

Ultra Low Energy, Low Cost Industrial Nanomembrane Manufacturing for Desalination, Water Purification, and Remediation

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Covalent LLC

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Phase 2: April 2016-April 2018

Presenter: Gayle Pergamit, Covalent LLC

Principal Investigator: Martin Edelstein, Covalent LLC

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This presentation does not contain any proprietary, confidential, or otherwise restricted information.

Project Objective

- **Objective:** One atomic-layer-thick, atomically precise membranes for water applications. Disruptive technology.
- Address the Water/Energy Nexus, Worldwide Water Crisis, emerging Groundwater Crisis, climate goals.
- Provide ultra high quality water at 66-99% energy savings and 50-70% cost savings over current best practice from virtually any feedstock, no matter how contaminated.

- **Problem:** Clean/cheap water is gone.
- Desalination and removing small contaminants from freshwater is energy intensive and costly.
- Using conventional technologies, water will consume ~40% of global energy expenditure.

Difficulties: Technical, Business

1. Technical Domain: Water chemistry

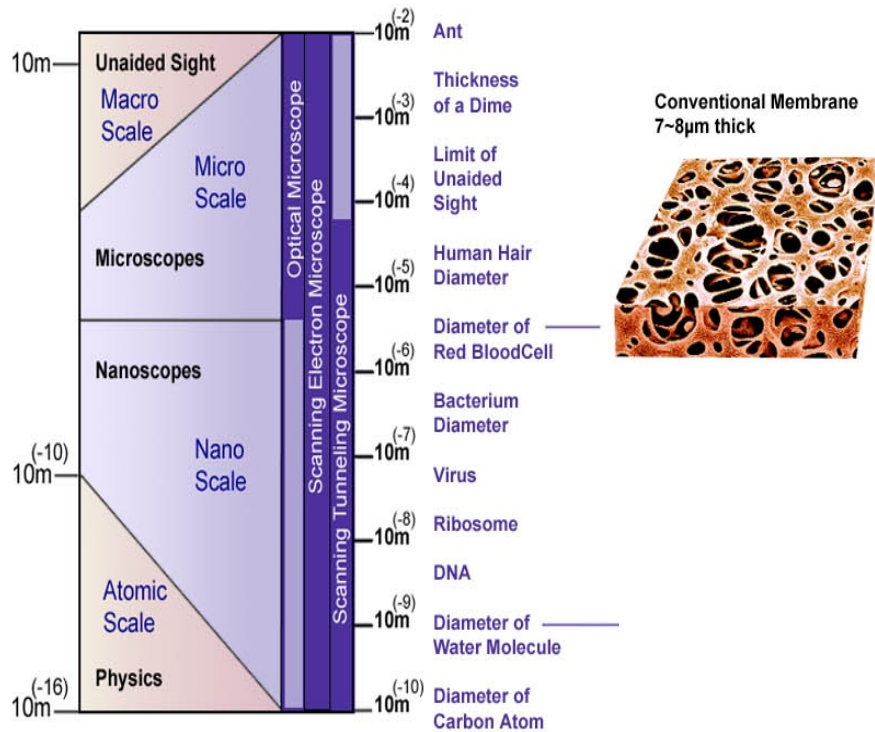
- **Complex.** ~500,000 contaminants
- **Tough.** Huge number of foulants and scalants
- **Changing.** Day/night, seasons, environmental change, human action
- **Local.** Foulants, contaminants vary from source to source.
- **Extreme.** Huge pH range, hot, cold, radioactive, oily, etc.

2. Operations: Success needed on multiple criteria.

- Performance
- Yields of product water
- Maintenance requirements
- Membrane/ electrode lifespans
- Pre-treatment and post-treatment
- Waste disposal
- Price

Technical: Conventional

- Today: high energy techniques or bulk, imprecise technologies with high energy requirements. **Difficult** to extract more than incremental technical improvements.



Conventional polymeric membrane used for Reverse Osmosis. High energy costs. Invites fouling, scaling, concentration polarization within the pores.

