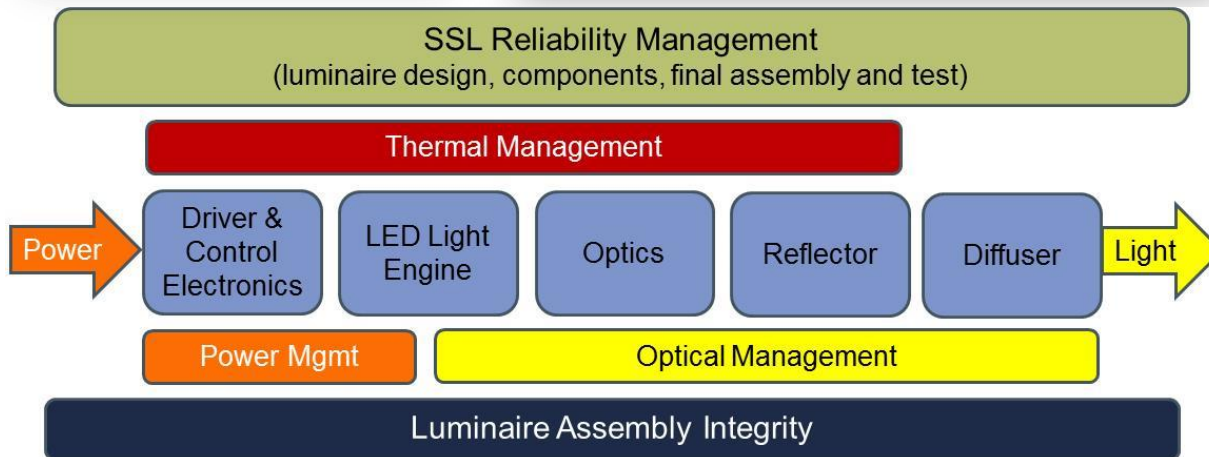
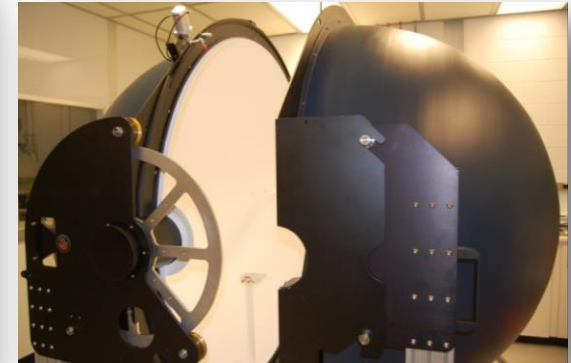
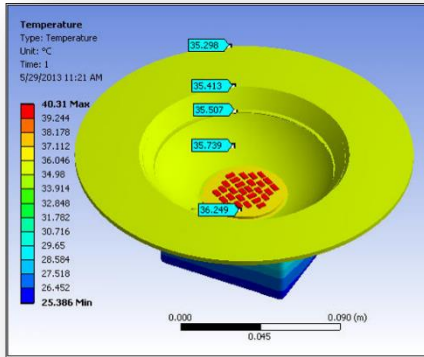


Solid State Lighting Reliability

2015 Building Technologies Office Peer Review



Project Summary

Timeline:

Start date: September 2011

Planned end date: September 2016

Key Milestones

1. Decision Support Tool for luminaire lumen maintenance
2. Performed accelerated life testing on more than >400 LED devices
3. Collected industry data on 190 LED products
4. Development of an accelerated test for dirt depreciation

Budget:

Total DOE \$ to date: \$1.8 MM

Total future DOE \$: \$1.05 MM

Target Market/Audience:

Manufacturers, specifiers, and potential users of SSL products looking to justify higher upfront costs for SSL products.

Key Partners:

Auburn University
Cree
SAS Institute
PPG Industries
State of North Carolina
LED Systems Reliability Consortium

Project Goal:

To develop and validate a probabilistic reliability prediction tool and accelerated life testing methodologies that help lighting manufacturers and key stakeholders and promote the adoption of energy efficient SSL lighting technologies.

