

U.S. Department of Energy

**Advanced Notification of Awards
(ANA) User Guide**

A service of iManage

Updated: 03/05/15



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Introduction

The **Advanced Notification of Award (ANA)** system was designed to facilitate the ability of **Buyers, Program Offices (PO)**, and **Office of Congressional and Intergovernmental Affairs (CI)** to review, update, and ultimately approve or reject using the Congressional Grant/Contract Notification (DOE F 4220.10) Forms.

Approval Process Overview

The primary focus of ANA is to streamline the approval process of a 4220.10 form. A Buyer, PO and CI are required to complete the review. All parties shall review notifications for accuracy and completeness of data recorded in the STRIPES system and transferred to IDW/iPortal. The approval process flow is shown below; the paths for rejecting a form for various reasons will be discussed in the **Rejecting Notifications** section.

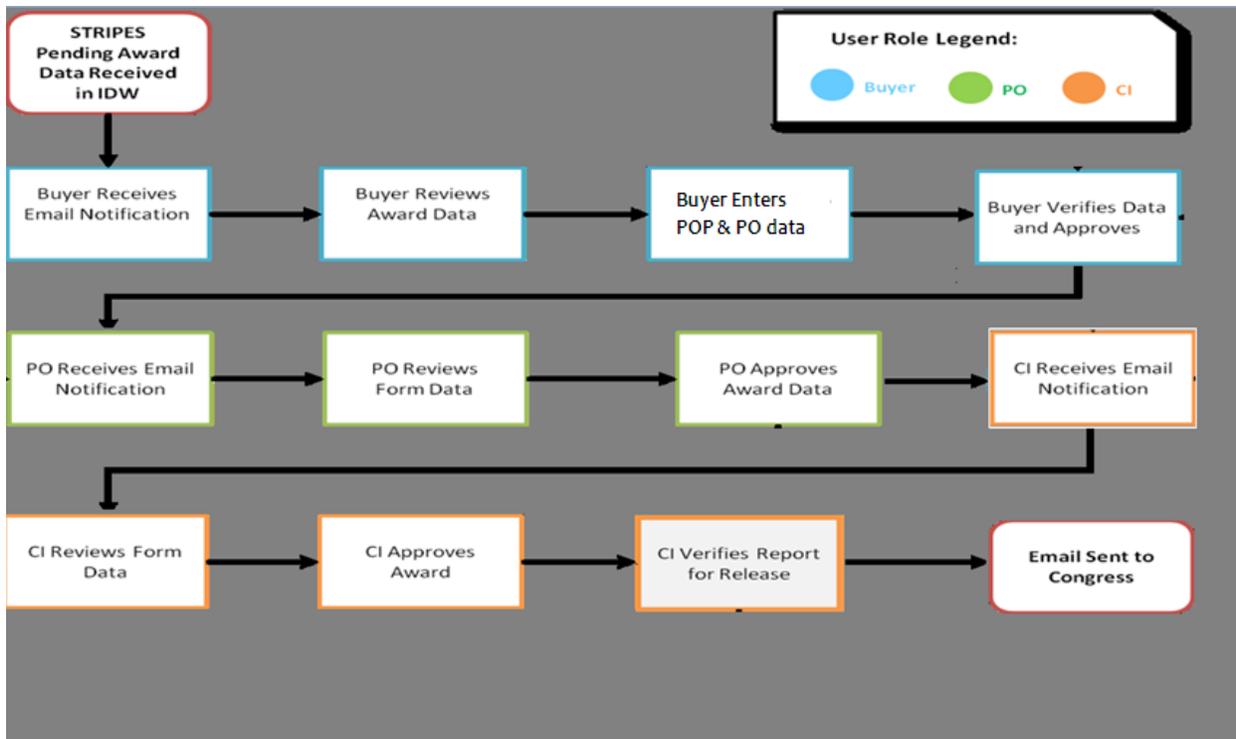
Role Descriptions

Listed below are the key stakeholders for the notifications within the ANA workflow. Each will serve as a vital part in the approval process of the 4220.10 form.

Buyer: The Contracting Officer (CO) or/and the Contract Specialist (CS)

PO: The Program Office representative who approves the congressional notification

CI: Congressional Grant/Contract Notification Coordinator



ANA Approval Process Flow

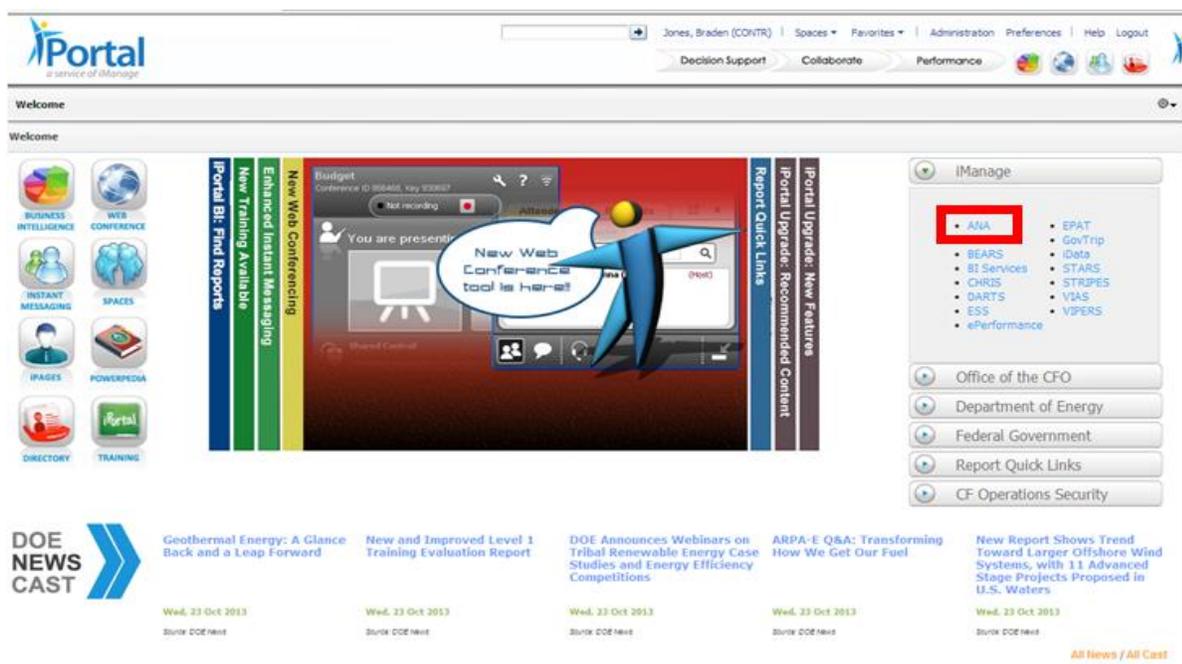
Requirements for Notification

In order to trigger an ANA notification for an award there are three requirements:

