



Development of the Electrochemical Solution Growth (ESG) Technique for Native GaN Substrates

DOE Energy Storage & Power Electronics Research Program
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**Sponsor: Gil Bindewald, DOE Power Electronics
& Energy Storage Program**



Outline

- **Motivation**
- **Existing GaN Growth Technique**
 - **Epitaxial Lateral Overgrowth**
 - **Methods for Growing Bulk GaN**
- **Development of the Electrochemical Solution Growth Technique**
 - **Electroplating GaN from Ga^{+3} and N^{-3}**
 - **Electrochemical Solution Growth (ESG)**
 - **Initial Results**



Project Objective

To develop a novel, scalable, cost-effective growth technique for producing high quality, low dislocation density bulk gallium nitride for substrates for GaN-based power electronics.

Project Start: 5/08

Previous Funding: DOE's Solid-State Lighting

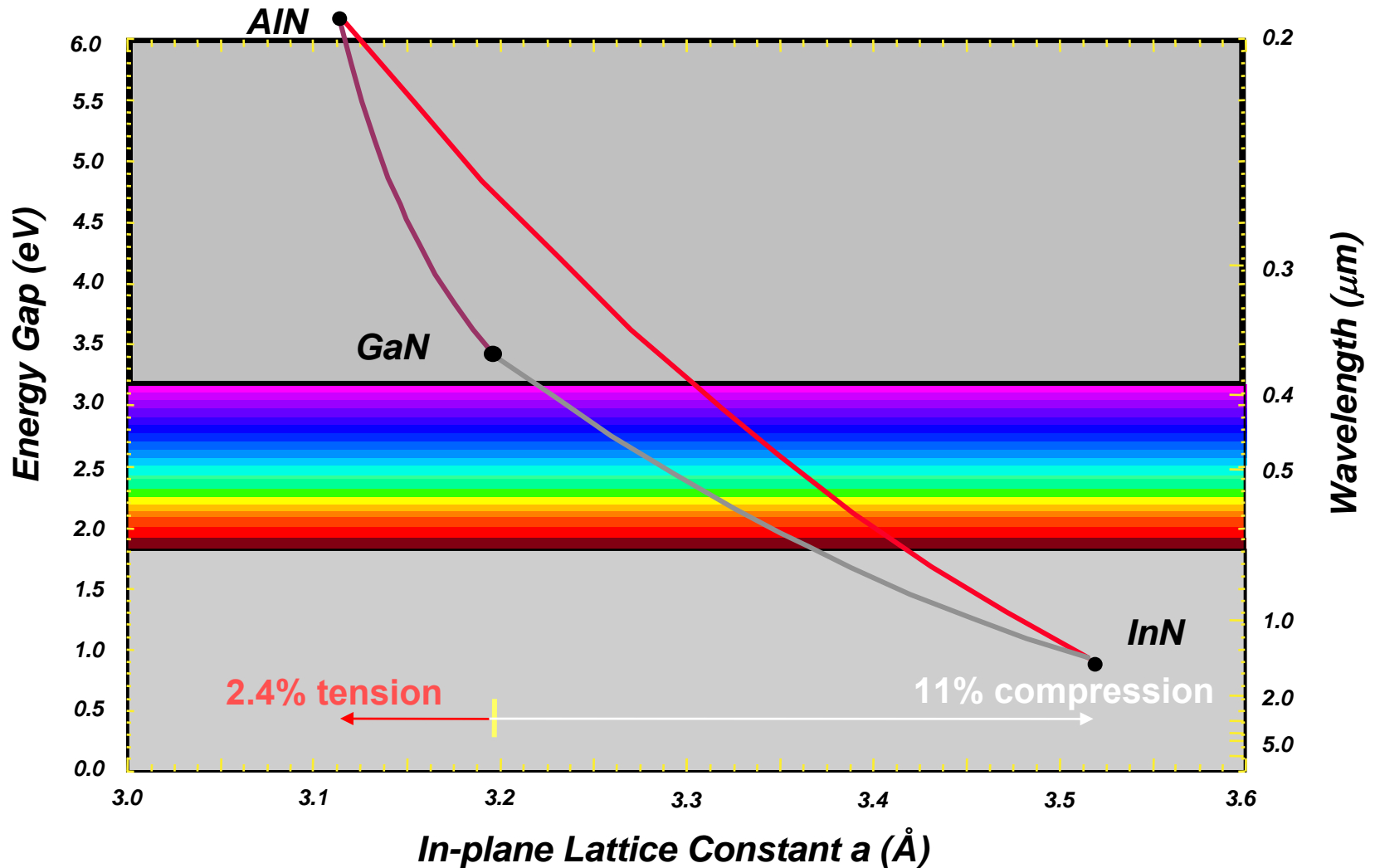


Combined Figure of Merit

	K (W/cm°C)	E_c (MV/cm)	ϵ	μ (cm ² /Vs)	v_s	Combined Figure of Merit
Si	1.31	0.3	11.8	1350	1×10^7	1
SiC	4.9	2	10	650	2×10^7	136
GaN	1.3	3.3	9	1200	2.5×10^7	153

