable)</i>
2c.	Ten follow-up reviews were completed to determine the status and efficacy of corrective actions.	<i>(Not applicable)</i>
2d.	53 risk-based programmatic assessments for the Department, NNSA, and program activities were completed prior to June 30, 2011.	<i>(Not applicable)</i>
2e.	83 percent of planned performance audits addressed high-risk and sensitive areas identified in the OIG annual risk-based programmatic assessments.	<i>(Not applicable)</i>
2f.	10 percent of planned inspections addressed Recovery Act-funded activities.	<i>(Not applicable)</i>

Objective 3:

Provide timely information to the Department so that prompt action can be taken to improve program performance.

Performance Measures:		Accomplishments
<i>3a. Issue 80 percent of audit reports no later than 60 days following receipt of management comments.</i>		Exceeded Goal
<i>3b. Ensure that the average time to issue Investigative Reports to Management (IRMs) is 48 days or less following final resolution of criminal, civil, and administrative investigations.</i>		Exceeded Goal
	Audits and Inspections	Investigations
3a.	For the audits completed in FY 2011, 93 percent were issued within 60 days following receipt of management comments.	<i>(Not applicable)</i>
3b.	<i>(Not applicable)</i>	IRMs were issued in 17 days, on average, following final resolution of criminal and/or civil action.

Objective 4:

Strengthen financial management and cyber security through completion of mandatory reviews in accordance with OMB and other applicable requirements.

Performance Measures:	Accomplishments
<i>4a. Complete annually, by the established due date, the Department's Consolidated Financial Statement audits to determine whether the financial statements are free from material misstatement.</i>	Met Goal
<i>4b. By September 30, 2011, review the Department's classified and unclassified information security system programs in accordance with the Federal Information Security Management Act of 2002.</i>	Met Goal
<i>4c. Initiate 10 "Statement of Costs Incurred and Claimed" audits annually to assess internal controls over costs claimed by the Department's major contractors.</i>	Exceeded Goal

	Audits and Inspections	Investigations
4a.	The Department's FY 2011 Consolidated Financial Statement were issued on November 15, 2011, and resulted in an unqualified opinion.	<i>(Not applicable)</i>
4b.	We completed reviews of the Department's unclassified computer information systems in accordance with the Federal Information Security Management Act of 2002. The reviews were completed in October, 2011, ahead of OMB's revised reporting date of November 15, 2011.	<i>(Not applicable)</i>
4c.	We initiated 13 Statement of Costs Incurred and Claimed audits to determine whether the Department's integrated contractors were reimbursed for allowable costs consistent with their contracts.	<i>(Not applicable)</i>

Objective 5:

Concentrate investigative efforts on allegations of criminal and civil violations of law that adversely impact major Department programs and operations, with emphasis on maximizing the recovery of public resources and deterring future wrongdoing.

Performance Measures:		Accomplishments
<i>5a. Achieve an annual acceptance rate of 74 percent for cases presented for prosecutorial consideration, with an enhanced focus on Recovery Act cases.</i>		Exceeded Goal
<i>5b. Ensure 75 percent of all cases opened focus on key areas of Department vulnerability, specifically procurement and grant fraud, environmental violations, Qui Tams, or computer crimes.</i>		Exceeded Goal
	Audits and Inspections	Investigations
5a.	<i>(Not applicable)</i>	The OIG achieved a prosecutorial acceptance rate of 96 percent.
5b.	<i>(Not applicable)</i>	88 percent of all cases opened focused on key areas of vulnerability in the Department.

Objective 6:

Coordinate with other law enforcement agencies to establish effective networks in order to identify areas that are most vulnerable to waste, fraud, and abuse.

Performance Measures:		Accomplishments
<i>6a. Ensure 25 percent of all cases opened were joint agency/task force investigations with external law enforcement agencies, such as the Federal Bureau of Investigation and other OIGs including other agencies with Recovery Act funding.</i>		Exceeded Goal
	Audits and Inspections	Investigations
6a.	<i>(Not applicable)</i>	42 percent of all cases opened were joint agency task force investigations.

