

# FOIA ANNUAL REPORT

FOR

10/01/2014

THROUGH

09/30/2015

Friday, December 4, 2015

The following **Annual Freedom of Information Act** report covers the  
Period 10/01/2014, through 09/30/2015, as required by 5 U.S.C. 552.

## I. BASIC INFORMATION REGARDING REPORT

1. Kevin T. Hagerty, Director  
Office of Information Resources, MA-90  
U.S. Department of Energy  
1000 Independence Avenue, SW  
Washington, DC 20585  
202-586-5955

Alexander Morris, FOIA Officer  
FOIA Office, MA-90  
Office of Information Resources  
U.S. Department of Energy  
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2. An electronic copy of the Freedom of Information Act (FOIA) report can be obtained at <http://energy.gov/management/office-management/operational-management/freedom-information-act/documents/annual-reports>.
3. A paper copy of the report can be obtained at the Headquarters FOIA Public Reading Room located in the Portals III Building, 12<sup>th</sup> and D Streets, SW, Room 535, Washington, DC 20585, or by submitting a request to the FOIA Office. The hours of the Reading Room at Headquarters are from 9:00 a.m. to 4:00 p.m. weekdays, except federal holidays.

## II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

For the names, addresses and telephone numbers, visit our website at <http://energy.gov/management/office-management/operational-management/freedom-information-act/foia-contacts>.

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

The DOE's mission is to advance the national, economic, and energy security of the United States; to promote scientific and technological innovation in support of that mission; and to ensure the environmental cleanup of the national nuclear weapons complex. The records maintained by the DOE often involve proprietary matters, classified matters, innovation matters, and environmental matters. The DOE invokes several of the FOIA's exemptions to protect information that is: 1) classified as restricted data or formerly restricted data; 2) proprietary; 3) personal; and 4) pre-decisional and deliberative. These types of information may not be granted under the FOIA to protect national security, proprietary interests of submitters; personal privacy of individuals, and open and frank discussion.

## III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Provide any agency-specific acronyms or terms used in this Report.

2. Include the following definitions of terms used in this Report:
- a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
  - d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
  - e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
  - f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
  - g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
  
- i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
  
- j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
  
- k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
  - i. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
  
  - ii. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
  
  - iii. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
  
- l. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.

- m. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respects.
  - n. **Perfected Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
  - o. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
  - p. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
  - q. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
3. Include the following concise descriptions of the nine FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information
  - b. **Exemption 2:** internal agency rules and practices
  - c. **Exemption 3:** information that is prohibited from disclosure by another federal law
  - d. **Exemption 4:** trade secrets and other confidential business information
  - e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
  - f. **Exemption 6:** information involving matters of personal privacy
  - g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to

interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

h. **Exemption 8:** information relating to the supervision of financial institutions

i. **Exemption 9:** geological information on wells

#### 4. Agency Component Abbreviations

Component Abbreviation	Component Name
BPA	Bonneville Power Administration
CBFO	Carlsbad Field Office
CH	Chicago Office
EMCBC	Environmental Management Consolidated Business Center
GFO	Golden Field Office
HQ	Headquarters
ID	Idaho Operations Office
NETL	National Energy Technology Laboratory
NR	Office of Naval Reactors
NRLFO	Naval Reactors Laboratory Field Office
ORO	Oak Ridge Office
OSTI	Office of Scientific and Technical Information
ROO	Richland Operations Office
SEPA	Southeastern Power Administration
SPR	Strategic Petroleum Reserve
SRO	Savannah River Office
SWPA	Southwestern Power Administration
WAPA	Western Area Power Administration
NNSA	National Nuclear Security Administration

#### IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 2102	Contractor bid information, proposal information, or source selection information	Legal and Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, At *3-4 (E.D. Cal. May 4, 2001) (dictum).	BPA	1	9
	Contractor bid information, proposal information, or source selection information	Legal and Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, At *3-4 (E.D. Cal. May 4, 2001) (dictum).	EMCBC	1	
	Contractor bid information, proposal information, or source selection information	Legal and Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, At *3-4 (E.D. Cal. May 4, 2001) (dictum).	HQ	2	
	Contractor bid information, proposal information, or source selection information	Legal and Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, At *3-4 (E.D. Cal. May 4, 2001) (dictum).	NNSA	5	
42 U.S.C. § 2162	Restricted Data, Formerly Restricted Data, or Unclassified Controlled Nuclear Information	Meeropol v. Smith, No. 75-1221, slip op. at 53-55 (D.D.C. Feb. 29, 1984), Aff'd in relevant part & remanded in part on other grounds	EMCBC	1	39