Problem Statement

- SSL products have achieved roughly 5% market penetration (Strategies Unlimited). So the technologies is just getting started.
- Initial purchase price for SSL products have dropped significantly over the past decade but costs are still higher than conventional lighting technologies.
- Use of SSL technologies may require more upfront planning for the lighting system. Issues such as dimming methods, line quality, and harmonics may need to be addressed. Still a lot of questions.
- Confidence in the reliability and performance of SSL technologies is needed to justify higher upfront costs and to continue driving market acceptance.

LED LUMINAIRE LIFETIME: RECOMMENDATIONS FOR TESTING AND REPORTING

SOLID-STATE LIGHTING
PRODUCT QUALITY INITIATIVE

THIRD EDITION
SEPTEMBER 2014

Next Generation Lighting Industry Alliance
LED Systems Reliability Consortium

DOE & NGLIA Sponsored Effort

http://apps1.eere.energy.gov/buildings/publications/pdfs/ssl/led_luminaire_lifetime_guide_sept2014.pdf

U.S. DEPARTMENT OF
ENERGY

Energy Efficiency &
Renewable Energy

Target Market and Audience

- Manufacturers, specifiers, and users of SSL products (e.g., utilities, businesses, and taxpayers) seeking to justify higher first costs for SSL products over less efficient legacy lighting technologies.
- This group annually consumes ~650 TWh in electricity for illumination, and adoption of SSL technologies can reduce this consumption by ~ 30%.



Impact of Project

This project will provide the target audience with methods to assess the expected lifetime of SSL products

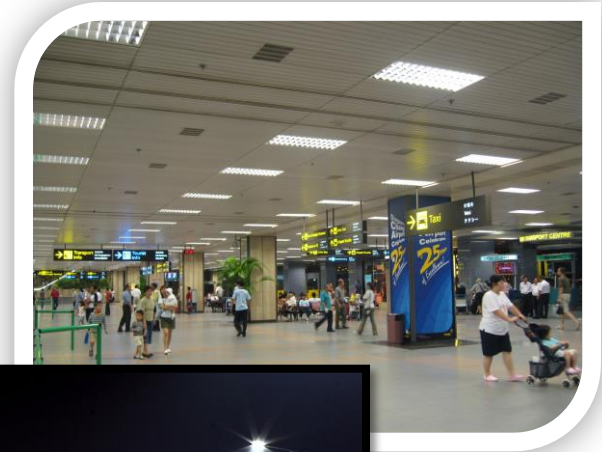
- Increased consumer/end user confidence in energy saving SSL technologies
- Increased market pull for energy efficient lighting
- Potential impact could be 100s MWh per year by 2030

Project Endpoints

- Reliability models and software
- Improved ALT methods
- Information and resources for target market and audience