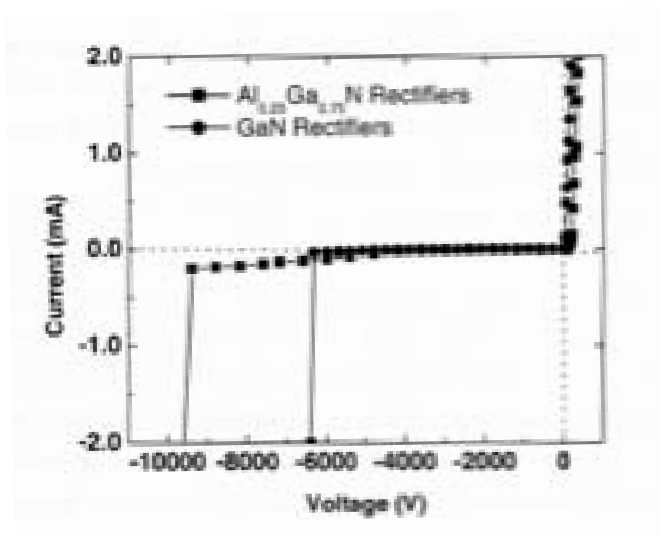


Energy gap - lattice parameter diagram of III-nitrides





Heterostructure Rectifiers Offer Improved Breakdown Voltages



9.7 kV for Al_{0.25}Ga_{0.75}N

Leakage current due to bulk defects



GaN is Grown Heteroepitaxially on Sapphire (and Silicon Carbide) Substrates

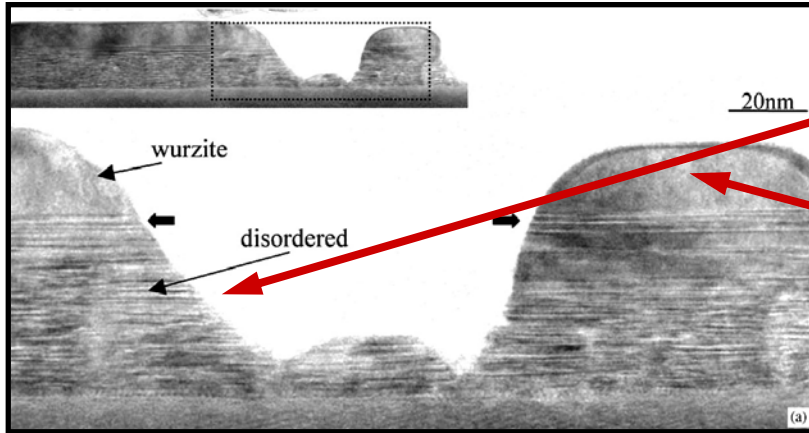
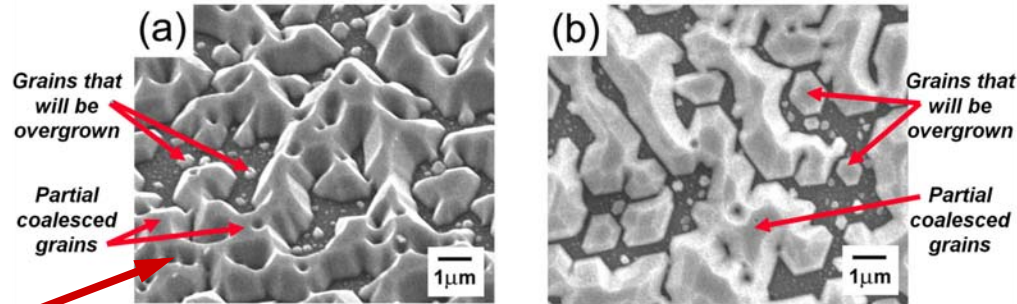


Figure from Lada et al., *J. Crystal Growth* 258, 89 (2003).

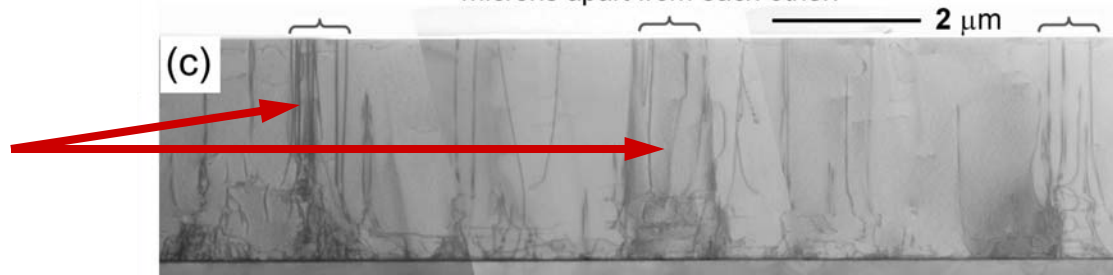
- As grown GaN nucleation layers contain disordered GaN with many stacking faults.
- Once annealed, wurtzite GaN forms on top of disordered GaN NL, forming nano-sized GaN nuclei from which further high temperature GaN growth occurs.

- High temperature growth on the GaN nuclei produces GaN grains.
- Growth conditions can be varied to enhance the pyramidal growth mode or lateral coalescence. Dislocations are bent laterally on pyramidal facets.
- Dislocations are concentrated in bunches located microns apart.

SEM Images of 3D GaN grain growth



The threading dislocation appear in bunches which are located a few microns apart from each other.



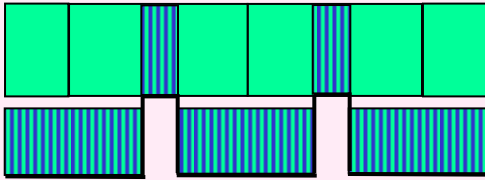
TEM cross section



Methods for growing bulk GaN

Dislocation Filtering Techniques

Lateral Overgrowth



HVPE



Liftoff process

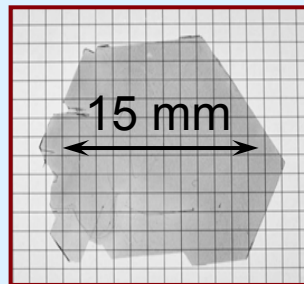
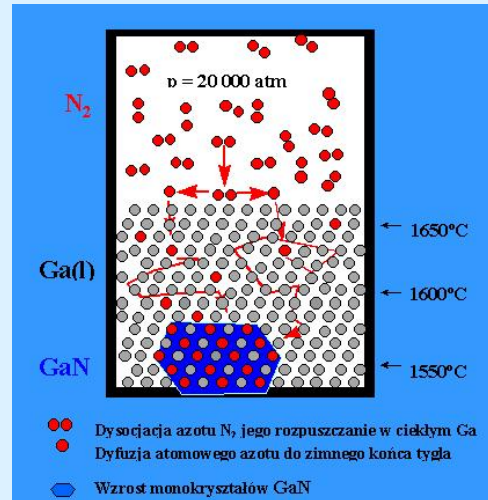