		sub nom, Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986)			
	Restricted Data, Formerly Restricted Data, or Unclassified Controlled Nuclear Information	Meeropol v. Smith, No. 75-1221, slip op. at 53-55 (D.D.C. Feb. 29, 1984), Aff'd in relevant part & remanded in part on other grounds sub nom, Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986)	HQ		1
	Restricted Data, Formerly Restricted Data, or Unclassified Controlled Nuclear Information	Meeropol v. Smith, No. 75-1221, slip op. at 53-55 (D.D.C. Feb. 29, 1984), Aff'd in relevant part & remanded in part on other grounds sub nom, Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986)	NRLFO		1
	Restricted Data, Formerly Restricted Data, or Unclassified Controlled Nuclear Information.	Meeropol v. Smith, No. 75-1221, slip op. at 53-55 (D.D.C. Feb. 29, 1984), Aff'd in relevant part & remanded in part on other grounds sub nom, Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986)	OSTI		1
	Restricted Data, Formerly Restricted Data, or Unclassified Controlled Nuclear Information.	Meeropol v. Smith, No. 75-1221, slip op. at 53-55 (D.D.C. Feb. 29, 1984), Aff'd in relevant part & remanded in part on other grounds sub nom, Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986)	NNSA		35
6 U.S.C. § 133	Information about the location, character, or ownership of a historic property.	N/A	EMCBC		1
16 U.S.C. § 470	National Historic Preservation Act of 1966 - Agency withheld cultural resource information.	N/A	GFO		1



26 U.S.C. § 6103	Internal Revenue Code - Agency withheld taxpayer identification numbers	Tax Analysts v. Internal Revenue Serv., DC Cir. 2005	GFO	1	3
	Internal Revenue Code - Agency withheld taxpayer identification numbers	Tax Analysts v. Internal Revenue Serv., DC Cir. 2005	ROO	2	
41 U.S.C § 253b(m)(1)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15,2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004)	HQ	2	11
	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15,2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004)	ID	1	
	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15,2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar.	ORO	1	

		31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004)		
	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15,2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004)	ROO	2
	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15,2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004)	WAPA	4
	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15,2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004)	NNSA	1

		30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004)			
5 U.S.C. app. § 107(a) (Ethics in Government Act of 1978)	Financial disclosure information pertaining to certain government employees	Meyerhoff v. EPA, 958 F.2d 1498, 1500-02 (9th Cir. 1992); Concepcion v. FBI, 606 F. Supp. 2d 14, 33 (D.D.C. 2009), renewed motion for summary judgment granted	HQ	1	1
50 U.S.C. App § 2170(c)	Any information or documentary material filed with CFIUS	N/A	HQ	1	1
44 U.S.C. § 3501 note	Confidential respondent data	N/A	HQ	2	2
28 U.S.C. § 652(d)	"[C]onfidential dispute resolution communications"	Yelder v. DOD, 577 F. Supp. 2d 342, 347-48 (D.D.C. 2008)	ROO	1	1
5 U.S.C. app. § 105	Financial disclosure reports	N/A	SPR	1	1
35 U.S.C. § 181	Patent Information	N/A	SRO	2	2
22 U.S.C. § 2778(e)	ITAR (International Traffic in Arms Regulations) (Title 22 CFR 120-130) - Certain information pertaining to export license applications	Council for a Livable World v. U.S. Dep't of State, No. 96-1807, slip op. at 11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998).	NNSA	1	1

## V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BPA	55	105	134	26
CBFO	0	21	14	7
CH	3	80	79	4
EMCBC	22	77	86	13
GFO	4	111	107	8
HQ	190	1,075	1,108	157
ID	1	48	46	3
NETL	2	25	24	3
NR	0	0	0	0
NRLFO	0	2	2	0
ORO	4	209	207	6
OSTI	6	40	41	5
ROO	3	98	98	3
SEPA	0	24	24	0
SPR	1	18	18	1
SRO	0	49	45	4
SWPA	2	22	22	2
WAPA	2	50	50	2
NNSA	226	302	340	188
AGENCY OVERALL	521	2,356	2,445	432