1. The award must have a status of Pending in the Stripes system throughout the approval process.
2. The current date must be 0-72 hours prior to the ANA anticipated award date in Stripes.
3. If the award is a modification, the Reason for Modification must be:
 - a. Legal Contract Cancellation
 - b. Additional Work (new agreement, FAR part 6 applies)
 - c. Terminate for Convenience (complete or partial)
 - d. Terminate for Default (complete or partial)
 - e. Change Order
 - f. Exercise an Option
4. The Total Obligations or Total Amount Without Options on the award must match the following criteria:
 - a. Grants \geq 2 million or \leq -2 million
 - b. Contracts \geq 4 million or \leq -4 million

Accessing the ANA system

Buyers, PO, and the CI will receive a notification via email when there are pending award notifications to review. Select the link in the email to the online form that mimics the physical 4220.10 document. Please see an example of the 4220.10 Congressional Grant/Contract Notification web form below, on page 7. ANA can also be accessed via the home page of the iPortal by selecting the shortcut on the right side. If you do not have an ANA account please request one through the iPortal help desk (Manage.HelpDesk@hq.doe.gov).



The screenshot displays the iPortal home page. At the top, the iPortal logo is visible, along with user information for Jones, Braden (CONTR) and navigation links for Spaces, Favorites, Administration, Preferences, Help, and Logout. Below the header, there are several sections: a 'Welcome' message, a central banner for a 'New Web Conference tool is here!', and a sidebar with various icons for Business Intelligence, Web Conference, Instant Messaging, Spaces, IPAGES, PowerPedia, Directory, and Training. On the right side, the iManage menu is shown, with the ANA link highlighted in a red box. Below the iManage menu, there are links for Office of the CFO, Department of Energy, Federal Government, Report Quick Links, and CF Operations Security. At the bottom, there is a 'DOE NEWS CAST' section with several news items, each with a date of Wed, 23 Oct 2013 and a 'Click DOE News' link.

When visiting the web application directly, a list of Active and Inactive items will be presented on the home screen. The number of active and inactive items is listed in parenthesis (n) on the header.

ANA Advanced Notification of Award

Welcome, Braden Jones | Your Role: Buyer

This system provides the ability to view and update 4220.10 Forms. Use the list below to access the forms.

▼ Active (1)

Document Number	Vendor Name	Version Number	Anticipated Award Date
LP0000059	PROSUN PROJECT COMPANY, LLC	0002	

Active documents must have Place of Performance information filled in and submitted within 72 hours of notification. Click the icon in the list to open the document and enter information.

Status Legend

- Document Ready for Your Approval
- Document Needs Modification

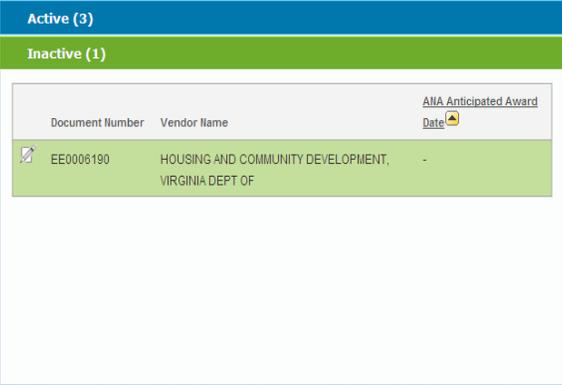
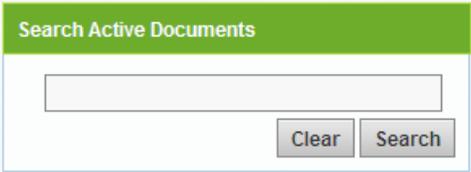
Search Active Documents

Clear Search

▶ Inactive (0)

Accessing ANA through the ANA web application

The ANA home page is displayed when a user clicks on the URL above, or selects the Home button from the bottom of the 4220.10 form. The home page is divided in 4 sections. Please see the section descriptions below.

<p>Active/Inactive Queue</p>		<p>The number of Active and Inactive items is listed in parenthesis, (n), to the right of each section name.</p> <p>Active Queue: Displays documents that require your attention.</p> <p>Inactive Queue: Displays documents that you have taken action on. These documents do not require any further action.</p>
<p>Status Legend</p>		<p>Each document presented in the Active/Inactive Queue will be highlighted in one of the following colors:</p> <ul style="list-style-type: none"> • Green: Waiting for Approval • Yellow: Waiting for Modification • Red: Rejected as Non- Reportable • Blue: Approved
<p>Search</p>		<p>Search for documents within the Active and Inactive Queues. The search option will only query items in the Queue that is expanded on the screen.</p> <p>Fields included in the search: Document Number, Vendor Name, Program Office, and Award Date.</p>

The form below will be shown after selecting the link in the notification email or selecting the document from the ANA homepage.


Advanced Notification of Award

Welcome, Braden Jones | Your Role: Buyer

Review the information to ensure it is correct, and fill in any required fields. If any changes are necessary that cannot be modified through this form, go make the changes in STRIPES, then reload the page. Click the "Approve" button when you are satisfied that the information is correct.

Business Sensitive – See 10 CFR 600.15, as applicable

XZ0906631
Document History

1. Procuring Office: Office of HQ PS (HQ)

Name: Victoria Lynch
(Procurement Office Representative)

Telephone: 301-903-6077

3. Contractor, Grantee, or Offeror:

Name: ARIZONA STATE UNIVERSITY

Street: PO BOX 876011

City: TEMPE

State: AZ

Zip: 852876011

5. ANA Anticipated Award Date: 02/22/2015

Date of Public Announcement (if any):

7. Obligated Cost or Price of this Action:	\$2,200,000.00
Federal Cost or Price of Award:	\$2,500,010.00
Modification to Federal Cost or Price of Total Award:	\$2,500,000.00
Recipient Cost Sharing (if applicable):	\$0.00

(For incrementally funded projects only. Report the initial obligation and total estimated contract value.)