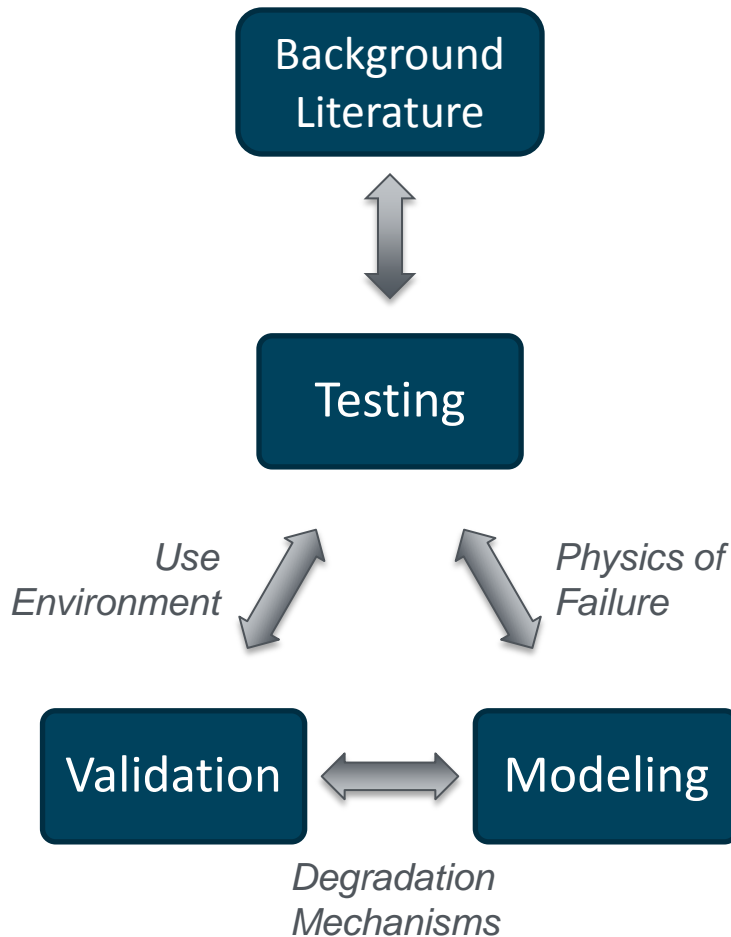
Measures of Impact

- Near – term: Publications and resource use
- Intermediate: Resource and methods use
- Long-term: Standards impact



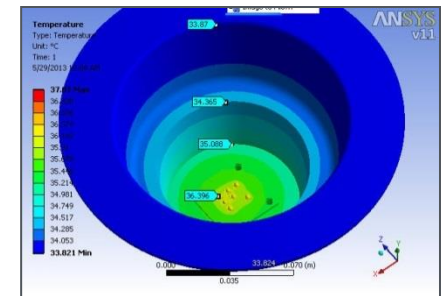
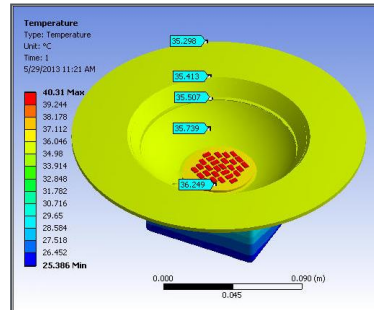
Approach

System-level approach consisting of both **accelerated life tests (ALT)** and **modeling** of both entire luminaires and key system components such as LEDs, drivers, and optical elements



6" downlights have been chosen as representative luminaires because they combine several desirable attributes:

- Low cost, readily available, and widely used
- Multi-generational products
- Incorporate many design features
 - HBLEDs, mid power & hybrid LEDs
 - Different driver topologies



Key Issues

- Industry data on LEDs such as LM-80 provides a snapshot of performance at the LED level. It should not be used as a proxy for lifetime at the luminaire level.
- Accelerated tests should not significantly add to manufacturer's testing burden but should give meaningful information in a shortened test time.
- Usage environments and product expectations differ greatly between products (e.g. disposable vs. "appliance" luminaires and lamps).
- Public sources of data on accelerated & robustness testing of SSL lamps and luminaire are often not available.

What is Life?

