

U.S. Department of Energy SURVEY/INSPECTION REPORT FORM

1. Type: Survey: <input type="checkbox"/> Initial <input type="checkbox"/> Periodic <input type="checkbox"/> Special <input type="checkbox"/> Termination <input type="checkbox"/> OA Reviews: <input type="checkbox"/> NPR <input type="checkbox"/> EPR <input type="checkbox"/> Self-Assessment		2. Report #:	
3. Facility Name:		4. a. Facility Code: b. RIS Code:	
5. Survey Date(s):	6. a. Findings: <input type="checkbox"/> Yes <input type="checkbox"/> No b. Findings Against Other Facilities:	7. Composite Rating:	
8. Previous Survey Date(s): Next Survey Date:	9. Unresolved Findings: <input type="checkbox"/> Yes <input type="checkbox"/> No	10. Previous Rating:	
11a. Surveying Office:	11b. Cognizant Security Office:	11c. Other Offices with Interests:	
12. Ratings:			
a) PROGRAM MANAGEMENT OPERATIONS PROTECTION PROGRAM MANAGEMENT _____ Program Management and Administration _____ Resources and Budgeting _____ Personnel Development and Training _____ S&S PLANNING AND PROCEDURES _____ MANAGEMENT CONTROL _____ Surveys and Self Assessment Programs _____ Performance Assurance Program _____ Resolution of Findings _____ Incident Reporting and Management _____ PROGRAM WIDE SUPPORT _____ Facility Approval and Registration of Activities _____ Foreign Ownership, Control or Influence _____ Security Management in Contracting _____ OVERALL RATING _____		d) INFORMATION SECURITY BASIC REQUIREMENTS _____ TECHNICAL SURVEILLANCE COUNTERMEASURES _____ OPERATIONS SECURITY _____ CLASSIFICATION GUIDANCE _____ CLASSIFIED MATTER PROTECTION & CONTROL _____ Control of Classified Matter _____ Special Access Programs and Intelligence Information _____ OVERALL RATING _____	
b) PROTECTIVE FORCE _____ MANAGEMENT _____ TRAINING _____ DUTIES _____ FACILITIES AND EQUIPMENT _____ OVERALL RATING _____		e) PERSONNEL SECURITY ACCESS AUTHORIZATIONS _____ HUMAN RELIABILITY PROGRAMS _____ CONTROL OF CLASSIFIED VISITS _____ SAFEGUARDS AND SECURITY AWARENESS _____ OVERALL RATING _____	
c) PHYSICAL PROTECTION ACCESS CONTROLS _____ INTRUSION DETECTION & ASSESSMENT SYSTEMS _____ BARRIERS AND DELAY MECHANISMS _____ TESTING AND MAINTENANCE _____ COMMUNICATIONS _____ OVERALL RATING _____		f) MATERIALS CONTROL & ACCOUNTABILITY PROGRAM ADMINISTRATION _____ MATERIAL ACCOUNTABILITY _____ MATERIALS CONTROL _____ OVERALL RATING _____	
g) FOREIGN VISITS AND ASSIGNMENTS Sponsor Program Management & Admin _____ Counterintelligence Requirements _____ Export Controls/Tech Transfer Requirements _____ Security Requirements _____ Approvals And Reporting _____ OVERALL RATING _____			
13. Report Prepared by: Date:		14. Report Approved by: Date:	
15. Distribution:			
16. General Comments:			

Ratings: S = Satisfactory M = Marginal U = Unsatisfactory DNA = Does Not Apply