2. Program Office/Project Office: - Select Program Office -

Name:

Telephone:

4. Place of Performance: Required if different from #3

Street:

City:

State:

***Zip:**

6. Contract, Grant, or Other Agreement No.: XZ0906631

***Specify Type of Instrument:** Modification Renewal
 Termination

Award Type: Grant

Total to Date: \$2,200,010.00

Does this award result from an Invitation for Bid? Yes No

8. Duration of Contract, Grant, or Other Agreement:

From: 02/18/2015

To: 02/18/2016

9. Brief Description

Requisition for ANA Testing

Business Sensitive – See 10 CFR 600.15, as applicable

Home

Print Form
Approve
Reject

4220.10 Congressional Grant/Contract Notification web form

Termination Guidelines

- As per policy, before issuing a termination, regardless of termination type, the contracting activity must manually complete DOE form 4220.10 and submit the form before issuing either (1) a contract termination, based on the original contract value of \$4 million or more, or (2) a financial assistance award termination, based on the original “Total Award” value of \$2 million or more.
- When the user hovers the mouse over the “Termination” field a pop-up window with instructions appears as displayed below. The user selects the “OK” button to exit the instructions.

The screenshot displays the ANA (Advanced Notification of Award) web application interface. At the top, the ANA logo and the text "Advanced Notification of Award" are visible. The user is identified as "Welcome, Braden Jones" with the role "Buyer". A navigation bar includes a "Document History" link. The main content area shows a form for contract FC26-05NT42588. A pop-up window is overlaid on the form, containing the following text:

Before issuing a termination, regardless of termination type, the contracting activity must manually complete DOE form 4220.10 and submit the form before issuing either (1) a contract termination, based on the original contract value of \$4 million or more, or (2) a financial assistance award termination, based on the original "Total Award" value of \$2 million or more.

Manual reporting may be required at times. Unless the buyer, referred herein as the Procurement Office Representative CS/CO, is otherwise informed that a specific action requires manual reporting, the Program Office will notify the CS/CO when a manual report is necessary. Manual reporting is required when the action

- Falls outside the normal reportable actions and dollar thresholds;
- Is a subcontract level action and a press release is to be issued by the Department of Energy (DOE); or
- Is a subcontract level action which is known to have been the subject of a Congressional inquiry.

Manual reporting is done by completing and submitting form DOE F 4220.10, Congressional Grant/Contractor Notification 3 business days in accordance with the outline of Reportable Actions below. The form is located in STRIPES or at the DOE Forms Webpage: <http://energy.gov/cio/forms>

An "OK" button is located at the bottom right of the pop-up window.

The background form includes the following fields:

- 1. Procuring Office: U.S. DOE/NETL
- Name: Angela Harshman (Procurement Office Representative)
- Telephone: 412-386-5038
- 3. Contractor, Grantee, or Offeror: UNIVERSITY OF ILLINOIS
- Street: OFFICE OF BUSINESS AND FINANCIAL SERVICES, 1901 SOUTH FIRST ST., SUITE A
- City: URBANA
- State: IL
- Zip: 618013620
- 5. ANA Anticipated Award Date: (empty)
- Date of Public Announcement (if any): (empty)
- 6. Contract, Grant, or Other Agreement No.: FC26-05NT42588
- *Specify Type of Instrument: Modification Renewal

Approving Notifications

When there is pending award information to review, the Buyer receives an email notification and a link to access the ANA Web Application 72 hours prior to the award date. The Buyer receives a follow up email 24 hours after the initial 72 hour notification reminding them to take action on the notification (should they not take action at the initial 72 hour release). Note that in order for an award to successfully progress through the approval process it must stay in the “Pending” state in STRIPES until the notification has been sent to congress. The only time an award should come out of Pending in the approval process is if the award is rejected for modification.

Role Specific Instructions

Buyer

- The Buyer reviews the award information for accuracy and completeness of the STRIPES data that is transferred to IDW/iPortal.
- The Buyer must choose the Program Office/Project Office code for the award to be routed through. If this field is not selected the document will not be able to move on to the next stage of the approval process.

2. Program Office/Project Office:

Name:

Telephone:

4. Place of Performance:

Street:

- The Buyer will verify the Place of Performance information in block 4. If this information is incorrect or incomplete the Buyer will need to fill in this block. For this block the only field required is the Zip Code, but should be filled out in its entirety if different from the awardee address in block 3.
- If a state is recorded then the state must be the two letter state code.
- To assist with finding the 9-digit zip code Buyers may use the UPS search accessible by using the magnifying glass adjacent to the zip code entry box.
- If the Buyer enters a 9-digit zip code that is valid the process will continue throughout the workflow.
- If the system finds a match for the first 5 numbers of the zip code, but not the last 4 numbers: The buyer is presented with an on screen notification stating that an exact match could not be made, the system will use the 5 digit zip code provided, but multiple congressional representatives may receive the notification. Do you want to continue?
- If the 9-digits cannot be verified in the system, The buyer is presented with an on screen notification stating that no matching zip code could be found. Continuing will send the congressional notification to a CI representative for final distribution.



4. Place of Performance: Required if different from #3

Street:

City:

State:

*Zip:

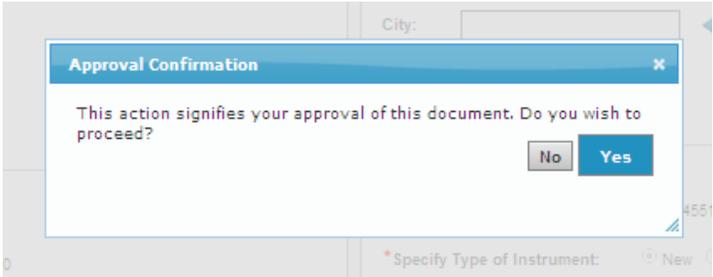
- If the Pending Award is a Financial Assistance (FA) and is not a New Award, the Buyer is required to select 'Renewal' or 'Modification' (Block 6).

*Specify Type of Instrument: Modification Renewal
 Termination

- The Buyer will select whether the award resulted from an Invitation for Bid (IFB) or not.

Does this award result from an Invitation for Bid? Yes No

- Once all information is validated and/or entered the Buyer clicks on the blue **Approve** Button.
- An additional box dialog will display the approval confirmation. Click the blue **Yes** Button to confirm approval.