Polishing



"True" Bulk Techniques

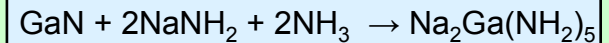
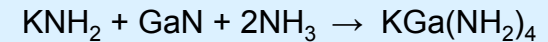
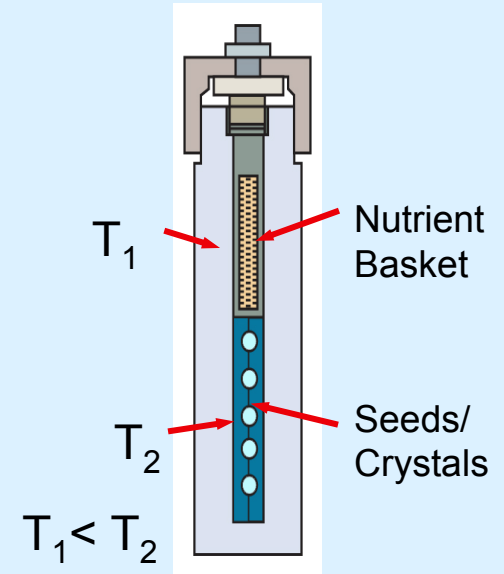
High Nitrogen Pressure



$P = 10^5\text{ atm}$
 $T = 1500\text{C}$
 $t = 100\text{ hr}$
 $h = 100\ \mu\text{m}$

Dislocation density = 10^2cm^{-2}

Ammonothermal growth



4,000 – 5,000 atm

$T = 400 - 800^\circ\text{C}$

G.R. = $50\ \mu\text{m/day}$

Multiple seeds



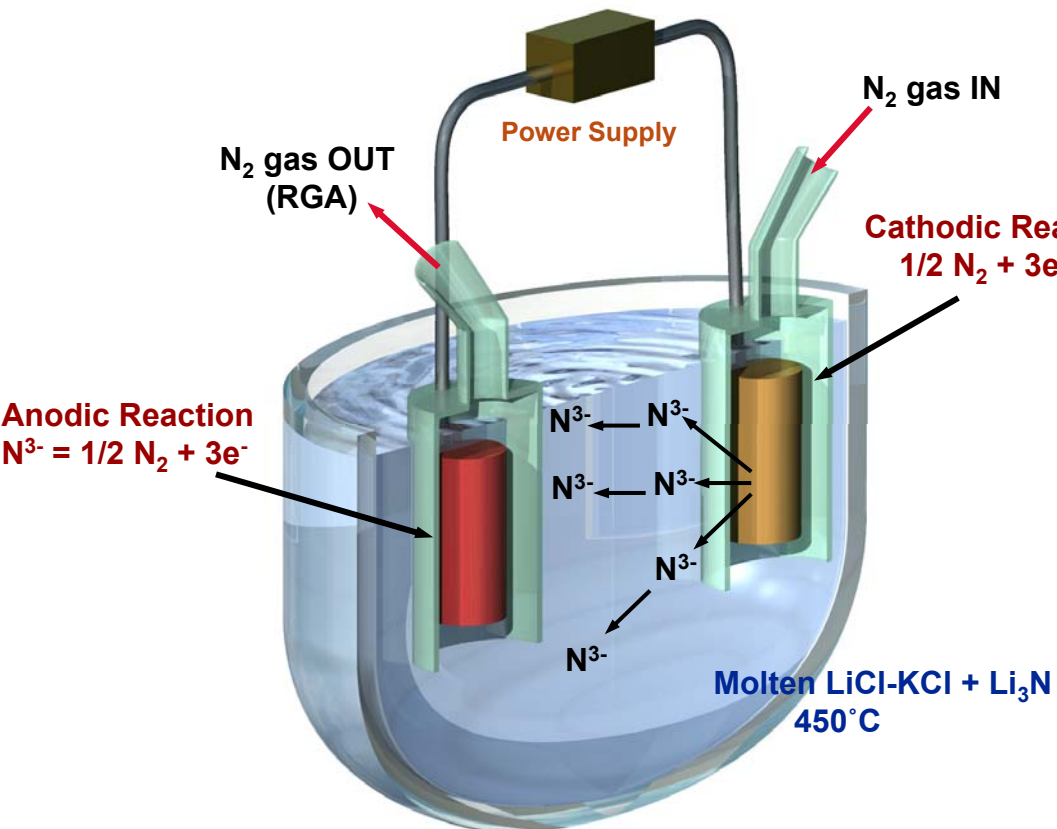
Desires/Requirements for a Bulk Growth Technique

- **Good crystalline quality ($\rho \leq 1 \times 10^5 \text{cm}^{-2}$)**
- **High growth rate ($\sim \text{mm/hr}$): high throughput, high volume production**
- **Low impurity content**
- **Scalable**
- **Controllable**
- **Manufacturable**
- **Reasonably inexpensive**
- **Applicable to InN, GaN, AlN, and III-N alloys**

$1/2N_2 + 3e^- \rightarrow N^{3-}$: The Reactive Intermediate

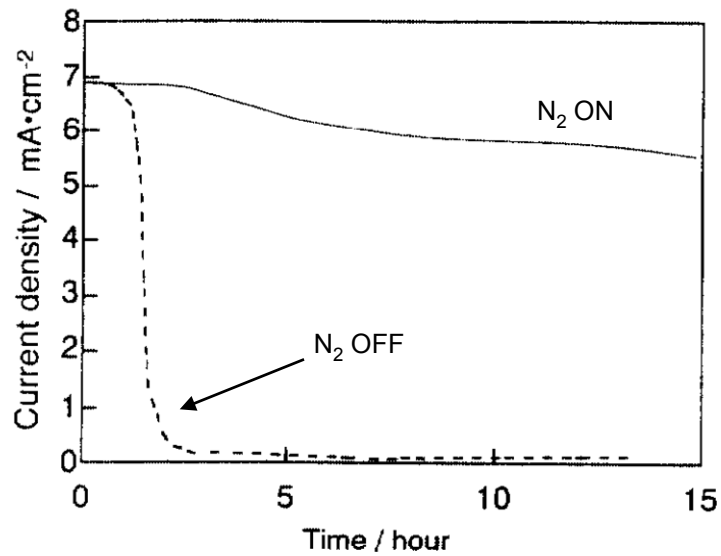
T. Goto and Y. Ito, "Electrochemical reduction of nitrogen in a molten chloride salt" *Electrochimica Acta*, Vol. 43, Nos 21-22, pp 3379-3384 (1998).