Objective 7: Heighten awareness of potential fraud among internal and external customers.					
Performance Measures:	Accomplishments				
7a. Provide 40 fraud awareness briefings annually to Department and contractor employees and managers, with special emphasis on Recovery Act-related fraud.	Exceeded Goal				
	<table border="1"> <thead> <tr> <th>Audits and Inspections</th> <th>Investigations</th> </tr> </thead> <tbody> <tr> <td>7a. (Not applicable)</td> <td>86 fraud awareness briefings were conducted in FY 2011.</td> </tr> </tbody> </table>	Audits and Inspections	Investigations	7a. (Not applicable)	86 fraud awareness briefings were conducted in FY 2011.
Audits and Inspections	Investigations				
7a. (Not applicable)	86 fraud awareness briefings were conducted in FY 2011.				

<u>Goal 3</u> Support the Taxpayer			
Objective 8: Provide the Department and the public with an effective and efficient mechanism to report waste, fraud, and abuse.			
Performance Measures:			Accomplishments
8a. Operate the OIG Hotline in a manner that ensures 90 percent of Hotline complaints warranting further action begin processing within 7 days of receipt.			Exceeded Goal
8b. Forward 90 percent of the complaints identified for referral to Department or other agency management within 14 days of initiation of the case.			Goal Not Meet
8c. Complete Whistleblower complaints within 180 days.			Met Goal
	Audits and Inspections	Investigations	Legal
8a.	(Not applicable)	95 percent of complaints began processing within 7 days of receipt.	(Not applicable)
8b.	(Not applicable)	17 percent of complaints were referred to the Department within 14 days. On average, complaints were referred to the Department within 44 days.	(Not applicable)
8c.	(Not applicable)	(Not applicable)	Met Statutory Requirements.

Objective 9: Make the public aware of OIG reports.			
Performance Measures:			Accomplishments
<i>9a. Ensure that all OIG public reports that are identified for inclusion on the Internet are posted within 3 working days of submission to the Secretary, unless otherwise specified by the Inspector General.</i>			Met Goal
	Audits and Inspections	Investigations	Management and Administration
9a.	<i>(Not applicable)</i>	<i>(Not applicable)</i>	Reports were posted to the Internet within 3 working days or as specified by the Inspector General.

Objective 10: Provide a structure for ensuring a skilled and efficient workforce.			
Performance Measures:			Accomplishments
<i>10a. Ensure that all auditors meet the training requirements as specified by generally accepted Government Auditing Standards and all inspectors meet the training requirements as specified by the President's Council on Integrity and Efficiency "Quality Standards for Inspections.</i>			Met Goal
<i>10b. Ensure that all investigators meet the training requirements as specified by Federal law enforcement and other related investigative standards.</i>			Met Goal
	Audits and Inspections	Investigations	
10a, b.	100 percent of the auditors and inspectors met the statutory requirement for continuing education in FY 2011.	100 percent of the investigators met their training requirements including those prescribed by statute.	

FY 2012 Performance Plan

Our work is important to the Department's success in fulfilling its Strategic Plan to address the energy, environmental, science, and nuclear security challenges and mission-related goals. The OIG must ensure that its resources and activities cover the issues and concerns most critical to the Department. This Performance Plan identifies the FY 2012 goals, objectives, and measures that will help the OIG plan its priorities and continue to assist the Department in identifying and taking corrective action to improve areas most vulnerable to waste, fraud, and mismanagement. This Performance Plan also describes the specific projects and activities the OIG plans to undertake during FY 2012 to continue identifying opportunities for cost savings and operational efficiencies, and to continue to return hard dollars to the Department and the U.S. Treasury.

FY 2012 Performance Measures

For FY 2012, the OIG will measure its accomplishments against the following performance measures:

Goal 1 Promote Presidential Reform Initiatives, Secretarial Mission Priorities, and Congress
Objective 1: Conduct reviews seeking positive change in the Department relating to the implementation of Presidential Reform Initiatives, the Secretary's Mission Priorities, and the OIG-identified Management Challenges.
Performance Measures:
<i>1a. At least 75 percent of audit reports will address Presidential, Secretarial, and OIG initiative, priority, and/or challenge as identified in FY 2012.</i>
<i>1b. At least 30 percent of inspection reports will address safety or security-related topics.</i>

Goal 2

Improve economy and efficiency, and reduce waste, fraud, and abuse within the Department

Objective 2:

Concentrate OIG efforts on issues that have the greatest impact and usefulness to the Department.