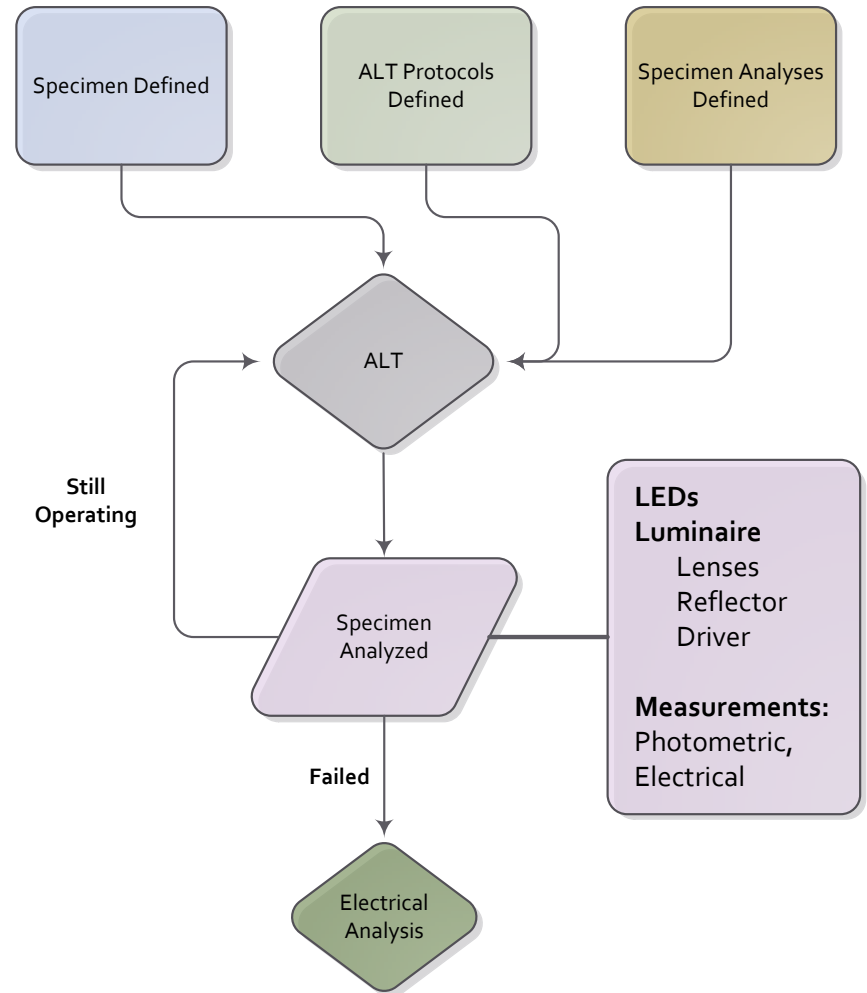
SSL luminaires do not always fail in a "lights out" fashion as with other lighting sources

Possible SSL failures include:

- Catastrophic – abrupt failure
- Lumen maintenance – lighting levels reduced below a lower limit
- Color shift – Change in color of light

Distinctive Characteristics

- Performing “test to failure” at the luminaire and component level.
- *In situ* evaluation of luminaire components enables aging models to be built for optical components.
- Models have been created for the impact of optical component aging and luminaire design on lumen maintenance.
- Detailed tear down and root-cause analysis on drivers provides insights into electrical failure modes in luminaires.