Found that nitrogen was **continuously** and **nearly quantitatively** reduced to nitride ions



Advantages of using N₂ gas:

- Clean
- Inexpensive
- Control over precursor conc.
- Continuous, controlled supply

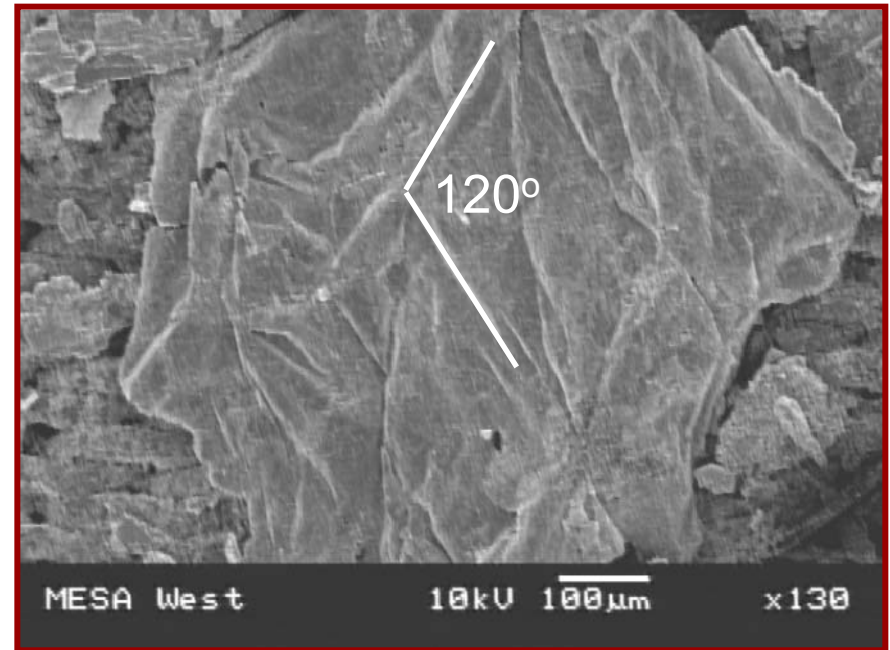
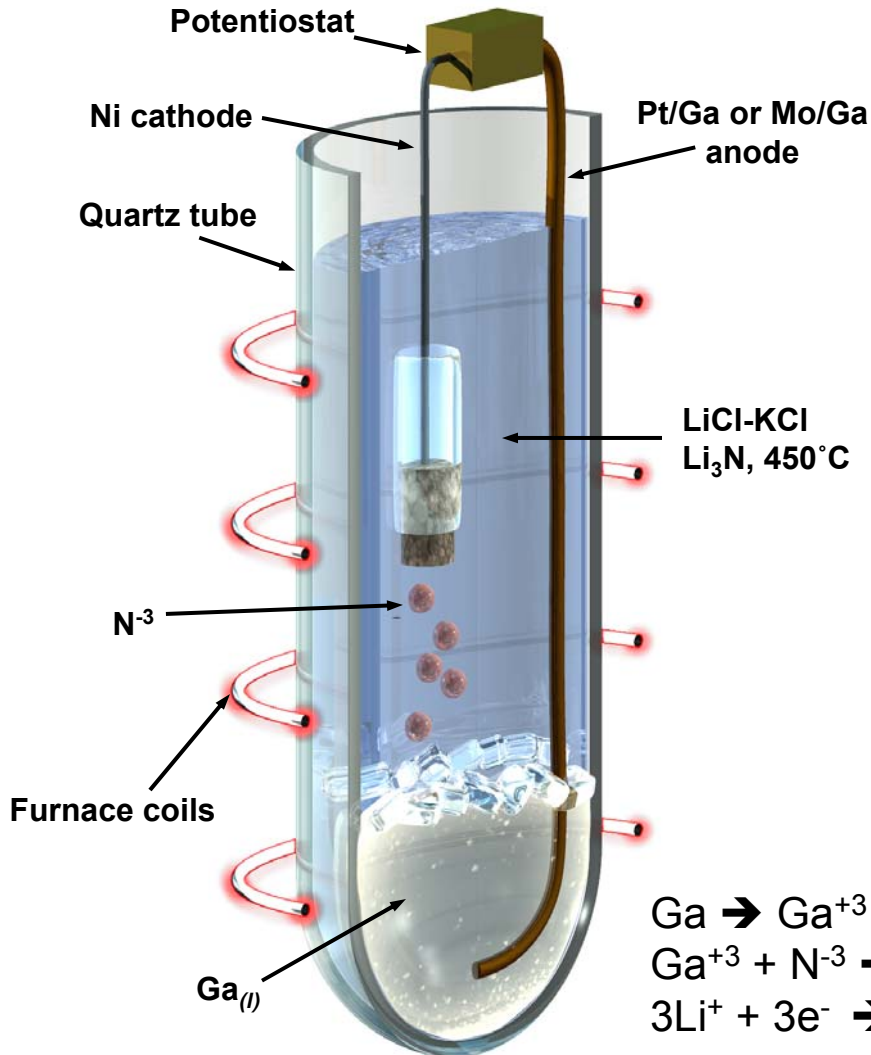


Report of nitride concentration in LiCl
in literature: 12 mole %

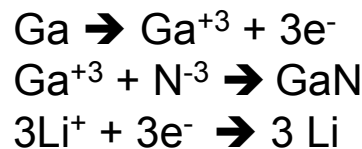


Initial Experimental Setup: Unseeded Growth of GaN in a Test Tube

Li_3N or $(\text{Li}_3\text{N} + \text{N}_2) + \text{Ga}$, 450°C , current sweep, 2 hours

