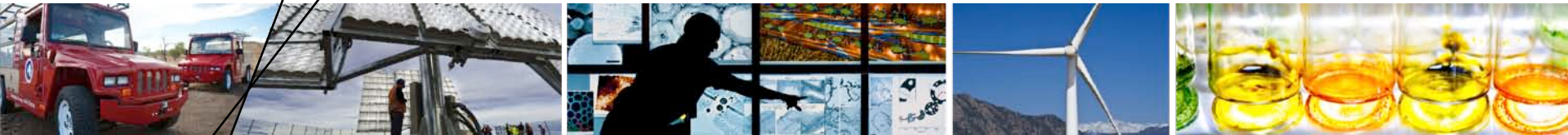


# Biological Upgrading of Sugars

## WBS 2.3.2.105



**2015 DOE BioEnergy Technologies Office (BETO) Project Peer Review**

**Date: March 25<sup>th</sup>, 2015**

**Technology Area Review: Biochemical Conversion**

**Principal Investigator: Gregg T. Beckham**

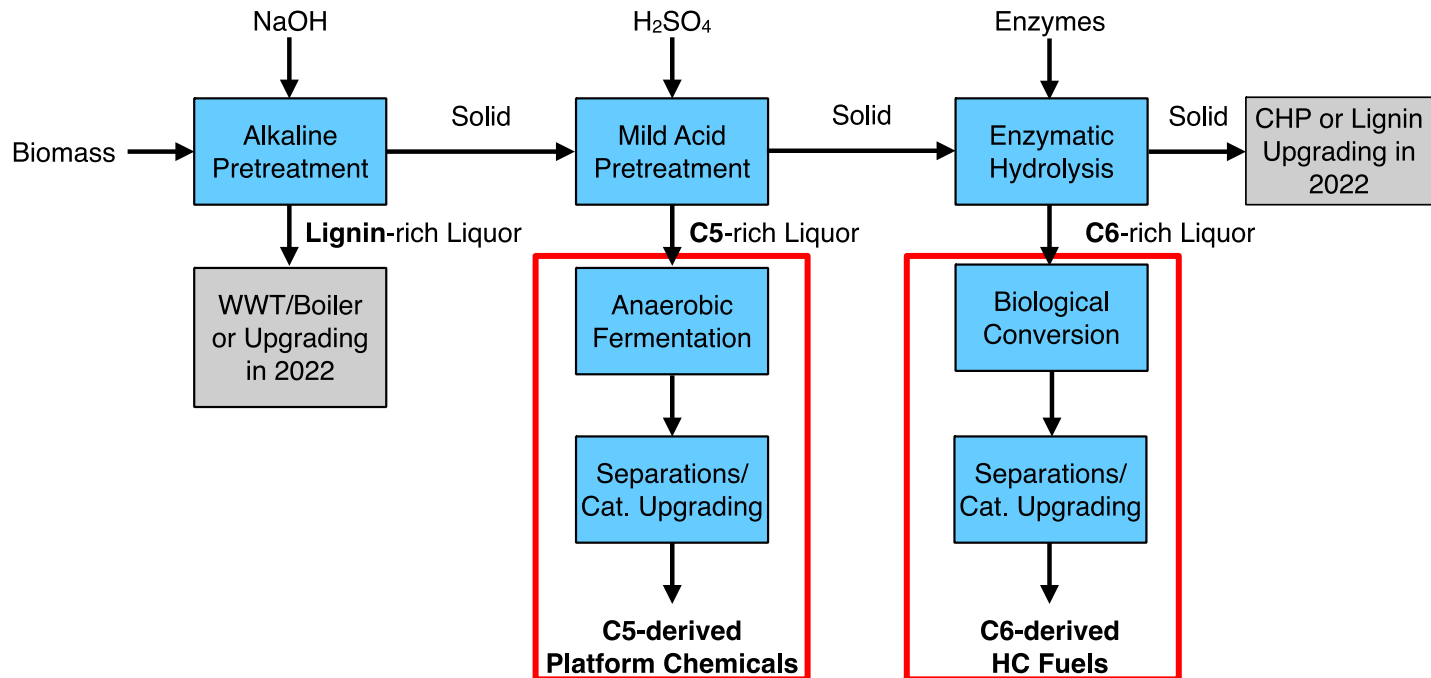
**Organization: National Renewable Energy Laboratory**