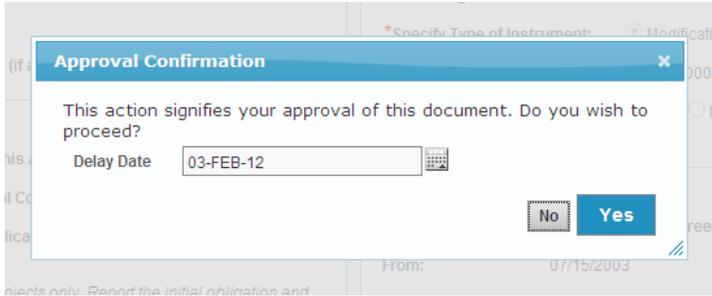
- A notification is sent to the PO for approval.



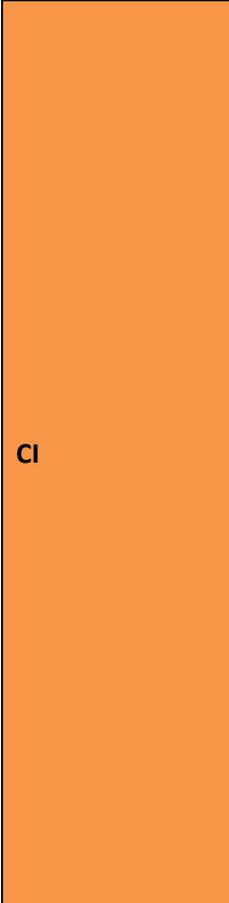
- The PO reviews the award information for accuracy and completeness.
- If the information is correct, the PO clicks on the blue **Approve** Button at the bottom of the screen.



- An additional dialog box will pop-up, requesting approval confirmation. The PO may also select a **Delay Date** here to delay the notification to the CI. Click the blue **Yes** button to confirm approval. If a delay date is selected, CI will inform the buyer by phone call or email.



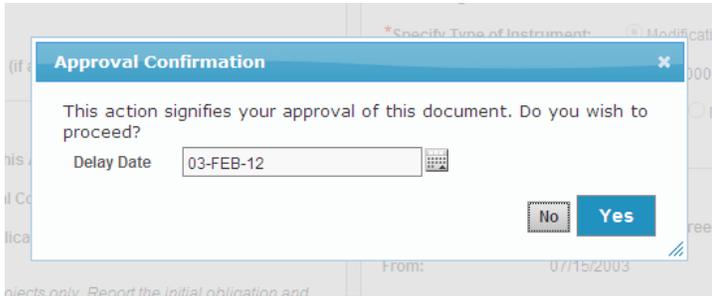
- A notification is sent to the CI for approval.
- **NOTE:** If a PO representative does not act on a notification within 24 hours, it will automatically be approved and sent to the CI.



- The CI reviews the award information for accuracy and completeness.
- If the information is correct the CI clicks on the blue **Approve Button**.



- An additional approval dialog box will pop-up requesting approval confirmation. The CI may also select a **Delay Date** here in order to delay the email to congressional representatives. Click the blue **Yes** button to confirm approval. If a delay date is selected, CI will notify the Buyer by phone or email of the date change.



- The notification is placed in an “Approved – pending” notification state, per the daily summary report. Once the CI representative reviews the summary report and approves the notifications, then it will be released for congressional review.

Rejecting Notifications

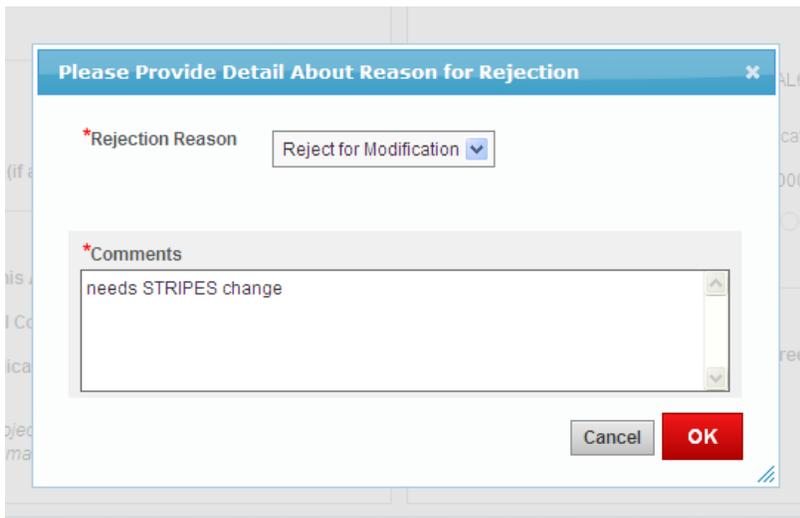
To Reject a Form, click on the red **Reject Button** at the bottom of an award notification form.



Note: Provide one of the following reasons for rejecting the form.

- **Not Reportable:** The approver has indicated that this is not reportable to congress. The Pending Award is displayed in the **Inactive** area of the Web Application accordion, for reference as needed.
- **Reject For Modification:** The approver has determined that there needs to be a data modification in STRIPES before the notification can be approved.

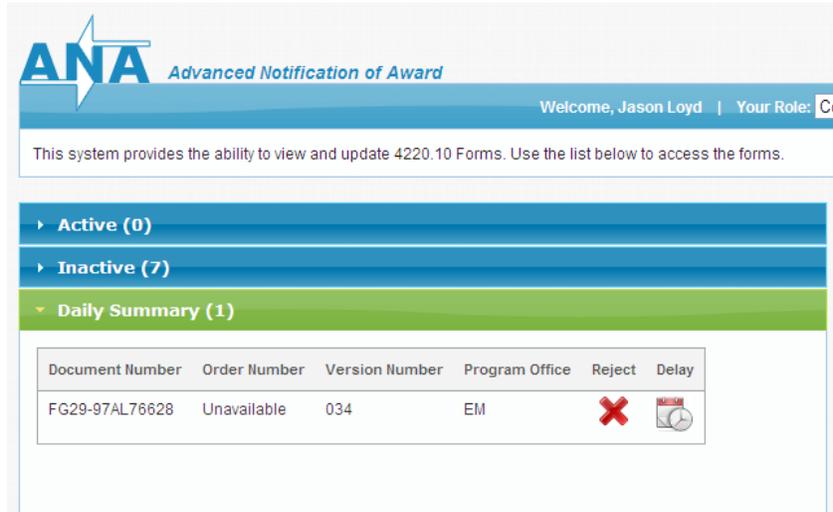
In addition, a brief description of rejection is required in the accompanying comment field. Please provide extensive details as to why the document is being rejected. Click the red **OK** Button to confirm the rejection.