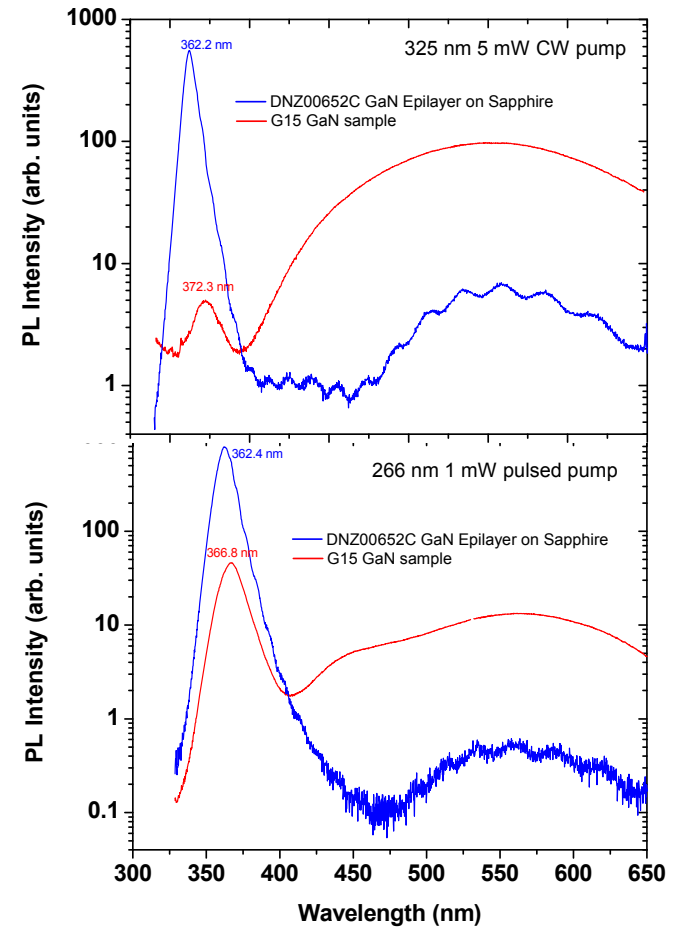
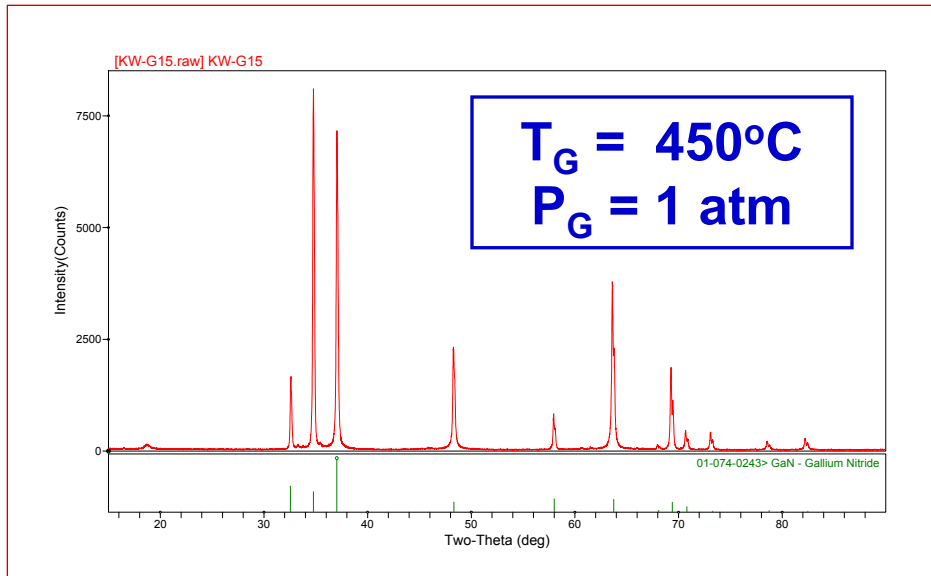
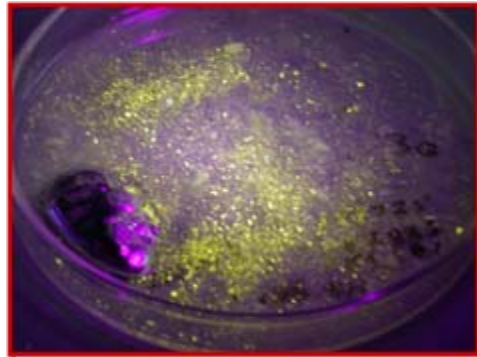
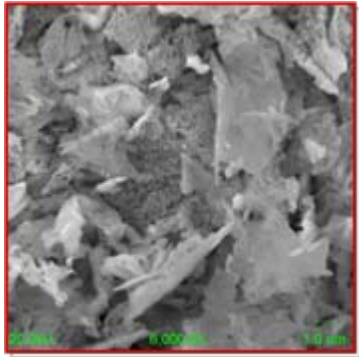


Produced numerous wurtzite GaN crystals;
This crystal was $\sim 1.25\text{mm}$ long x 0.8mm wide