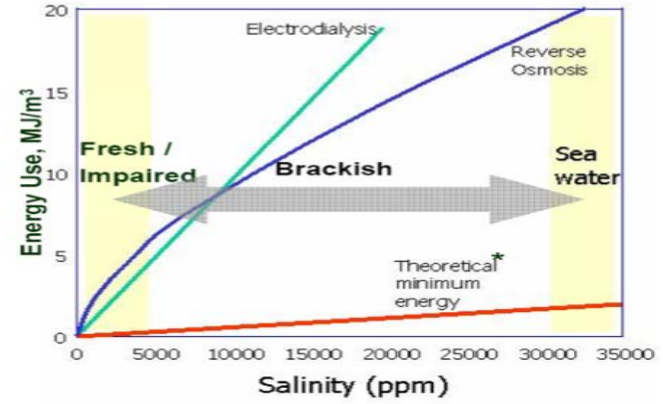


Figure 1-1 Actual vs. Theoretical Energy Intensity for Treating Saline Water

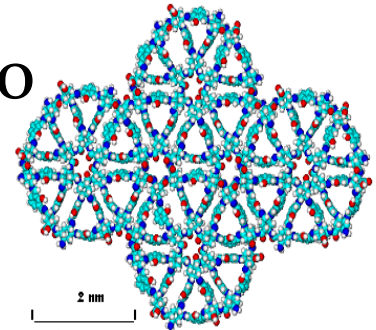
Source: Lawrence Livermore National Laboratory

Scientific/Technical Approach-Innovation

- Rational design and classic pharmaceutical construction of atomically-precise molecular building blocks.

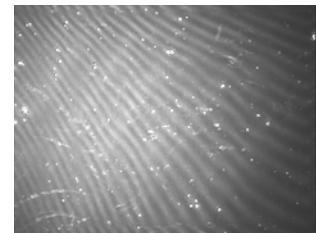


- Then, self-assembly of the building blocks to form 2-dimensional, 1-atomic layer, atomically-precise nanomembranes.



- Then, deposition onto a porous substrate and assembly into filtration cartridges

- Results: unprecedented filtration specificity, high flux, low energy, unprecedented surface control.



Participant Roles. Risks. Mitigation.

- Covalent: technical development and manufacturing of the cartridge.
- Agua Via: license holder addressing end-user engineering, marketing and sales.
- **Project risks:** surviving the GAO financial Valley of Death for manufacturing. Water makes it worse.
- **Mitigation:** Alternate financing: DOE, NSF, DOD have provided funds and **credibility**. Bonds? Loans? Private equity.
- **Timeline:** Now. To market in <3 years.

The AMO Oasis in the Valley of Death 2008-2015

- *“For all of the movement focusing on engaging institutional investors and asset owners in solving water issues, there is a shocking lack of angel/venture capital in the water technology.”* Scott Mosley. *The Curious Case of Water Innovation*. Water is unlike any other investment
- VCs low on the water educational curve: technology, business, market. Minimal experience, knowledge.
- Biggest water investment year 2015: 2.2% of the clean tech funding market and 0.07% of the broader startup market. \$44.17M total investment over 39 deals.
- Knowledgeable VC turned us down due to manufacturing risk concerns.... 2 weeks before DOE SBIR Phase 1 grant.
- AMO: 1) understands Water/Energy Nexus, 2) the technical issues, 3) technology and relevance of atomic precision.

Unique Execution Attributes

- In an influencer-mediated market, we are influence-rich.
 - Former CEOs of 1st and 2nd largest US water companies, American Water and American States Water.
 - World's top desalination tech talent, Head of Thames R&D
 - Former US Secretary of State George Shultz, who also built out Middle East desalination as Bechtel CEO.
 - Leading engineering/installation team: UEM/Toshiba.
 - Largest US water company on Advisory Board, VP and Chief Environmental Officer, American Water
- 1st customer: Semitropic, world's largest water bank.
5,500,000M³/45,000AF same volume as \$1B Carlsbad, largest desal plant in Western Hemisphere.
 - Customers in process: more California water districts, leading direct-to-consumer water sales partner with international presence US, Europe, India, China, South East Asia, Africa

Results and Accomplishments

- **2014:** membranes made one at a time. Manual process.
- **Goal:** fully automated, massively parallel, high quality, low cost, atomically precise membrane manufacturing.
- **Phase 1 2015:** achieved **Proof of Concept**. Forming multiple nanofilms simultaneously could be performed as well as making a single nanofilm.
- **Phase 2 2016-18:** Improve and expand Phase 1 mechanical design, in stages, for larger scale parallel nanofilm formation. Add automation. Adapt control system for larger scale. Add Environmental controls and add basic in-process analysis.
- **Additional DOD funds 2016-2017:** Add nanomembrane/substrate composite. Add internal and external membrane performance testing.