Performance Measures:

- 2a. Ensure that 100% of performance reviews includes an analysis of program accomplishments and the use of metrics to measure results.*
- 2b. Ensure that 57 percent of performance audits include recommendations leading to demonstrable cost savings, program efficiencies, and/or funds put to better use.*
- 2c. Complete five follow-up reviews annually to determine the status and efficacy of corrective actions.*
- 2d. By June 30, 2012, complete an annual risk-based programmatic assessment that considers OIG institutional knowledge; past program performance; funding levels; Presidential, Secretarial, and congressional concerns; Recovery Act initiatives; and, input from Department program managers.*
- 2e. Ensure 80 percent of planned performance audits and inspections address high-risk areas as identified in the OIG annual risk-based programmatic assessments.*

Objective 3:

Provide timely information to the Department so that prompt action can be taken to improve program performance.

Performance Measures:

- 3a. Issue 80 percent of audit and inspection reports no later than 60 days following receipt of management comments.*
- 3b. Ensure that the average time to issue Investigative Reports to Management is 47 days or less following final resolution of criminal, civil, and administrative investigations.*

Objective 4:

Strengthen financial management and cyber security through completion of mandatory reviews in accordance with OMB and other applicable requirements.

Performance Measures:

4a. Complete annually, by the established due date, the Department's Consolidated Financial Statement audits to determine whether the financial statements are free from material misstatement.

4b. Complete annually, by the established due date, the review of the Department's classified and unclassified information security system programs in accordance with the Federal Information Security Management Act of 2002.

4c. Initiate 10 "Statement of Costs Incurred and Claimed" audits annually to assess internal controls over costs claimed by the Department's major contractors.

Objective 5:

Concentrate investigative efforts on allegations of criminal and civil violations of law that adversely impact major Department programs and operations, with emphasis on maximizing the recovery of public resources and deterring future wrongdoing.

Performance Measures:

5a. Achieve an annual acceptance rate of 74 percent for cases presented for prosecutorial consideration, with an enhanced focus on Recovery Act cases.

5b. Ensure 75 percent of all cases opened focus on key areas of Department vulnerability, specifically contract and grant fraud, environmental, safety and health violations, issues impacting the integrity of government officials, or technology crimes.

Objective 6:

Coordinate with other law enforcement agencies to establish effective networks in order to identify areas that are most vulnerable to waste, fraud, and abuse.

Performance Measures:

6a. Ensure 26 percent of all cases opened were joint agency/task force investigations with external law enforcement agencies, such as the Federal Bureau of Investigation and other OIGs, including other agencies with Recovery Act funding.

Objective 7:

Heighten awareness of potential fraud among internal and external customers.

Performance Measures:

7a. Provide 42 fraud awareness briefings annually to Department and contractor employees and managers and fund recipients, with special emphasis on Recovery Act-related fraud.

Goal 3

Support the Taxpayer

Objective 8:

Provide the Department and the public with an effective and efficient mechanism to report waste, fraud, and abuse.

Performance Measures:

8a. Ensure that the average time to analyze and predicate Hotline complaints is 6 days or less from receipt of allegations.

8b. Ensure that the average time to refer Hotline complaints to the Department or other agency management is 16 days or less following a referral decision.

8c. Meet statutory Whistleblower Retaliation Investigation requirements.

Objective 9:

Make the public aware of OIG reports.

Performance Measures:

9a. Ensure that all OIG public reports that are identified for inclusion on the Internet are posted within 3 working days of submission to the Secretary, unless otherwise specified by the Inspector General.

Objective 10:

Provide a structure for ensuring a skilled and efficient workforce.

Performance Measures:

10a. Ensure that all auditors meet the training requirements as specified by generally accepted Government Auditing Standards and that all inspectors meet the training requirements as specified by the Council of the Inspectors General on Integrity and Efficiency for Inspection and Evaluation.

10b. Ensure that all investigators meet the training requirements as specified by Federal law enforcement and other related investigative standards.

Our Organization

The OIG is organized into two major functional areas and a corporate support office:

- Office of Audits and Inspections
- Office of Investigations
- Office of Management and Administration

Office of Audits and Inspections

Audits

The Office of Audits (Audits) provides internal and contracted audit activities for Department programs and operations, including the National Nuclear Security Administration (NNSA). Audits strives to provide reliable, credible financial and performance information to senior management, the Congress, and the taxpayers. Audits is organizationally aligned with the Department's programmatic lines in national security and science; energy; and environment, technology, corporate and financial operations. Audits will concentrate its efforts on economy, efficiency and program reviews, while maintaining sound oversight of the financial statement audit. This organizational structure helps to ensure that audit work provides comprehensive coverage over Department organizations, programs, and operations while meeting the Department's evolving needs.