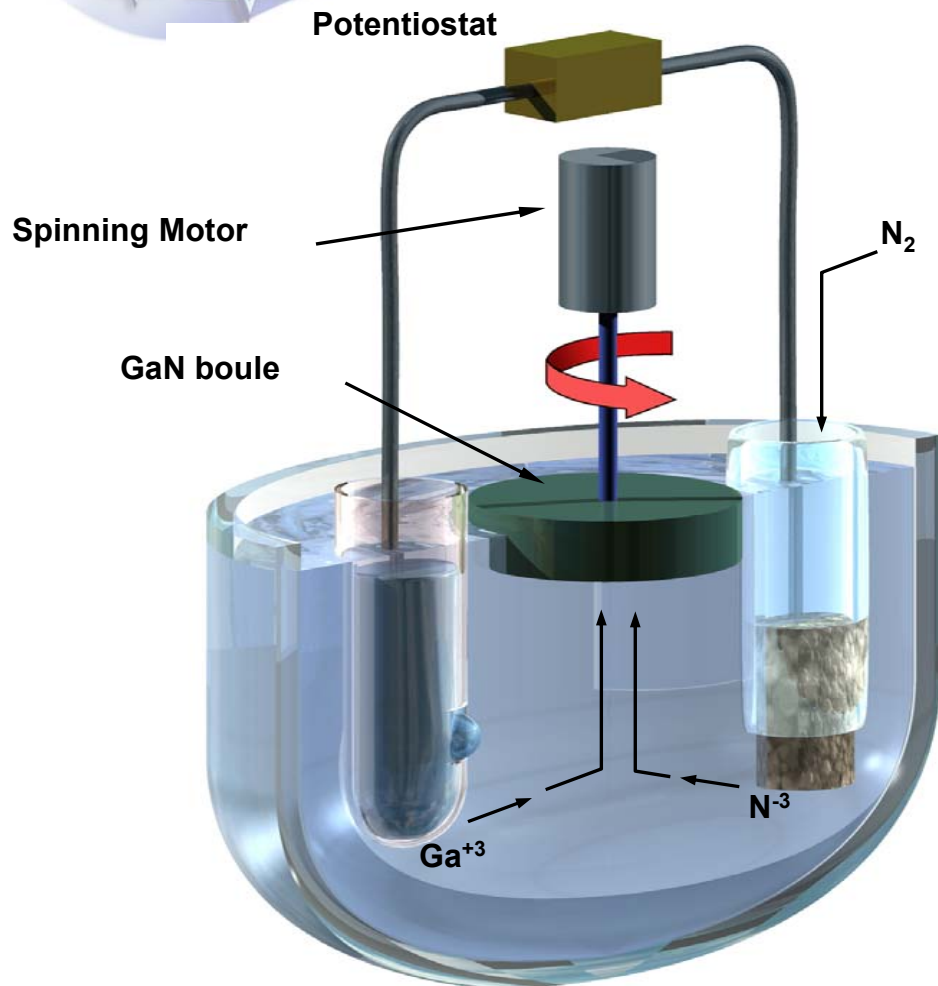


GaN ESG Produces Photoluminescent GaN Crystallites



Mary Crawford, SNL

New Growth Technique: Electrochemical Solution Growth (ESG)



Use salt flow to deliver precursors
Increase growth rate through flux
of reactants (increase spin rate)

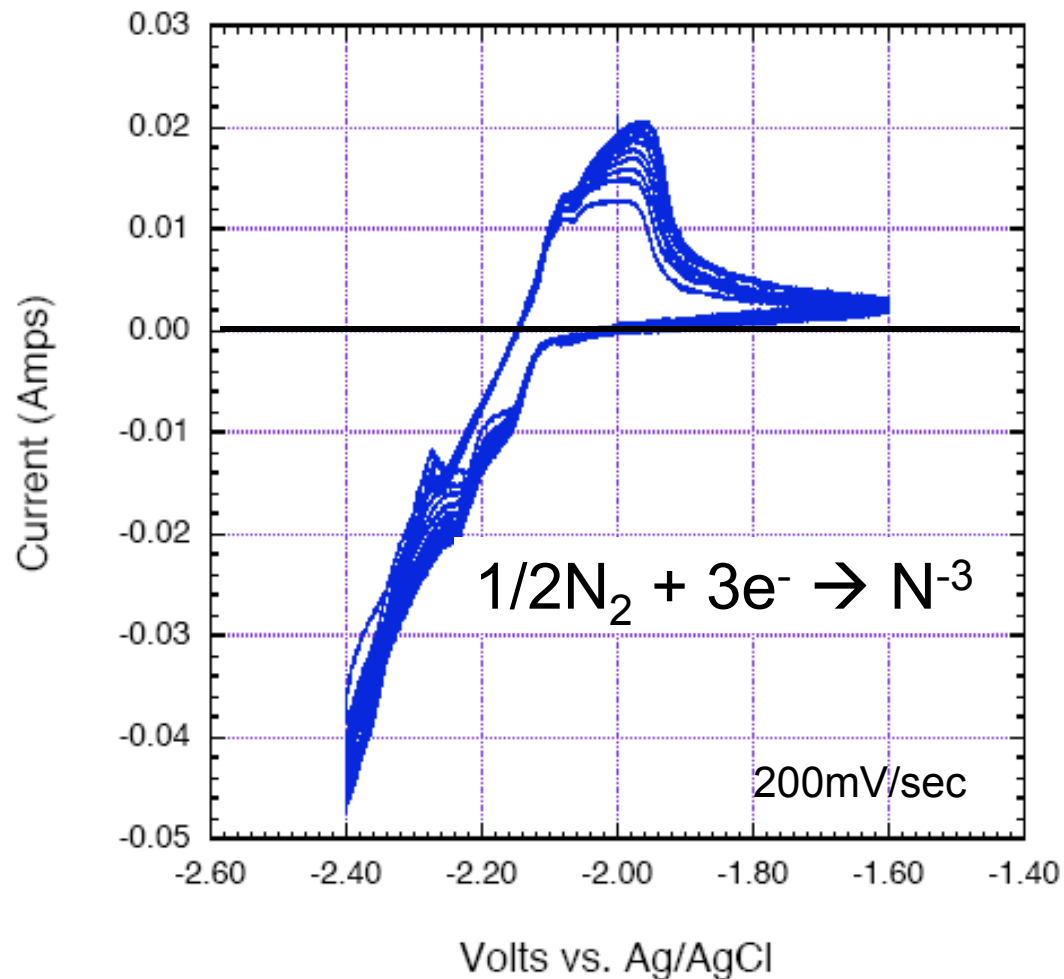
- Half-reaction 1:
 - $1/2N_2 + 3e^- \rightarrow N^{-3}$
 - N^{-3} concentrations ~12 mole %
- Half-reaction 2:
 - $Ga \rightarrow Ga^{+3} + 3e^-$
 - Ga^{+3} equilibrium concentrations ~1 mole %