Note: When rejecting a notification, email notifications which include the reason for rejections are sent to all relevant parties.

Role Specific Instructions	
Buyer Rejects	<ul style="list-style-type: none"> • When a document is rejected for modification, it will show up in the Buyer's active queue in yellow. In addition the Buyer will receive an email that the Form was Rejected for Modification. • The Buyer makes the necessary changes and must approve again. • If the notification requires STRIPES changes, the Buyer must go make the changes in the STRIPES system, and then click on the blue STRIPES changes made button on the form. • The document drops off the Buyer's list and is placed in the inactive queue. • After ensuring that the STRIPES changes occurred as expected, the Buyer can now approve the document.
PO Rejects	<ul style="list-style-type: none"> • A notification will be sent to the Buyer to update information as necessary. The PO will receive a notification again when the document is ready for review.
CI Rejects	<ul style="list-style-type: none"> • A notification will be sent to the PO and the Buyer. The Buyer will update information as necessary. The CI will receive a notification again when the document is ready for review.

Daily Summary Report

CI Specific Instructions	
CI Daily Role/ Summary Report	<p>In addition to Active and Inactive items sections, the CI will also have the Daily Summary section available. This is a list of documents which will be sent to Congress daily. CI can reject or delay them by using the buttons in the below screenshot.</p>  <p>Summary Report:</p>

The process of generating a Summary Report and sending it to CI can be done in two ways as below:

1. The CI POC has the option of clicking the **“Send Summary Report to CI”** button in the **Daily Summary** section shown in the above screen shot and the ANA Summary report is generated as a PDF document and sent via an email to all Users with the CI role.
2. The ANA Summary report is systematically generated as a PDF document and sent via an email to all Users with the CI role at 3:00 PM Eastern Time every day.

Below is the screen shot of the Summary Report:

Document Number	Name	City	State	Zipcode	Reporting Office	Program Code	Contract / Grant	Obligated Costs	Total Est. Costs	Award Description	Award Date	Notification Date
SC0004079	CORNELL UNIVERSITY, INC	ITHACA	NY	14850-2820	Office of Science - Chicago	SC	Grant	\$1,700,000	\$4,775,000	Versatile, Reprogrammable Area Pixel Array Detector for Time-Resolved Synchrotron X-ray Applications	8/15/2013	8/15/2013
SC0004600	UNIVERSITY OF OKLAHOMA	NORMAN	OK	73019-0490	Office of Science - Chicago	SC	Grant	\$822,000	\$5,640,389	TAS-89 0222-TAS Center for Interfacial Reaction Engineering	8/11/2013	8/15/2013

- The Summary Report contains all ANA documents approved by CI that have future Notification Dates and have not yet sent to congress.
- No “Rejected” documents shall be included on the Summary Report
- Once the CI POC has the ANA Summary Report, they shall distribute to the Public Affairs (PA), CFO ExCo, and the Office of the Secretary for verification.
- These personnel review the ANA Summary Report and shall notify the CI POC using the existing process if a particular document on the Summary Report should be “Delayed” or “Rejected”
- Should a “Rejection” occur at that point, the CI POC shall go to the Daily Summary Section of the Web Application and click the “Reject” button for the relevant document as shown in the next page:

Document Number	Vendor Name	Program Office	AIA Anticipated Award Date	Notification Date	Reject	Delay
AR0000220	TEXAS ENGINEERING EXPERIMENT STATION	ARPA-E	24-FEB-12	10-MAY-12	X	
FC02-07ER64494	UNIVERSITY OF WISCONSIN SYSTEM	SC	08-MAR-12	10-MAY-12	X	

Additional System Tools: Document History

Within the ANA system there is a green **Document History** button on the top right of the form. All historical states of a document can be viewed via the panel that expands out from the top. Simply click on the Pencil Icon to the left of any of a document line item to view an older version of the document before modification or status change.

Active	Created On	Created By	User Role	User Action	Comment
-	02/02/2012	ARRA	System	Start	-
-	02/02/2012	JASON LOYD	Buyer	Approve	-
-	02/02/2012	JASON LOYD	Program Office	Reject for Modification	fix stripes vendor
-	02/02/2012	JASON LOYD	Buyer	STRIPES Changes Made	-

1. Informing Office: EMCBC - Carlsbad 2. Program Office/Project Office: EM

Manual Submission:

Manual Reporting is required:

- Before issuing a termination (Regardless of termination type, the contracting activity must manually complete DOE form 4220.10 and submit the form before issuing either (1) a contract termination, based on the original contract value of \$4 million or more, or (2) a financial assistance award termination, based on the original "Total Award" value of \$2 million or more.);

- An action falls outside the normal reportable actions and dollar thresholds;
- Is a subcontract level action and a press release is to be issued by the Department of Energy (DOE); or
- Is a subcontract level action which is known to have been the subject of a Congressional inquiry.

Manual reporting is done by completing and submitting (**in PDF format**) form DOE F 4220.10, Congressional Grant/Contractor Notification, 3 calendar days in advance of the anticipated date of the award or modification in accordance with the outline of Reportable Actions.

In the event that a manual submission is necessary, the Buyer is asked to submit the Congressional Grant/Contract Notification (DOE 4220.10) form to the Congressional Intergovernmental office via email to CI-ANA@hq.doe.gov . A blank 4220.10 form can be obtained by visiting the link below.

[Click here to access the Congressional Grant/Contract Notification form](#)

The Contract Notification Coordinator can be contacted at 202-586-5450 to confirm receipt of the form; to report that an action did not process as planned; or for further information.