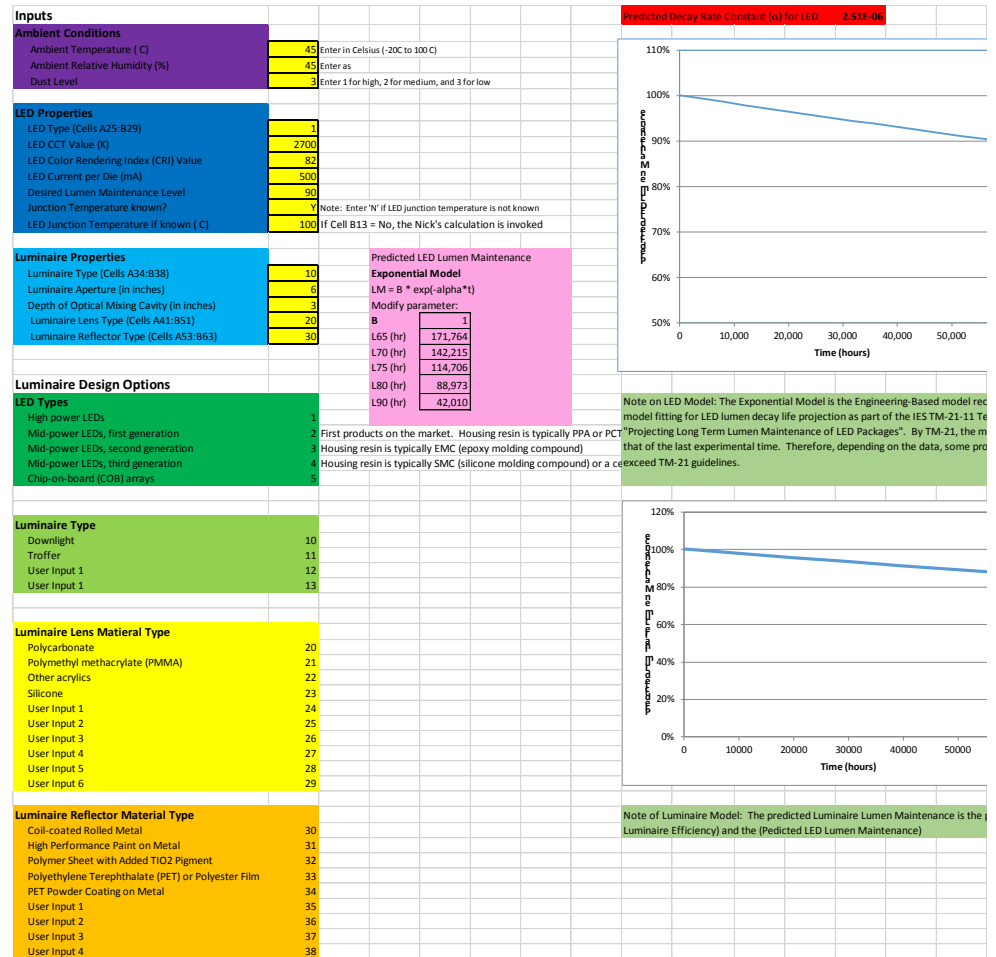
Lessons Learned

- Shift in LED product mix from high power to mid power and COB arrays
 - Places greater emphasis on materials choices for color stability at the LED level
- The rising importance of color shift to customer satisfaction of luminaires
 - Involves more than just the LED
 - Optics, power supply
- Limitations on LM-80 data for LEDs
 - Accuracy depends on test length
 - Absence of chromaticity coordinates



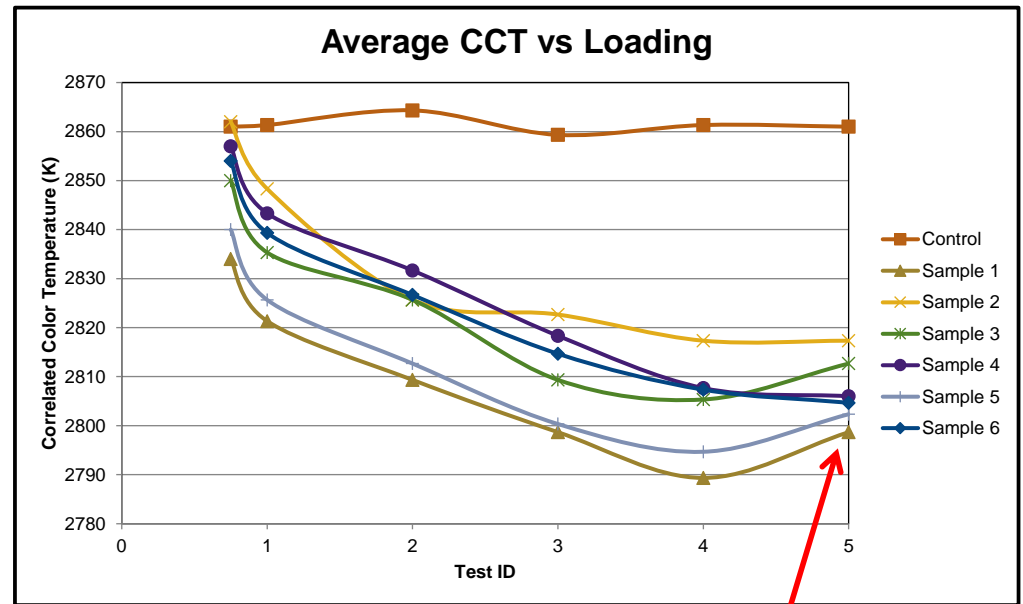
Accomplishments

- Developed Decision Support Tool (DST) for predicting lumen maintenance performance at the luminaire level.
 - Used LM-80 data from major manufacturers to build predictive models of LED lumen maintenance.
 - Takes into account changes in optical components, such as lenses and reflectors.
 - Includes the impact of luminaire design (H, W, D) for downlights, troffers, and linear luminaires (soon).
 - Paradigm shift from LED-only calculations.