***Precursors can be replenished as they are consumed
Advantage: Continuous, isothermal or steady-state growth***

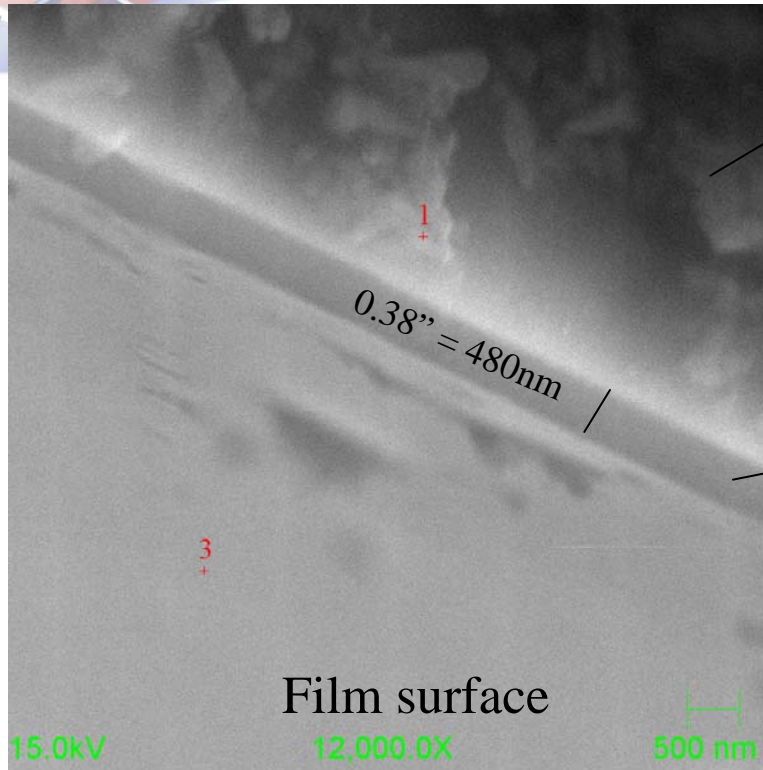
U.S. Patent filed April 11, 2005



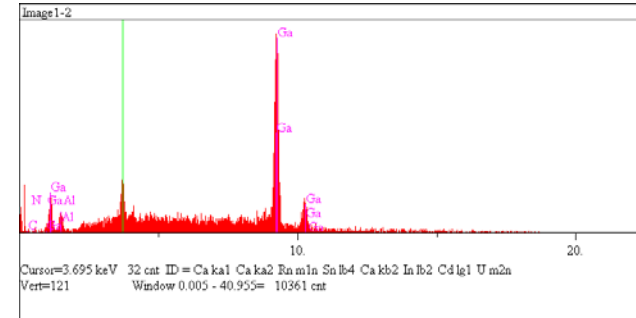
Example of Nitrogen Gas Reduction Cyclic Voltammograms



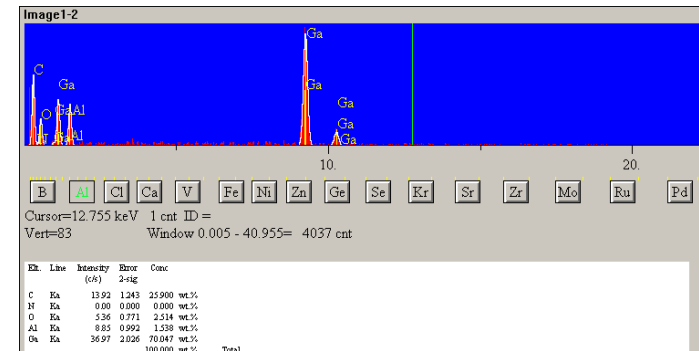
SEM of RD-ESG Growth Run #1



MOCVD-grown GaN



Ga, Al-containing layer



- SIMS revealed the layer to be a graphitic carbon layer, with Ga, N, and GaN clusters
 - GaN content was about 10%
 - Profile was consistent with an increasing concentration
- Problem with salt purity from supplier
 - Working it out with supplier
 - Developing in-house purification technique for reagent grade salt

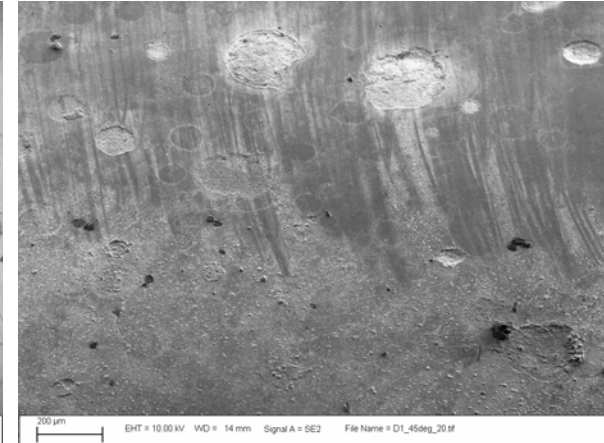
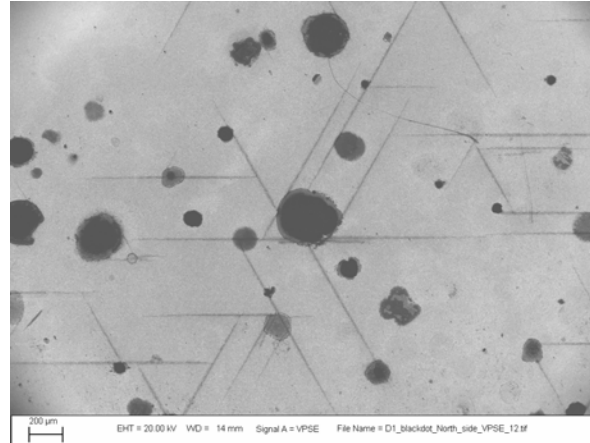
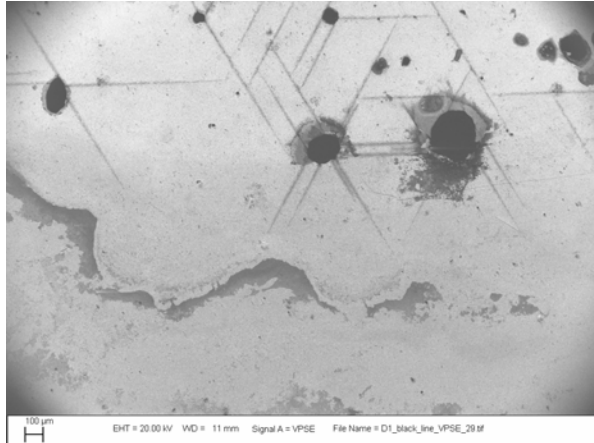


