



## Department of Energy

Washington, DC 20585

January 20, 2016

Dr. Peter B. Littlewood, President  
UChicago Argonne, LLC  
Argonne National Laboratory  
9700 S. Cass Avenue  
Lemont, Illinois 60439

Dear Dr. Littlewood:

This letter serves as notification of the Office of Enterprise Assessments' Office of Enforcement decision to conduct an investigation into the facts and circumstances associated with potential deficiencies in UChicago Argonne, LLC's implementation of the Department of Energy's (DOE) 10 C.F.R. Part 851 machine guarding program requirements and a recent event involving an Argonne machinist who experienced a near amputation while operating a horizontal band saw.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. § 851.40(a), *Investigations and inspections*. The investigation will include an onsite visit and interviews with contractor personnel. A request for documents to support this investigation will be provided separately via e-mail. Your cooperation to ensure timely submission of all documents to our office by the requested date is appreciated.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding are subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, UChicago Argonne, LLC should track any costs incurred that are directly attributable to supporting DOE's investigation, subsequent to receipt of this letter, and segregate them from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-7707 or your staff may contact Mr. Kevin Dressman, Director, Office of Worker Safety and Health Enforcement, at (301) 903-0100.

Sincerely,

Steven C. Simonson

Director

Office of Enforcement

Office of Enterprise Assessments

cc: Joanna Livengood, SC-ASO  
Stuart Meredith, UChicago Argonne, LLC



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