Additional Support:

iManage Help Desk Support:

(301) 903-2500 and selecting Option 4, Option 1, Option 1

Or

(866) 834-6246 and selecting Option 4, Option 1, Option 1

Or

I-Manage.HelpDesk@hq.doe.gov

Help Desk Hours of Operation :

Monday-Thursday (8:00 AM - 6:00 PM ET)

Friday (8:00 AM- 5:00 PM ET)

Congressional Affairs Support:

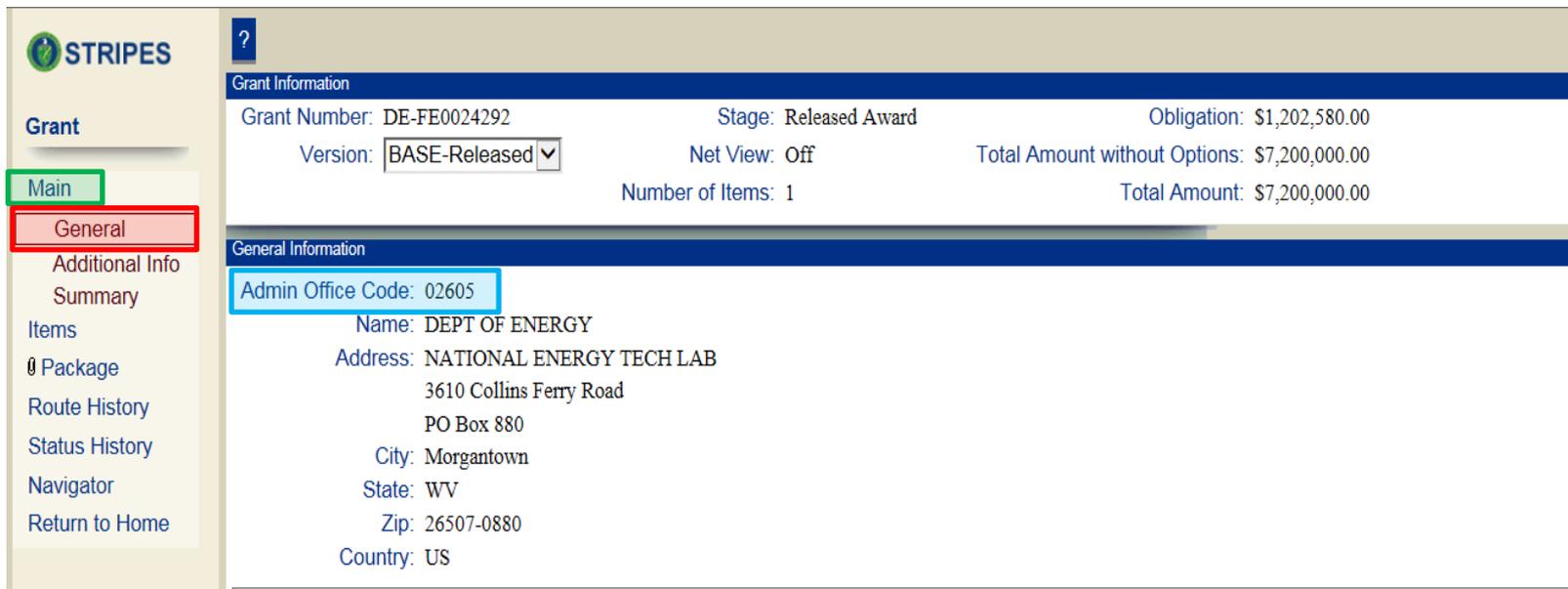
Robert Tuttle

Robert.Tuttle@hq.doe.gov

202-586-4298

This document serves as a mapping to show where in STRIPES users can find the information presented on the ANA Form. The next slide is a copy of the ANA form with all the fields numbered 1-24. The slides following will all have at least one of those numbers and the field name as the title. In each of those slides you will find a path and/or an explanation of the field. The paths are most often presented in the format A > B > C with each being a page or field.

As an example, a field mapped to Admin Office Code would be presented as: [Main](#) > [General](#) > [Admin Office Code](#)



The screenshot displays the STRIPES web application interface. On the left is a navigation menu with the following items: Grant, Main, General (highlighted with a red box), Additional Info, Summary, Items, Package, Route History, Status History, Navigator, and Return to Home. The main content area is divided into two sections. The top section, titled 'Grant Information', contains the following data: Grant Number: DE-FE0024292, Stage: Released Award, Obligation: \$1,202,580.00, Version: BASE-Released (dropdown menu), Net View: Off, Total Amount without Options: \$7,200,000.00, and Number of Items: 1. The bottom section, titled 'General Information', contains the following data: Admin Office Code: 02605 (highlighted with a blue box), Name: DEPT OF ENERGY, Address: NATIONAL ENERGY TECH LAB, 3610 Collins Ferry Road, PO Box 880, City: Morgantown, State: WV, Zip: 26507-0880, and Country: US.

1. Procuring Office: Office of HQ PS (HQ) **1**

Name: Vi **2**
(Procurement Office Representative)

Telephone: 301-903 **3**

3. Contractor, Grantee, or Offeror:

Name: ARIZONA STATE UNIVERSITY **4**

Street: PO BOX 876011 **5**

City: TEMPE **6**

State: AZ **7**

Zip: 852876011 **8**

5. ANA Anticipated Award Date: 02/22/2015 **9**

Date of Public Announcement (if any): **10**

7. Obligated Cost or Price of this Action: **11** \$2,200,000.00

Federal Cost or Price of Award: **12** \$2,500,010.00

Modification to Federal Cost or Price of Total Award: **13** \$2,500,000.00

Recipient Cost Sharing (if applicable): **14** \$0.00

(For incrementally funded projects only. Report the initial obligation and total estimated contract value.)

2. Program Office/Project Office: - Select Program Office - **16**

Name: **17**

Telephone: **18**

4. Place of Performance: Required if different from #3

Street: **19**

City: **20**

State: **21**

*Zip: 852876011  **22**

6. Contract, Grant, or Other Agreement No.: XZ0906631 **23**

*Specify Type of Instrument: Modification Renewal **24**
 Termination

Award Type: Grant **25**

Total to Date: \$2,200,010.00 **26**

Does this award result from an Invitation for Bid? Yes No **27**

8. Duration of Contract, Grant, or Other Agreement:

From: 02/18/2015 **28**

To: 02/18/2016 **29**

9. Brief Description

Requisition for ANA Testing **15**

Main > General > pulls Issuing Office Code or Admin Office Code if Issuing Office is null. IDW pulls code and then uses a look up table to convert the code to a name.