*HQ - After further review it was determined that this number should have been 2 less.*

*ORO - After further review it was determined that this number should have been 1 less.*

*NNSA - After further review it was determined that this number should have been 2 more.*

## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
BPA	47	38	0	14	2	22	0	6	0	0	5	0	134
CBFO	2	0	0	0	0	10	0	2	0	0	0	0	14
CH	25	5	0	13	4	16	3	4	2	5	2	0	79
EMCBC	28	17	1	16	2	16	0	0	1	0	5	0	86
GFO	33	21	0	11	2	16	17	6	1	0	0	0	107
HQ	223	270	12	114	259	107	16	11	12	25	59	0	1,108
ID	11	11	1	8	0	15	0	0	0	0	0	0	46
NETL	11	7	0	3	1	2	0	0	0	0	0	0	24
NR	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	1	1	0	0	0	0	0	0	0	0	0	0	2
ORO	107	5	0	47	16	12	3	4	3	5	5	0	207
OSTI	8	0	1	9	4	11	1	4	2	0	1	0	41
ROO	33	29	1	12	3	18	0	1	1	0	0	0	98
SEPA	5	0	0	18	0	0	0	0	1	0	0	0	24
SPR	6	1	0	1	0	10	0	0	0	0	0	0	18
SRO	12	3	1	9	2	13	3	0	0	2	0	0	45
SWPA	3	0	0	4	0	12	0	2	0	0	1	0	22
WAPA	7	29	1	0	0	13	0	0	0	0	0	0	50
NNSA	113	87	7	65	16	29	3	3	5	6	6	0	340

AGENCY OVERALL	675	524	25	344	311	322	46	43	28	43	84	0	2,445
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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS  
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
			0
			0
AGENCY OVERALL			0

### V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BPA	0	0	1	5	19	31	0	0	1	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	1	0	4	0	0	1	0	0	0	0	0
EMCBC	0	1	2	8	4	15	0	0	0	0	1	0	0	0
GFO	0	0	1	19	4	9	0	0	0	0	0	0	0	0
HQ	1	13	9	71	78	210	13	0	62	1	19	4	0	0
ID	2	0	1	1	2	9	0	0	1	0	0	0	0	0
NETL	0	0	0	6	0	2	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	1	0	1	1	0	0	0	0	0	0	0	0	0	0
ORO	0	0	1	4	1	1	1	0	0	0	0	0	0	0
OSTI	0	0	1	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	5	3	7	29	0	0	0	0	2	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	1	0	1	0	0	0	0	0	0	0	0	0
SRO	0	0	2	2	3	1	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	4	1	0	25	0	0	0	0	0	0	0	0
NNSA	12	1	40	13	21	54	1	0	1	0	4	15	0	0
AGENCY OVERALL	16	15	69	135	140	390	15	0	66	1	26	19	0	0



**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
HQ	11	76	75	12
				0
AGENCY OVERALL	11	76	75	12

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
HQ	42	4	4	25	75
					0
AGENCY OVERALL	42	4	4	25	75



**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
HQ	1	0	3	6	10	7	0	0	1	0	0	0	0	0
AGENCY OVERALL	1	0	3	6	10	7	0	0	1	0	0	0	0	0

**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
HQ	11	0	10	1	0	14	1	1	0	6	6
AGENCY OVERALL	11	0	10	1	0	14	1	1	0	6	6



**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	Agency performed adequate search	5	6
	Records not available in format requested	1	
AGENCY OVERALL			6

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AGENCY OVERALL	12.00	20.65	<1	691.00

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal										
	Number of Days Pending										
AGENCY OVERALL	Date of Appeal	2015-09-09	2015-08-25	2015-04-20	2015-04-15	2014-11-19	2014-10-07	2013-11-15	2013-10-16	2013-10-10	2012-07-03
	Number of Days Pending	15	25	114	117	205	246	471	492	496	816

## VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	2	12.59	<1	112	77	124.76	<1	414	9	9	9	9
CBFO	16	16.79	3	29	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
CH	3	5.13	<1	20	18.5	37.5	1	282	N/A	N/A	N/A	N/A
EMCBC	34	54.73	<1	311	14.5	33.25	1	211	236.5	236.5	130	343
GFO	1	5.48	<1	25	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	19	37.44	<1	1157	103.5	173.47	<1	1397	61	61	47	75
ID	7	10.28	<1	40	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	9.5	18.38	<1	175	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	30.5	30.5	12	49	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	9	12.78	<1	78	23	53.5	<1	263	N/A	N/A	N/A	N/A
OSTI	1	25.83	<1	488	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	13	15.33	<1	154	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SEPA	6.5	6.25	<1	18	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	5	11.69	<1	48	N/A	N/A	N/A	N/A	15.5	15.5	14	17
SRO	10	20.71	<1	215	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	5	10.23	<1	59	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	19	17.16	<1	68	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NNSA	6	94.2	1	891	76	223.88	<1	2043	N/A	N/A	N/A	N/A



AGENCY OVERALL	12	26.81	<1	1157	74	176.94	<1	2043	47	90.71	9	343
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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	3.5	17.65	<1	112	164	169.91	6	414	9	9	9	9
CBFO	9.5	9.5	3	16	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
CH	8.5	9.15	<1	20	24	51.7	6	282	N/A	N/A	N/A	N/A
EMCBC	41	58.35	<1	311	19	39.44	10	110	236.5	236.5	130	343
GFO	10.5	9.94	<1	25	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	39	64.08	<1	1157	120.5	185.6	5	1012	N/A	N/A	N/A	N/A
ID	8	11.64	<1	34	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	9.5	20.94	1	175	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	30.5	30.5	12	49	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	9	12.72	<1	65	102	113.11	53	263	N/A	N/A	N/A	N/A
OSTI	6	29.5	<1	117	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	17	19.42	<1	154	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SEPA	6	7.6	1	18	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	17	23	14	48	N/A	N/A	N/A	N/A	15.5	15.5	14	17
SRO	11	41.27	<1	215	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	12	15.33	10	24	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	19	19.89	5	68	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NNSA	7	154.67	4	891	102	290.82	3	2043	N/A	N/A	N/A	N/A

AGENCY OVERALL	19	39.94	<1	1157	105.5	224.14	3	2043	17	102.6	9	343
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## VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	51	9	3	2	0	1	0	0	0	0	0	0	0	66
CBFO	13	1	0	0	0	0	0	0	0	0	0	0	0	14
CH	63	0	0	0	0	0	0	0	0	0	0	0	0	63
EMCBC	18	12	6	3	3	3	1	3	1	1	0	1	0	52
GFO	104	3	0	0	0	0	0	0	0	0	0	0	0	107
HQ	455	181	86	38	31	15	19	12	11	1	9	0	6	864
ID	41	5	0	0	0	0	0	0	0	0	0	0	0	46
NETL	19	3	1	0	0	0	0	0	1	0	0	0	0	24
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	1	0	1	0	0	0	0	0	0	0	0	0	0	2
ORO	154	20	4	5	0	0	0	0	0	0	0	0	0	183
OSTI	35	1	0	0	1	2	1	0	0	0	0	0	1	41
ROO	79	14	2	2	0	0	0	1	0	0	0	0	0	98
SEPA	24	0	0	0	0	0	0	0	0	0	0	0	0	24
SPR	15	0	1	0	0	0	0	0	0	0	0	0	0	16
SRO	38	1	1	2	1	0	0	0	1	0	1	0	0	45
SWPA	19	1	2	0	0	0	0	0	0	0	0	0	0	22
WAPA	46	2	1	1	0	0	0	0	0	0	0	0	0	50
NNSA	11	0	0	0	0	0	0	0	0	0	0	0	1	12
AGENCY OVERALL	1,186	253	108	53	36	21	21	16	14	2	10	1	8	1,729

Due to system limitation, the chart in this section may contain information about unperfected requests.

## VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	21	7	3	4	1	4	1	2	2	3	10	7	2	67
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	9	3	2	1	0	0	0	0	0	0	1	0	0	16
EMCBC	21	2	5	1	0	1	0	0	0	1	1	0	0	32
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	9	42	30	19	16	15	10	13	14	10	37	9	18	242
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	11	2	4	2	0	3	0	0	0	1	1	0	0	24
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	73	40	34	26	13	18	6	7	7	8	30	14	52	328
AGENCY OVERALL	144	96	78	53	30	41	17	22	23	23	80	30	72	709

Due to system limitation, the chart in this section may contain information about unperfected requests.

## VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	1	0	0	0	0	0	0	0	0	0	0	0	0	1
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	1	0	0	0	0	1	0	2
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	1	1	0	0	0	0	0	0	0	0	0	2
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	2	0	0	0	0	0	0	0	0	0	0	0	0	2
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	3	0	1	1	0	0	1	0	0	0	0	1	0	7

Due to system limitation, the chart in this section may contain information about unperfected requests.

## VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BPA	1	12	12	25	378	335	0	N/A	N/A
CBFO	5	167	157	2	202	202	0	N/A	N/A
CH	2	6	6	2	92	92	0	N/A	N/A
EMCBC	9	113	140	4	34.5	115	0	N/A	N/A
GFO	8	5	5	0	N/A	N/A	0	N/A	N/A
HQ	128	24	134	26	405	547	0	N/A	N/A
ID	2	11	11	0	N/A	N/A	0	N/A	N/A
NETL	3	65	187	0	N/A	N/A	0	N/A	N/A
NR	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
NRLFO	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
ORO	4	14	19	2	18	18	0	N/A	N/A
OSTI	5	535	538	0	N/A	N/A	0	N/A	N/A
ROO	3	14	31	0	N/A	N/A	0	N/A	N/A
SEPA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
SPR	1	3	3	0	N/A	N/A	0	N/A	N/A
SRO	4	6	5	0	N/A	N/A	0	N/A	N/A
SWPA	2	9	9	0	N/A	N/A	0	N/A	N/A
WAPA	2	6	6	0	N/A	N/A	0	N/A	N/A
NNSA	2	482	482	186	198	428	0	N/A	N/A
AGENCY OVERALL	181	546	599.58	247	228	432.3	0	N/A	N/A

Due to system limitation, the chart in this section may contain information about unperfected requests.

## VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BPA	Date of Receipt	2013-12-24	2013-12-16	2013-08-06	2013-08-06	2013-07-23	2013-07-23	2013-07-22	2013-07-19	2013-07-10	2012-07-05
	Number of Days Pending	444	450	540	540	550	550	551	552	559	813
CBFO	Date of Receipt	N/A	N/A	N/A	2015-04-14	2015-02-07	2015-02-03	2015-02-02	2015-01-25	2014-12-11	2014-12-11
	Number of Days Pending	0	0	0	118	163	167	168	173	202	202
CH	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-29	2015-09-15	2015-09-09	2015-01-30
	Number of Days Pending	0	0	0	0	0	0	1	11	15	169
EMCBC	Date of Receipt	2015-08-19	2015-08-04	2015-06-23	2015-06-11	2015-04-21	2015-03-31	2015-03-19	2015-02-13	2014-03-17	2013-08-19
	Number of Days Pending	29	40	69	77	113	128	136	159	389	531
GFO	Date of Receipt	N/A	N/A	2015-09-30	2015-09-30	2015-09-28	2015-09-23	2015-09-23	2015-09-17	2015-09-15	2015-09-11
	Number of Days Pending	0	0	0	0	2	5	5	9	11	13
HQ	Date of Receipt	2012-05-14	2011-11-17	2011-10-11	2011-09-12	2011-07-01	2011-05-11	2010-08-04	2010-05-19	2010-01-08	2010-01-08
	Number of Days Pending	849	971	997	1017	1066	1102	1294	1347	1438	1438
ID	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-22	2015-09-08
	Number of Days Pending	0	0	0	0	0	0	0	0	6	16
NETL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-25	2015-06-29	2013-10-10
	Number of Days Pending	0	0	0	0	0	0	0	3	65	494



NR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
NRLFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
ORO	Date of Receipt	N/A	N/A	N/A	N/A	2015-09-22	2015-09-14	2015-09-11	2015-09-09	2015-08-18	2015-08-05	
	Number of Days Pending	0	0	0	0	6	12	13	15	30	39	
OSTI	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2015-09-22	2015-07-22	2013-08-13	2013-08-13	2009-07-01	
	Number of Days Pending	0	0	0	0	0	6	49	535	535	1568	
ROO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-16	2015-09-10	2015-06-22	
	Number of Days Pending	0	0	0	0	0	0	0	10	14	70	
SEPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SPR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-25
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	3
SRO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-24	2015-09-22	2015-09-22	2015-09-22	
	Number of Days Pending	0	0	0	0	0	0	4	6	6	6	6
SWPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-17	2015-09-17
	Number of Days Pending	0	0	0	0	0	0	0	0	0	9	9
WAPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-22	2015-09-22