This presentation does not contain any proprietary, confidential, or otherwise restricted information

# Goal Statement

**Goal: develop strains to produce fuels and co-products for the 2017 and 2022 Biochemical Conversion Platform cost target goals of \$5/gge and \$3/gge**

- Fatty acids as fuel precursors, succinic acid as an *example* product, both aligned with TEA targets
- “Bioproducts are on the Critical Path” – DOE BETO



**HC fuels alongside co-products will be a major benefit to the US biorefinery infrastructure**

- Conduct TEA/LCA to identify cost drivers and data gaps and to refine process options
- Collaborate with industry and academics for joint development of strains and process demonstrations
- **Outcome:** demonstrated, robust strains for producing HC fuels and co-products in the biorefinery

# Quad Chart

## Timeline

- **New Project**
- Start date: [October 2014](#)
- End date: [September 2017](#)
- Percent complete: [10%](#)

## Barriers

- Bt-I Catalyst Efficiency
- Bt-J Biochemical Conversion Process Integration
- Bt-H Cleanup/Separation

## Budget

	FY15	Total Planned Funding (FY16-Project End Date)
DOE funded	\$1,800,000	\$4,200,000

## Partners and Collaborators

- **Industry partners:** in talks with industrial entities regarding collaborations around both HC and co-product development
- **NREL BETO Projects:** [Biochemical Platform Analysis](#), [Bench-Scale Integration](#), Separations Development and Application, Catalytic Upgrading of Sugars, Pretreatment and Process Hydrolysis, Pilot Scale Integration, Biochemical Process Modeling and Simulation, Strategic Analysis Platform
- **BETO-funded National Lab Projects:** Ongoing discussions with PNNL efforts in strain development
- **Academic collaborators:** University of Pretoria, MIT, UC Davis Phaff Yeast Culture Collection, currently in talks with other groups for collaborations around both HC and co-product development

# Project Overview

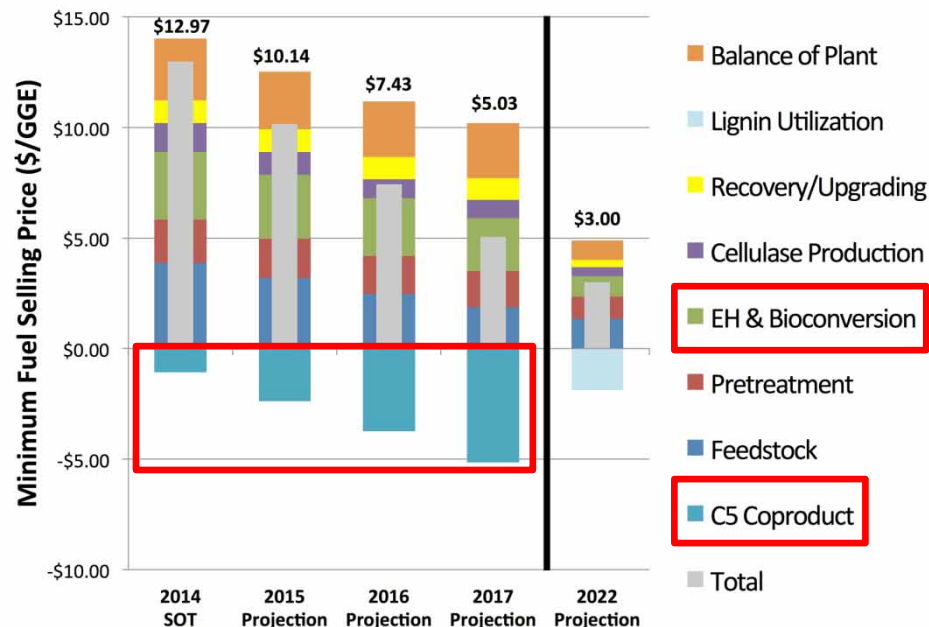
## History: HC fuel R&D primarily began at NREL in the Nat'l Adv. Biofuels Consortium

- TEA suggests chemicals are essential to cost-effective HC production
- NREL began developing plans after the 2012 ethanol demonstration to meet 2017 and 2022 cost targets for HC fuels at \$5/aoe and \$3/aoe



## Context: Going “beyond ethanol” to produce a broad portfolio of biofuels

- Produce direct replacements or blendstocks for gasoline, diesel, jet fuel markets
- Move closer to petroleum refinery models with fuel and chemicals production together
- De-risk capital investments in fuels via co-product manufacturing