General Information

Admin Office Code: 00111

Name: Office of HQ Procurement Services

Address: U.S. Department of Energy
Office of Headquarters Procurement Services
c/o Office of Legacy Management
3600 Collins Ferry Road

City: Morgantown

State: WV

Zip: 26505

Country: US

Issuing Office Code: 00112

Name: Office of HQ PS (HQ)

Address: U.S. Department of Energy
Office of Headquarters Procurement Services
MA-64
1000 Independence Ave., S.W.

City: Washington

State: DC

Zip: 20585

Country: US

Main > General > Grant Specialist (Grant)

Grant Information		
Grant Number: DE-AR0000343	Stage: Released Modified Award	Obligation: \$2,900,000.00
Version: 0003-Released	Net View: Off	Total Amount without Options: \$2,900,000.00
	Number of Items: 1	Total Amount: \$2,900,000.00

General Information	
Current Grant Specialist:	
Owner:	
Type of Agreement:	Cooperative Agreement
Agreement Category:	Research
Award Type:	Cost-sharing
Award Date:	09/11/2014
Effective Date:	09/11/2014
Completion Date:	08/11/2016
Recipient:	ARIZONA STATE UNIVERSITY
→ Grant Specialist:	R

Or Main> General > Buyer (Contract)

Contract Information		
Contract Number: DE-NA0002699	Stage: Released Award	Obligation: \$0.00
Version: BASE-Released	Net View: Off	Total Amount without Options: \$35,426,229.02
	Number of Items: 1	Total Amount: \$35,426,229.02

General Information	
Current Buyer:	
Owner:	
Award Type:	Indefinite-quantity
Award Date:	10/27/2014
Effective Date:	11/01/2014
Completion Date:	10/31/2019
Vendor:	ALUTHIQ PACIFIC, LLC,
→ Buyer:	C

This field is displayed from a look up table based on the Buyer/Grant Specialist that is displayed in Field #2.

Main > General > Recipient (Grant)

Grant Information		
Grant Number: DE-AR0000343	Stage: Released Modified Award	Obligation: \$2,900,000.00
Version: 0003-Released	Net View: Off	Total Amount without Options: \$2,900,000.00
	Number of Items: 1	Total Amount: \$2,900,000.00

General Information	
Current Grant Specialist:	
Owner:	
Type of Agreement:	Cooperative Agreement
Agreement Category:	Research
Award Type:	Cost-sharing
Award Date:	09/11/2014
Effective Date:	09/11/2014
Completion Date:	08/11/2016
→ Recipient:	ARIZONA STATE UNIVERSITY
Grant Specialist:	R

Or Main > General > Vendor (Contract)

Contract Information		
Contract Number: DE-NA0002699	Stage: Released Award	Obligation: \$0.00
Version: BASE-Released	Net View: Off	Total Amount without Options: \$35,426,229.02
	Number of Items: 1	Total Amount: \$35,426,229.02

General Information	
Current Buyer:	
Owner:	
Award Type:	Indefinite-quantity
Award Date:	10/27/2014
Effective Date:	11/01/2014
Completion Date:	10/31/2019
→ Vendor:	ALUTHIQ PACIFIC, LLC,
Buyer:	C

In the sections on the left hand side select Recipient (Grants) or Vendors (Contracts). Then under Address Code select CCR Mailing. Here you can find all the information.

Recipient Address

Address Type: Mailing

Name: ARIZONA STATE UNIVERSITY

Address Code: CCR_MAILING

Contact Name:

Contact Phone: 4809655479

Contact Fax: 4809652455

Contact Email: ASU.AWARDS@ASU.EDU

5 Address: 660 S. MILL AVENUE, SUITE 312
BOX 876011

6 City: TEMPE

7 State: AZ

8 Zip: 852816011

Country: USA

Main > Additional Info > ANA anticipated Award Date

Invoice Approving Official	100023
Program Official	10002
Specialist Official	3094
Payment Terms/ASAP	YES
Approved Financial Exception	RECON UPDATE
SBIR-STTR Award	(None)
Technical Deliverable	AZ
Extent Competed	NOT COMPETED
Davis-Bacon Act	NO
Principal Investigator (FA Only)	(None)
PALT Type	BASIC AGREEMENT
PALT Start/Req Accepted Date	02/18/2015
PALT Days Delayed	0
Reason for PALT Delay	NO DELAY
ANA Anticipated Award Date	02/22/2015



Field #10: Date of Public Announcement

No column is associated with this field

Field #11: Obligated Cost or Price of this Action

Header level for specified version > Obligations with Net View Off

Grant Information

Grant Number: DE-XZ0906631

Stage: Modified Award

→ Obligation: \$2,200,000.00

Version: ▼

→ Net View: Off

Total Amount without Options: \$2,500,000.00

Number of Items: 2

Total Amount: \$2,600,000.00

Header level for specified version > Total Amount Without Options with Net View On

Grant Information		
Grant Number: DE-XZ0906631	Stage: Modified Award	Obligation: \$2,200,010.00
Version: <input type="text" value="0001-Pending"/>	→ Net View: On	→ Total Amount without Options: \$2,500,010.00
	Number of Items: 3	Total Amount: \$2,600,010.00

Field #13: Modification to Federal Cost or Price of Total Award

Header level for specified version > Total Amount Without Options with Net View Off

Grant Information		
Grant Number: DE-XZ0906631	Stage: Modified Award	Obligation: \$2,200,000.00
Version: <input type="text" value="0001-Pending"/>	→ Net View: Off	→ Total Amount without Options: \$2,500,000.00
	Number of Items: 2	Total Amount: \$2,600,000.00

Items > Total Estimated Cost – Estimated Government Cost

I.E. \$4,322,173- \$3,562,130= \$760,043

Item General

Item Number: 00001
UNSPSC: (None)
Description: Arizona State University (ASU) w
Please assign Ricky Callahan as

Requisition Number: 13AR000112 Item# 00001
14AR000513 Item# 00001
Solicitation Number: (None)
Period of Performance: 03/12/2013 to 03/11/2014
Print Detail: No
Line Item Type:
Contractor Proposal Amount:
Qualifier: By Dollars
Award Type: Cost-sharing
Unit of Issue:
Quantity:
Estimated Unit Cost:
→ Total Estimated Cost: 4322173
Type of Government Share: By Amount
Government Share is: 82.42 %
→ Estimated Government Cost: 3562130.00

Main > Text > Description

Text

Description
Data entered in this field is sent to FPDS NG as the Description of Requirement.
This data does not print on the form.