Accomplishments

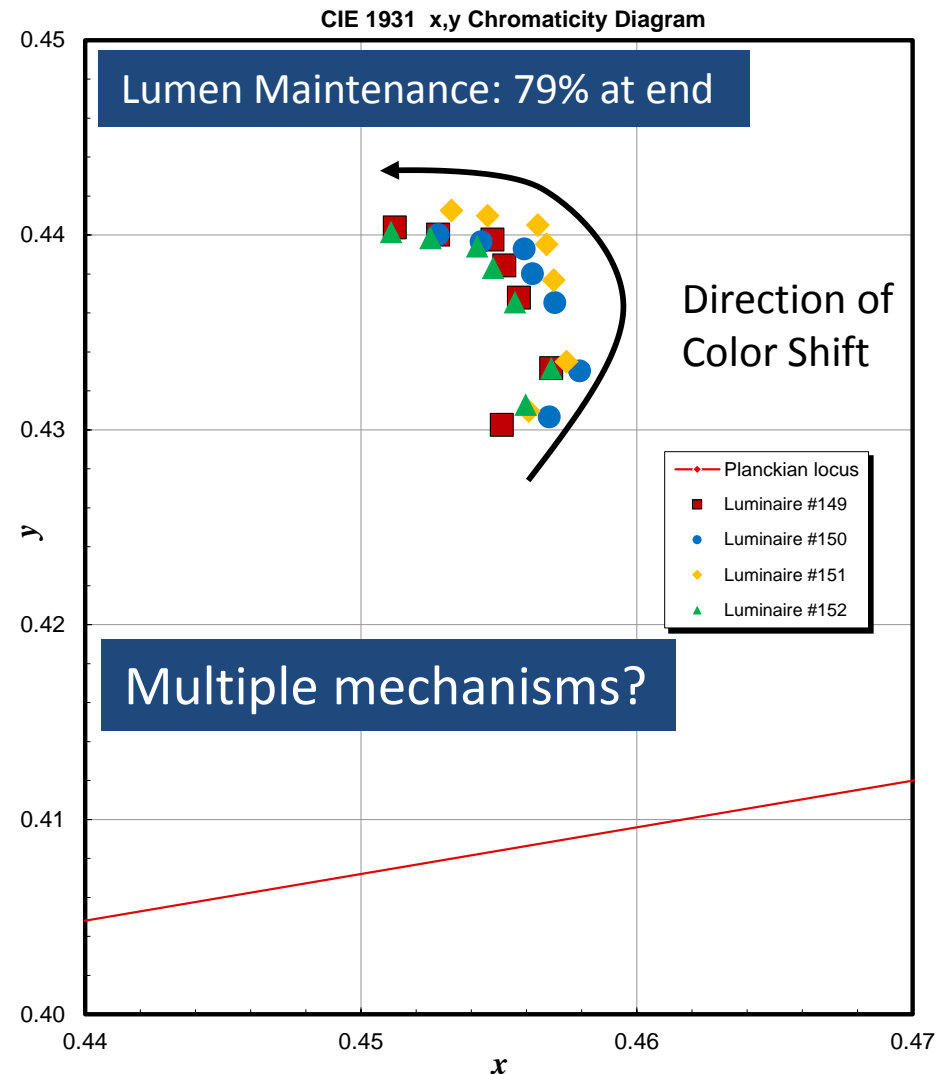
- Developed an accelerated test for lumen dirt depreciation and demonstrated its utility on indoor luminaires.
 - Small decrease (roughly 0.2% per year) in lumen depreciation from dirt accumulation of luminaires in an office environment
 - Dirt depreciation produces a color shift resulting in roughly a 2% decrease in CCT over the roughly 20 year exposure time
 - Wiping exterior surfaces (i.e., dusting) restores roughly 25% of lost illuminance and color shift