With the passage of the Recovery Act, the oversight responsibilities for Audits dramatically increased. The Department received approximately \$38 billion in Recovery Act funding for various energy, environmental, and science programs and initiatives. To protect the interests of the American taxpayers and ensure accountability and transparency, Audits will continue to devote a significant amount of audit resources to fulfill its Recovery Act oversight responsibilities.

Audits uses a risk-based process for identifying areas for audit coverage. Specific areas with known or emerging risks and the greatest vulnerabilities are identified. This process leads to conducting program performance reviews that address the President's Management Agenda; the Secretary's Mission Priorities; OIG-identified management challenges; as well as Congressional interests. A significant portion of audit resources is directed toward meeting OIG statutory audit responsibilities in the financial and information technology areas.

Audits has scheduled 112 performance audits to start in FY 2012. Many non-discretionary tasks from external sources impact the workload and may require postponement or cancellation of planned audits to accommodate these demands. The planned audit workload for FY 2012 is summarized in Appendix A.

Inspections

The Office of Inspections (Inspections) conducts performance, allegation-based and ad-hoc inspections, as well as special inquiries in response to concerns raised by Congress, senior Department managers, and others.

Although Inspections plans a significant portion of its annual inspection work, it retains flexibility so that it can promptly address concerns and allegations received during the course of the year. When planning its performance inspection work, Inspections identifies and prioritizes topics responsive to the Presidential Reform Initiatives, the Secretary's Mission Priorities, and the Department's Management Challenges identified by the OIG. Inspections are initiated with consideration given to their significance and potential impact on Department programs and operations.

In light of the heightened concerns over homeland security, Inspections is focusing its resources to address critical safety and security issues affecting Department programs and operations. The planned inspection workload for FY 2012 is summarized in Appendix A.

Office of Investigations

The Office of Investigations (Investigations) conducts investigations into alleged violations of law that impact Department programs, operations, facilities and personnel. Priority is given to investigations of suspected violations of criminal and civil statutes, as well as serious administrative misconduct. Criminal Investigators within Investigations work closely with Department of Justice (DOJ) prosecutors and other Federal, State, and local law enforcement organizations utilizing a full range of law enforcement authorities, such as carrying firearms, applying for and executing search warrants and making arrests. The work of Investigations, however, extends beyond the conduct of investigations – namely, the office identifies opportunities for improving the economy and efficiency of Departmental programs and operations by issuing reports that recommend positive change. Investigative accomplishments are measured by cases opened in the areas most vulnerable to fraud, investigations accepted for prosecutive action, cooperative efforts with other law enforcement agencies, the timeliness of referrals and recommendations to management, and proactive initiatives. Through accomplishments in those areas, Investigations plays a major role assisting the OIG in promoting the efficient, effective and economical operation of the Department, including NNSA.

National Program Area Initiative

The work performed by Investigations is primarily reactive in nature and has the potential of reaching into any Departmental major program area, including NNSA. The establishment of the National Program Area Initiative has afforded Investigations the opportunity to identify program areas in the Department most vulnerable to fraud, waste, and abuse and to proactively dedicate a significant portion of investigative resources, to include special agent training, liaison development, and specialized studies, to those program areas. The National Program Area Initiative concentrates on four areas, which are also tied into the Department's strategic themes. The four areas are: (1) contract and grant fraud; (2) environment, safety, and health violations; (3) issues impacting the integrity of government officials; and (4) technology crimes. One of Investigation's goals is to have 75 percent of its open investigations address at least one of the four areas. In FY 2012, work on the National Program Area Initiative will continue to move forward as plans are implemented and expanded.