Industrial Partner (GNOEM) Hardware Development

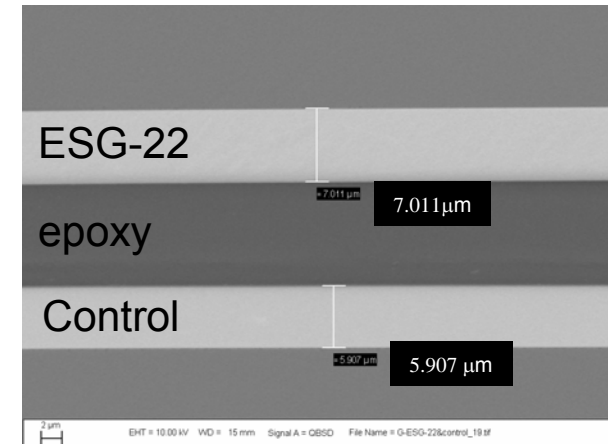




First GNOEM RD-ESG Experiment

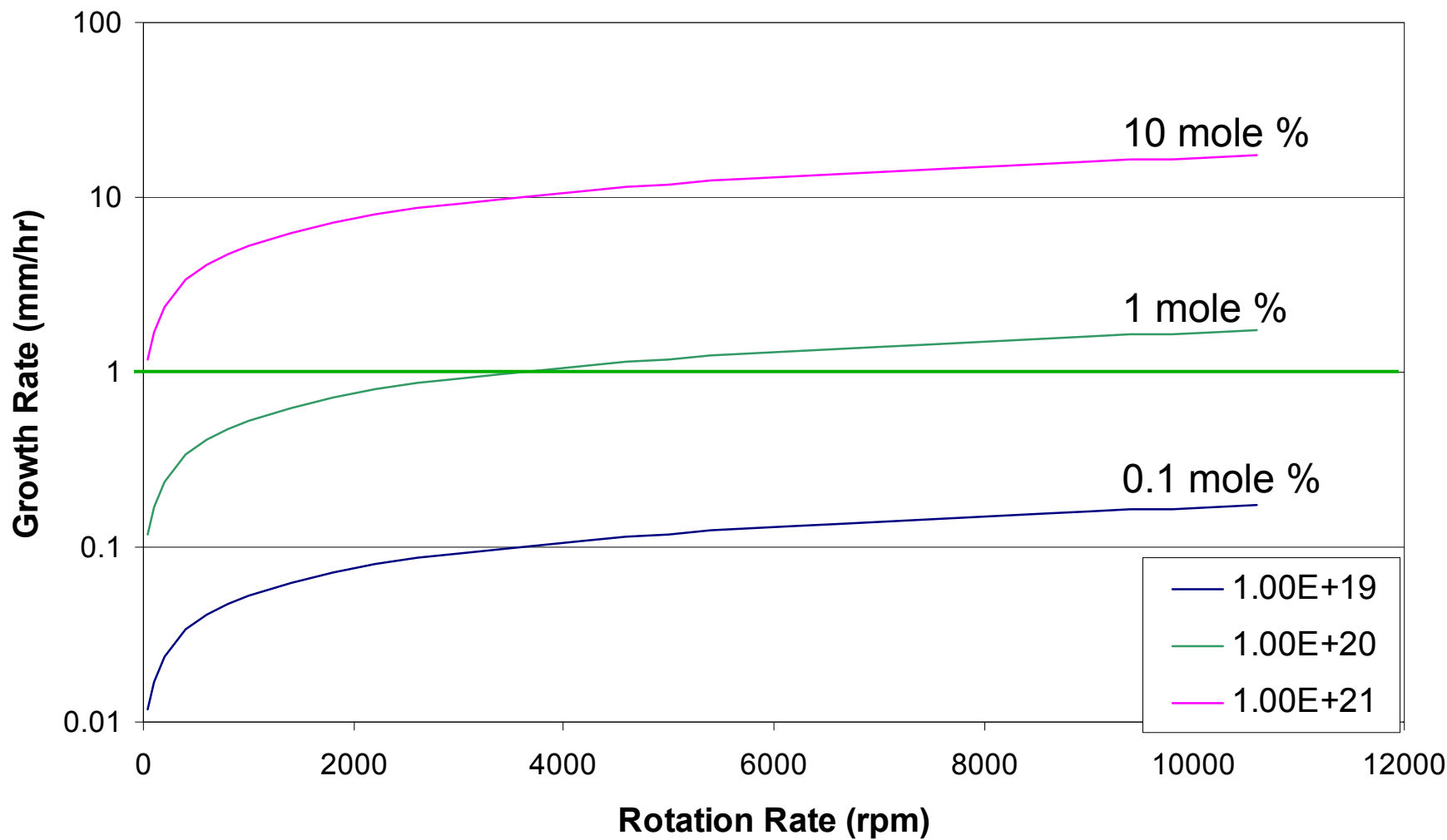


- **Hardware failure**—susceptor sheared, not sure when
- **Black line on sample surface** delineated a higher, specular region and lower, roughened area
- **Defect selective etching** observed (several microns/hr)
- **Highly encouraging for crystal quality**
 - Must identify the conditions under which this takes place
- **Polished cross sections of control and experiment sample** consistently measure about 1 μm thicker for experiment





Growth Rate vs. Rotation Speed and Concentration





Summary: Path For Development

- ✓ • **Demonstrate that chemistry is viable**
 - **Kinetics and thermodynamics are favorable in this setup**
- ✓ • **Check for dissolution and precipitation approach**
- ✓ • **Develop N₂ electrochemical reduction methods**
- ✓ • **Develop initial fluid dynamics schemes**
- ⇒ • **Deposit GaN on a seed crystal**
 - **Improve crystal quality**
 - **Optimize growth rate**



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