Requisition for ANA Testing

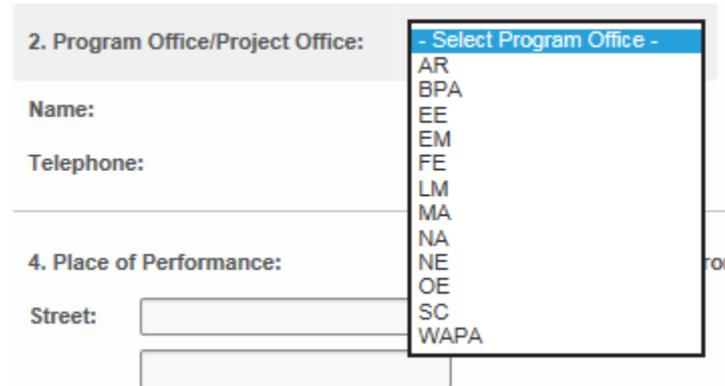
Header Text

Footer Text

Notes

Field #16: Program Office/Project Office

The Buyer selects the correct Program Office or Project Office from the drop down on the ANA form.

A screenshot of a web form. On the left, there are labels for '2. Program Office/Project Office:', 'Name:', 'Telephone:', '4. Place of Performance:', and 'Street:'. To the right of these labels are input fields. A dropdown menu is open, showing a list of options: '- Select Program Office -', 'AR', 'BPA', 'EE', 'EM', 'FE', 'LM', 'MA', 'NA', 'NE', 'OE', 'SC', and 'WAPA'. The dropdown menu is positioned over the 'Name:' and 'Telephone:' fields. The word 'on' is partially visible to the right of the dropdown menu.

2. Program Office/Project Office:

Name:

Telephone:

4. Place of Performance:

Street:

- Select Program Office -

AR

BPA

EE

EM

FE

LM

MA

NA

NE

OE

SC

WAPA

on

Field #17/18: Name and Telephone (Block 2)

For Grants: Main > Additional Info > Technical
Officer Name and Phone

Additional Information

Potential Amount:
Supplies or Services:
Construction: No
GWAC / GSA Purchase: No
Invoice Matching: Define at Item
CCR Exception: Not Applicable

Technical Officer

→ Name: P
Mail Stop: AR-1
→ Phone: 202-287
Email Address:

For Contracts: Main > General > COR

General Information

Current Buyer:
Owner:
Award Type: Indefinite-quantity
Award Date: 10/27/2014
Effective Date: 11/01/2014
Completion Date: 10/31/2019
Vendor: ALUTHIQ PACIFIC, LLC,
Buyer:
Type of Warrant Required: CONTRACTS
Contracting Officer:
→ COR: B



Field #19-21: Name, Address, State (Block 4)

These fields are manually entered by the Buyer in ANA if they are different from the information in Block 3.

In the sections on the left hand side select Recipient (Grants) or Vendors (Contracts). Then under Address Code select CCR Mailing. Here you can find the zip that first populates the box. This and all other fields in Block 4 in ANA are editable.

Recipient Address	
Address Type:	Mailing
Name:	ARIZONA STATE UNIVERSITY
Address Code:	CCR_MAILING
<hr/>	
Contact Name:	
Contact Phone:	4809655479
Contact Fax:	4809652455
Contact Email:	<u>ASU.AWARDS@ASU.EDU</u>
Address:	660 S. MILL AVENUE, SUITE 312 BOX 876011
City:	TEMPE
State:	AZ
Zip:	852816011
Country:	USA

Header > Contract Number

Contract Information

Contract Number: DE-NA0002699	Stage: Released Award	Obligation: \$0.00
Version: <input type="text" value="BASE-Released"/>	Net View: Off	Total Amount without Options: \$35,426,229.02
	Number of Items: 1	Total Amount: \$35,426,229.02

Header > Grant Number

Grant Information

Grant Number: DE-AR0000343	Stage: Released Modified Award	Obligation: \$2,900,000.00
Version: <input type="text" value="0003-Released"/>	Net View: Off	Total Amount without Options: \$2,900,000.00
	Number of Items: 1	Total Amount: \$2,900,000.00

When Base Version then display “New”

Contract Information		
Contract Number: DE-NA0002699	Stage: Released Award	Obligation: \$0.00
→ Version: <input type="text" value="BASE-Released"/>	Net View: Off	Total Amount without Options: \$35,426,229.02
	Number of Items: 1	Total Amount: \$35,426,229.02

Otherwise it displays as “Modification”

Grant Information		
Grant Number: DE-AR0000343	Stage: Released Modified Award	Obligation: \$2,900,000.00
→ Version: <input type="text" value="0003-Released"/>	Net View: Off	Total Amount without Options: \$2,900,000.00
	Number of Items: 1	Total Amount: \$2,900,000.00

For Grants: Main > General > Type of Agreement

Grant Information		
Grant Number: DE-AR0000343	Stage: Released Modified Award	Obligation: \$2,900,000.00
Version: <input type="text" value="0003-Released"/>	Net View: Off	Total Amount without Options: \$2,900,000.00
	Number of Items: 1	Total Amount: \$2,900,000.00
General Information		
Current Grant Specialist:		
Owner:		
→ Type of Agreement: Cooperative Agreement		

For Contracts: Main > General > Award Type

Contract Information		
Contract Number: DE-SC0001655	Stage: Released Modified Award	Obligation: \$13,901,269.19
Version: <input type="text" value="0029-Released"/>	Net View: On	Total Amount without Options: \$22,096,139.19
	Number of Items: 10	Total Amount: \$22,096,139.19
General Information		
Current Buyer:		
Owner:		
→ Award Type: Cost-plus-award-fee		

Header level for specified version > Obligation Net View On

Grant Information

Grant Number: DE-AR0000343

Stage: Released Modified Award

→ Obligation: \$3,562,130.00

Version: 0003-Released

→ Net View: On

Total Amount without Options: \$3,562,130.00

Number of Items: 2

Total Amount: \$3,562,130.00



Field #27: Does this award result from an Invitation for Bid

The Buyer must manually select on the ANA form if this award was the result of an Invitation for Bid (IFB) or not.

Main > General > Period of Performance

General Information

Type of Warrant Required: GRANTS

Agreements Officer:

Program Manager:

Administrative Grant Officer:

Grant Negotiator:

→ Period of Performance: 03/12/2013 to 08/11/2016