Contract and Grant Fraud

During FY 2011, Investigations continued to identify and interact with key Department and NNSA procurement personnel, as well as conduct fraud awareness briefings with special emphasis on contract and grant fraud. A majority of the Department's budget is expended on contracts and grants; therefore, the opportunity for fraud to occur or exist within various Department programs is significant. Given the continuing potential for significant fraud, to include potential fraud in the Department's Loan Guarantee Program, and the Department's responsibility to oversee the continuing execution of Recovery Act funded programs, in FY 2012, Investigations will continue to: (1) expand fraud awareness briefings throughout the Department, including NNSA, with special emphasis on Recovery Act matters; (2) prioritize contract and grant fraud investigations, placing emphasis on cases with a potential high dollar recovery to the Department; (3) work with established contract and grant task forces, and identify opportunities to establish new task forces with DOJ involvement; (4) coordinate and pursue leads referred by the OIG Office of Audits and Inspections; and (5) proactively identify and pursue contract and grant fraud investigations, with a focus on Recovery Act matters.

Environment, Safety, and Health (ES&H)

The Department's program for cleaning up the environmental contamination caused by nuclear weapons research, production and testing is estimated to cost over \$200 billion over the next several decades. With the end of the Cold War, the mission to clean up the environment has become more essential as a result of more than 50 years of nuclear defense work and energy research. The OIG has identified environmental cleanup as a Department Management Challenge that is likely to persist well into the future. Investigation's ES&H program area supports the Department's clean energy technologies strategic theme. Ensuring the safety and health of the public and the Department's workers is a top priority. In FY 2012, Investigations will continue to:

(1) work with established ES&H task forces; (2) identify opportunities to establish new task forces; and (3) develop and maintain ES&H contacts in the Department, NNSA, and other Government agencies.

Issues Impacting the Integrity of Government Officials

Government officials have a responsibility to maintain the public's trust and confidence in the integrity of the Federal Government programs and operations. The Department employs over 15,000 Federal employees and about 110,000 contractor employees. The Department also owns and manages over 50 major installations in 35 states around the country and has a budget of \$26.4 billion. In FY 2012, based on information received through the OIG Hotline and our interaction with Department officials through fraud awareness briefings and other meetings, Investigations will continue to work with DOJ to address the actions of government officials, which may be criminal in nature or may have resulted in fraud, waste, abuse and mismanagement.

Technology Crimes

Information technology, another of the Department's major issue areas, received a significant amount of Investigations' resources and attention during FY 2011. Investigations' Technology Crimes Section (TCS) is staffed by investigators with the specialized skills necessary to proactively and reactively investigate the expanding number of complex technology crimes that are occurring within many of the Department's programs. TCS further strengthens Investigations' support to the Department, including NNSA, in detecting, preventing and investigating illegal network intrusions. TCS forms a critical part of Investigations' ability to combat fraud, waste and abuse given the increased risks and vulnerabilities associated with security breaches, computer systems intrusions, virus attacks, and employee misuse. During FY 2012, TCS will: (1) continue to proactively support fraud investigations through consultations and forensic media analysis; (2) investigate incidents of technology crimes and non-compliance with applicable regulations involving protection of the information infrastructure throughout the Department; (3) extend Investigations' role in technology incident response and investigations in the Department; and (4) provide technology crimes awareness briefings throughout the Department complex.

Proactive Work

Traditionally, Investigations' response to allegations of wrongdoing has been reactive in nature. However, Investigations has succeeded in implementing a process that streamlined and formalized proactive case development with a targeted approach designed to ensure more efficient and effective use of resources. In FY 2012, Investigations will continue its pursuit of proactive initiatives designed to effect positive change within the Department and enhance Investigations' ability to meet organizational goals and objectives. Close attention will be paid to Investigations' infrastructure needs to ensure adequate skills, tools, and processes are in place to respond promptly and appropriately to emerging priority issues identified by the President, Secretary, Congress

and the public. Partnerships with other established law enforcement agencies, Federal and state agencies, Department managers and employees will be expanded and productive sources of information will be further cultivated.

Appendix A

Fiscal Year 2012 Planned Audits and Inspections

Audits

American Recovery and Reinvestment Act

- * Research and Development Program for the Office of Electricity Delivery and Energy Reliability (OE)
- * Energy Efficiency & Renewable Energy (EERE) Biomass and Biorefinery Projects
- * Workforce Development for the Electric Power Sector
- * The Department of Energy's (Department) Water Power Program
- * Interconnection Transmission Planning Program
- * Loan Guarantee Program's Use of Financial Institutions
- * EERE Hydrogen and Fuel Cell Technologies Program
- * Office of Fossil Energy's Clean Coal Power Initiative Round III
- * Office of Fossil Energy's Industrial Carbon Capture & Storage Technologies
- * Program Review of the Department's Solid State Lighting Program
- * Energy Efficiency and Conservation Block Grant Program
- * State Energy Program
- * Weatherization Assistance Program
- * Small Businesses Awards under the Recovery Act
- * Industrial Technology Projects to Develop Renewable Industrial Energy Sources (Landfill to Gas Projects and Waste to Gas Projects)
- * Restructured FutureGen Project
- * Demolition of the K-33 Building at East Tennessee Technology Park
- * Hydrogen Energy California Project
- * Office of Science Climate Program