Sample 1, Sample 3 and Sample 5 were wiped clean

Accomplishments

- Developed methods to accelerate lumen maintenance testing for luminaires to < 3,000 hours.
- Assigned root causes of color shift at the LED level and have begun to use vast collection of accelerated test data on downlights to look at luminaire level efforts.
- Test data available on
 - >200 luminaires (~ 500K hours)
 - > 1,500 LEDs (> 7 MM hours)
 - > 100 60 W equivalent lamps
- Also working to include data on LED lamp and luminaire lifetime from PNNL & other sources.



Project Integration and Collaboration

Project Integration: Project activities are closely coordinated with an advisory board consisting of key collaborators and the broader lighting industry through the LSRC

Partners, Subcontractors, and Collaborators:

DOE's LED Systems Reliability Consortium

Auburn University



SAS Institute



Cree Lighting

PPG Industries

State of North Carolina

LED Systems Reliability Consortium

Communications: This work has been presented at 7 DOE-sponsored workshops, 11 technical conferences, and in 16 technical publications

Next Steps and Future Plans

- Validate current models for lumen maintenance and driver reliability through additional testing
- Extend models to include color shift as a mode of failure taking into account the impact of
 - LEDs
 - Luminaire optical components (e.g., lenses and reflectors)
 - Power suppliers
 - Use environments and other factors
- Expand activities to look at Power Management System changes which impact power consumption, electrical efficiency , and power factor

Acknowledgements

- This material is based upon work supported by the Department of Energy under Award Number DE-EE0005124.
- Disclaimer: "This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

REFERENCE SLIDES

Project Budget

Project Budget: DOE total \$2.85 M for FY2011 – FY2016

Variances: None

Cost to Date: on schedule at \$1.8 M to date

Additional Funding: No other funding sources

Budget History

FY2012 – FY2014 (past)		FY2015 (current)		FY2015 (planned)	
DOE	Cost-share	DOE	Cost-share	DOE	Cost-share
\$1.7 MM	\$425 K	\$96 K	\$124 K	\$575 K	\$143 K

Project Plan and Schedule

- Initiation date: Oct. 2011, Planned completion date: Sept. 2016
- Milestones through project include: development of accelerated lifetime tests (ALT) procedures and development of a refined probabilistic reliability model
- Milestone 6 was postponed in order to continue focusing on ALT work

Project Schedule																				
Project Start: FY2012	Completed Work																			
Project End: FY2016	Active Task (in-progress work)																			
	◆ Milestone/Deliverable (Originally Planned) used for missed																			
	◆ Milestone/Deliverable (Actual)																			
Task	FY2012				FY2013				FY2014				FY2015				FY2016			
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
Past Work																				
Task 1: Project Management	[Active]																			
Task 2: Advisory Board	◆																			
Task 3: Literature Search & Initial Model		◆		◆																
Task 4: Gap Analysis & Initial ALT			◆																	
Task 5: SSL Luminaire Initial Benchmarking							◆													
Task 6: Probabilistic Model Development								◆												
Task 7: Dirt Depreciation Impact									◆							◆				
Current/Future Work																				
Task 8: Advanced ALT Studies								◆												
Subtask 8.1: Luminaire & Component Testing											◆				◆				◆	
Subtask 8.2: Failure Mode Determination																◆				
Task 9: Probabilistic Model Optimization								◆												
Task 10: Probabilistic Model Verification																				◆
Task 11: Color Shift Investigation																				
Subtask 11.1: LED Component Study												◆			◆					
Subtask 11.2: Remote Phosphor Study															◆					
Subtask 11.3: SSL Luminaire Study																◆				
Subtask 11.4: Initial Color Shift Model																			◆	
Task 12: Electrical Characteristics Study																				
Subtask 12.1: Experimental Studies																			◆	
Subtask 12.2: Electrical Parameter Model																				◆