	Number of Days Pending	0	0	0	0	0	0	0	0	6	6
NNSA	Date of Receipt	2009-08-27	2009-06-30	2009-05-13	2009-01-27	2009-01-07	2008-12-17	2008-01-11	2007-10-24	2007-10-23	2007-10-22
	Number of Days Pending	1528	1569	1602	1677	1690	1703	1938	1991	1992	1993
AGENCY OVERALL	Date of Receipt	2009-07-01	2009-06-30	2009-05-13	2009-01-27	2009-01-07	2008-12-17	2008-01-11	2007-10-24	2007-10-23	2007-10-22
	Number of Days Pending	1568	1569	1602	1677	1690	1703	1938	1991	1992	1993

## VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BPA	1	5	0.5	1.5	6
CBFO	0	0	N/A	N/A	N/A
CH	0	5	1	0.4	5
EMCBC	0	1	9	9	1
GFO	0	0	N/A	N/A	N/A
HQ	3	67	8	9.91	50
ID	0	0	N/A	N/A	N/A
NETL	0	0	N/A	N/A	N/A
NR	0	0	N/A	N/A	N/A
NRLFO	0	0	N/A	N/A	N/A
ORO	0	0	N/A	N/A	N/A
OSTI	0	0	N/A	N/A	N/A
ROO	0	5	1	2	5
SEPA	0	0	N/A	N/A	N/A
SPR	2	0	21	21	0
SRO	0	0	N/A	N/A	N/A
SWPA	0	0	N/A	N/A	N/A
WAPA	0	0	N/A	N/A	N/A
NNSA	0	7	1	7.14	6
AGENCY OVERALL	6	90	7	8.5	73

## VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BPA	39	16	1	0.38
CBFO	0	0	N/A	N/A
CH	2	5	1	0.29
EMCBC	4	3	1	1
GFO	18	2	1	0.75
HQ	104	31	4	5.74
ID	0	0	N/A	N/A
NETL	0	0	N/A	N/A
NR	0	0	N/A	N/A
NRLFO	0	0	N/A	N/A
ORO	11	0	1	1
OSTI	0	0	N/A	N/A
ROO	2	7	1	1.22
SEPA	12	0	7.5	5.58
SPR	0	0	N/A	N/A
SRO	4	0	1	1
SWPA	0	0	N/A	N/A
WAPA	1	0	1	1
NNSA	12	8	1	93.8
AGENCY OVERALL	209	72	1	9.87

## IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BPA	5	1.5	6.5	\$784,564.75	\$0.00	\$784,564.75
CBFO	0	1	1	\$0.00	\$0.00	\$0.00
CH	0	1.2	1.2	\$142,483.00	\$0.00	\$142,483.00
EMCBC	0	2	2	\$160,000.00	\$0.00	\$160,000.00
GFO	1	0	1	\$116,442.00	\$0.00	\$116,442.00
HQ	30	26.24	56.24	\$6,907,156.58	\$284,360.00	\$7,191,516.58
ID	1	0.25	1.25	\$145,903.00	\$0.00	\$145,903.00
NETL	1	0	1	\$120,000.00	\$0.00	\$120,000.00
NR	0	1	1	\$0.00	\$0.00	\$0.00
NRLFO	0	0.025	0.025	\$3,842.00	\$0.00	\$3,842.00
ORO	3	2	5	\$419,758.00	\$1,020.00	\$420,778.00
OSTI	0	0.08	0.08	\$12,539.15	\$0.00	\$12,539.15
ROO	1	2	3	\$193,031.82	\$2,825.60	\$195,857.42
SEPA	0	0.4	0.4	\$8,537.12	\$0.00	\$8,537.12
SPR	0	0.3	0.3	\$27,500.00	\$0.00	\$27,500.00
SRO	0	0.65	0.65	\$71,034.00	\$0.00	\$71,034.00
SWPA	0	0.3	0.3	\$28,150.00	\$0.00	\$28,150.00
WAPA	1	0	1	\$34,000.00	\$0.00	\$34,000.00

NNSA	10	2	12	\$681,753.00	\$4,884.44	\$686,637.44
AGENCY OVERALL	53	40.945	93.945	\$9,856,694.42	\$293,090.04	\$10,149,784.46