Central Division

- * Energy Innovation Hubs
- * Commercialization Efforts at National Laboratories
- * Bioenergy Research Centers
- * Cooperative Research and Development Agreements at National Nuclear Security Administration (NNSA) National Laboratories
- * Management of Minimum Efficiency Standards
- * Bonneville Power Administration (BPA) Wind Integration
- * Auditing Requirements of For-Profit Recipients of Federal Assistance
- * Cooling Load Management and Optimization

- * Product Substitution
- * Utility Incentive Programs
- * Research and Development Program for Nuclear Energy (NE)

Eastern Division

- * Contract Awards Made to Tax Delinquent Contractors
- * Follow-up Audit on Controls over the Department's Performance Measures
- * Use of Time-and-Materials Contracts
- * Management of Overhead Costs at Environmental Management (EM) Sites
- * Follow-up on Term Assignments of Contractors
- * The External Independent Review Process over Project Management at the Department
- * Water Management Program
- * Follow-up Audit of Department's Pollution Prevention Programs
- * Management of Energy-Related Research and Development Activities
- * EERE's Auditing Requirements of For-Profit Recipients of Federal Assistance
- * Program Management at Paducah
- * Office of Legacy Management
- * EM's Activities at Small Sites
- * Safeguards Over Wet Storage of Spent Nuclear Fuel
- * Advanced Recovery and Integrated Extraction System (ARIES)
- * EM's Consolidated Business Center
- * Special Nuclear Materials Storage Consolidation
- * Performance Management and Fee Determination at the Savannah River Site (SRS)
- * Impact of New Strategic Arms Reduction Treaty on Tritium Needs
- * Management of Los Alamos National Laboratory's (LANL) Information Technology Program
- * The Department's Cyber Security Incident Management Program
- * Management of Naval Reactors' Information Technology Program
- * Management of Western Area Power Administration's Information Technology Program
- * System Development Efforts at the NNSA
- * The Department's iPortal System
- * Cyber Security Continuous Monitoring Process
- * Controls Over Access to Classified Information & Media at the Oak Ridge Reservation
- * Management of the NNSA Office of Secure Transportation's Transportation Command and Control System
- * Implementation of the Federal Information Security Management Act (FISMA)
- * Federal Energy Regulatory Commission's (FERC) Implementation of FISMA

Western Division

- * Configuration Management at the NNSA National Laboratories
- * Global Threat Reduction Initiative Removal and Protection Programs
- * NNSA's Dynamic Materials Properties
- * Follow-up Audit of Sandia National Laboratories Nuclear Weapons Safety Program
- * NNSA's Engineering Campaign
- * NNSA's Mitigation of Natural Disasters at its Facilities
- * NNSA's Facilities and Infrastructure Recapitalization Program
- * Performance of the Naval Reactors Advanced Research and Development Programs
- * EM's Transuranic Waste Disposition Goals
- * NE Fuel Cycle Research & Development Program
- * National Security and Work for Other Federal Agencies at the Idaho National Lab (INL)
- * Pit Production Capability
- * Radioactive Liquid Waste Treatment Operations at LANL
- * Chemical and Metallurgical Research Building Replacement Project
- * Follow-up Audit of the Los Alamos Neutron Science Center
- * NNSA's Materials Protection, Control & Accounting Program
- * Follow-up of NNSA's Enhanced Surveillance Campaigns
- * Managing & Operating (M&O) Contractors' Defined Pension Plans
- * NNSA M&O Contractors' Incentivized Cost Savings Claims
- * NNSA Contractors' Health Benefit Plans
- * Atmospheric Release Monitoring Capabilities
- * Utilization of Lawrence Livermore National Laboratory Institutional Centers
- * Kansas City Responsive Infrastructure Manufacturing and Sourcing
- * NNSA's Dismantlement Program
- * Follow-up Audit on the Criticality Experiment Facility at the Nevada National Security Site
- * High Explosive Pressing Facility Project
- * Tank Waste Feed Delivery System Readiness at the Hanford Site
- * Quality Assurance: Design Control for the Waste Treatment Plant at the Hanford Site
- * Use of the Environmental Molecular Science Laboratory
- * EM Land / Footprint Reduction
- * Domestic Material Protection
- * Uranium Processing Facility Project at the Y-12 National Security Complex
- * Nuclear Weapons Programs Heavy Water Inventory Follow-Up
- * Spallation Neutron Source at Oak Ridge National Lab (ORNL) Follow-Up

Government Management Reform Act (GMRA)

- * The Department's Consolidated Financial Statement Audit FY 2012
- * FERC's Financial Statement Audit FY 2012
- * Decommissioning and Decontamination Fund FY 2012 GMRA
- * Southwestern Power Administration Financial Statement Audit FY 2012
- * Nuclear Waste Fund FY 2012
- * The Department's Improper Payment Reporting in the Fiscal Year 2012 Annual Financial Report
- * Information Technology Management Letter FY 2012
- * Financial Management Letter FY 2012

Statement of Costs Incurred and Claimed (SCIC)

- * LANL - Los Alamos National Security LLC 2010 SCIC
- * ORNL - UT Battelle LLC 2011 SCIC
- * Sandia National Laboratories - Lockheed Martin 2011 SCIC
- * Lawrence Livermore National Laboratory - Lawrence Livermore National Security LLC 2011 SCIC
- * SRS - Savannah River Nuclear Solutions 2010 SCIC
- * Pacific Northwest National Laboratory - Battelle Memorial Institute 2010 SCIC
- * Naval Reactors - Bechtel Marine Propulsion Corporation 2011 SCIC
- * Oak Ridge Y-12 - Babcock & Wilcox Y-12 LLC 2010 SCIC
- * INL - Battelle Energy Alliance LLC 2011 SCIC
- * Lawrence Berkeley National Laboratory - The Regents of University of California 2011 SCIC
- * Brookhaven National Laboratory - Brookhaven Science Associates LLC 2008-2011 SCIC
- * Fermilab - FERMI Research Alliance 2008-2011 SCIC
- * Strategic Petroleum Reserve - Dyn-McDermott Petroleum Operations 2009-2011 SCIC
- * Advanced Mixed Waste Treatment Plant - BWXT Idaho 2011 SCIC
- * Waste Isolation Pilot Plant - Washington TRU Solutions 2008-2011 SCIC
- * Nevada Test Site - National Security Technologies 2008-2011
- * Kansas City Plant - Honeywell 2009-2011 SCIC
- * Bechtel Jacobs LLC FY 2011

Inspections

Safety

- * Safety Risks to Workers and the Environment at SRS
- * Review of the Evaluation of Health and Safety Risks at a Selected Department Site(s)
- * Inspection of the Waste Receiving and Processing Facility (WRAP) at the Hanford Site
- * Hazardous Materials and Safety Training at LANL
- * Waste Characterization Strategy at LANL

Security

- * Review of Classification Program at a Selected Department Site
- * Lock and Key Program at Selected Department Sites
- * ORNL Implementation of DOE O 142.3, *Unclassified Foreign Visits and Assignments Program*
- * Management of the Intrusion Detection and Assessment Systems at Department Sites
- * Management of Excess Weapons Inventories and Select Sensitive Equipment
- * Protective Force Weapon System Maintenance and Accountability
- * Homeland Security Presidential Directive 12 Upgrades at a Selected NNSA Site(s)
- * Protective Force Weapons Management at the Pantex Plant

Corporate Management

- * Purchase Card Review at Selected Sites
- * Water Management Program
- * Deferred Maintenance and Repair at the SRS
- * Education Assistance Program at a Department Site
- * BPA Government Credit Card Usage
- * Inspection of an EM Construction Project For Issues Relating to Improper Payments

Implementation Reviews

- * Follow-up Inspection on Characterization Wells at LANL
- * Follow-up Drug Testing for Security Positions at Portsmouth and Paducah Sites
- * Follow-up on Material Control and Accountability at LANL
- * Follow up Inspection on Management of Facility Contractors Assigned to the Washington, D.C. Area