## X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BPA	\$1,104.13	0.14%
CBFO	\$0.00	0.00%
CH	\$2,628.96	1.85%
EMCBC	\$744.37	0.47%
GFO	\$3,052.44	2.62%
HQ	\$5,375.59	0.08%
ID	\$0.00	0.00%
NETL	\$0.00	0.00%
NR	\$0.00	0.00%
NRLFO	\$0.00	0.00%
ORO	\$285.70	0.07%
OSTI	\$0.00	0.00%
ROO	\$748.03	0.39%
SEPA	\$0.00	0.00%
SPR	\$0.00	0.00%
SRO	\$0.00	0.00%
SWPA	\$0.00	0.00%
WAPA	\$344.00	1.01%
NNSA	\$0.00	0.00%
AGENCY OVERALL	\$14,283.22	0.14%

## XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BPA	0	0
CBFO	7	0
CH	0	0
EMCBC	12	0
GFO	0	0
HQ	91	9
ID	1	0
NETL	2	0
NR	0	0
NRLFO	0	0
ORO	2	0
OSTI	4	0
ROO	0	0
SEPA	0	0
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	0	0
NNSA	162	0
AGENCY OVERALL	281	9



## XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BPA	0	5	4	1
CBFO	0	0	0	0
CH	1	11	12	0
EMCBC	0	1	1	0
GFO	0	1	1	0
HQ	120	207	200	127
ID	0	0	0	0
NETL	0	2	1	1
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	52	52	0
OSTI	0	0	0	0
ROO	0	1	1	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	2	9	10	1
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	3	6	5	4
AGENCY OVERALL	126	295	287	134

**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
BPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-08-19
	Number of Days	0	0	0	0	0	0	0	0	0	29
CBFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
CH	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
EMCBC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
GFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
HQ	Date	2015-06-08	2015-05-13	2015-05-13	2015-05-08	2014-12-04	2012-10-03	2012-08-02	2012-04-26	2012-04-18	2011-08-04
	Number of Days	80	97	97	100	207	750	793	861	867	1043
ID	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NETL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-06-02
	Number of Days	0	0	0	0	0	0	0	0	0	84
NR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NRLFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
ORO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days	0	0	0	0	0	0	0	0	0	0	0
OSTI	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
ROO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
SEPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
SPR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
SRO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-22
	Number of Days	0	0	0	0	0	0	0	0	0	0	6
SWPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
WAPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0	0
NNSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	2015-09-29	2015-09-01	2015-08-25	2012-06-14	
	Number of Days	0	0	0	0	0	0	0	1	20	25	827
AGENCY OVERALL	Date	2015-05-13	2015-05-13	2015-05-08	2014-12-04	2012-10-03	2012-08-02	2012-06-14	2012-04-26	2012-04-18	2011-08-04	
	Number of Days	97	97	100	207	750	793	827	861	867	1043	

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS RECEIVED		NUMBER OF REQUESTS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BPA	159	105	141	134
CBFO	0	21	0	14
CH	63	80	62	79
EMCBC	73	77	65	86
GFO	316	111	336	107
HQ	897	1,075	902	1,108
ID	27	48	26	46
NETL	21	25	20	24
NR	0	0	0	0
NRLFO	5	2	6	2
ORO	205	209	222	207
OSTI	17	40	14	41
ROO	84	98	90	98
SEPA	5	24	5	24
SPR	5	18	5	18
SRO	24	49	27	45
SWPA	9	22	10	22
WAPA	17	50	17	50
NNSA	290	302	325	340

AGENCY OVERALL	2,217	2,356	2,273	2,445
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*HQ - After further review it was determined that this number should have been 1 less received last FY.*

*ORO - After further review it was determined that this number should have been 1 less received last FY.*

*NNSA - After further review it was determined that this number should have been 1 less processed last FY.*

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BPA	2	0
CBFO	0	7
CH	1	0
EMCBC	17	12
GFO	0	0
HQ	119	91
ID	0	1
NETL	1	2
NR	0	0
NRLFO	0	0
ORO	3	2
OSTI	6	4
ROO	1	0
SEPA	0	0
SPR	0	0
SRO	0	0
SWPA	1	0
WAPA	0	0
NNSA	190	162
AGENCY OVERALL	341	281

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
HQ	79	76	77	75
AGENCY OVERALL	79	76	77	75

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
HQ	5	9
AGENCY OVERALL	5	9