## Project Objectives:

- *Develop industrially-relevant strains for fatty acids and an example co-product to meet 2017 and 2022 cost targets*
- Focus efforts towards titer, rate, and yield targets set by TEA/LCA modeling
- Rapidly test strains with Bench-Scale Integration Project to identify and solve problems in scaling and integration

# Technical Approach

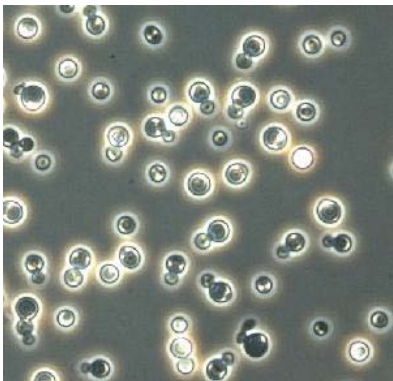
## Aim 1: Develop a robust oleaginous strain

### Approach:

- Target: 0.4 g/L/hr rate, 60% lipid content, and a 0.27 g/g yield on C6-enriched sugars
- Screen natural oleaginous yeast strains
- Evolve strains to increase lipid yields
- Engineer select strains for high lipid yields

### Primary challenges and success factors:

- High yield and productivity of lipids
- Availability of genetic tools in strains for metabolic engineering



## Aim 2: Develop robust succinic-acid strain

### Approach:

- Target: 2.0 g/L/hr rate, 0.795 g/g yield on C5-enriched sugars
- Evaluate natural strains on C5-hydrolyzates
- Adapt strains to tolerate pretreatment inhibitors
- Engineer a strain for higher SA yields

### Primary challenges and success factors:

- Overcoming hydrolyzate toxicity
- Increasing carbon flux to SA over side products

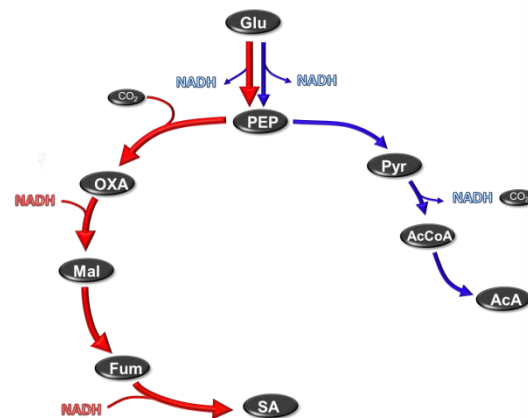
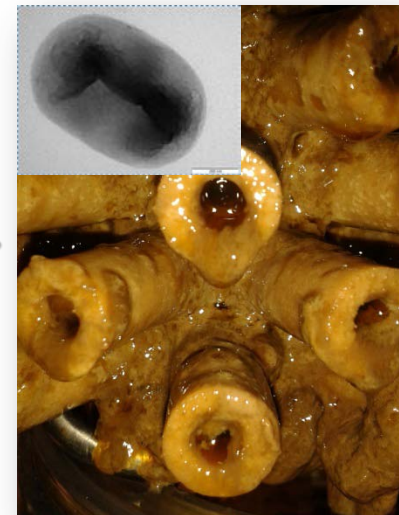


Image from W. Nicol



# Management Approach and Outline

Experienced task leads in fermentation, microbiology, and metabolic engineering

Biological Upgrading of Sugars

Milestones prioritized to down-select single fuel and co-product strains for 2017 deployment

Fuel Precursor Strain Evaluation  
(Nancy Dowe)

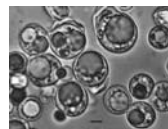
Fuel Precursor Strain Development  
(Jeffrey Linger)

Co-Product Strain Evaluation  
(Davinia Salvachua)

Co-Product Strain Development  
(Michael Guarnieri)

Fuel Precursors: 3-pronged strategy to mitigate risk

Natural Strains



Evolved Strains



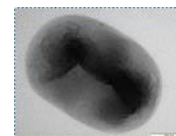
Engineered Strains



Bench-Scale Integration Project for Advanced Fermentation Testing

Develop/improve genetic tools

Apply genetic tools for strain engineering



Adapt/probe tolerance mechanisms

Evaluate strains on C5-hydrolyzate

Bench-Scale Integration Project for Advanced Fermentation Testing

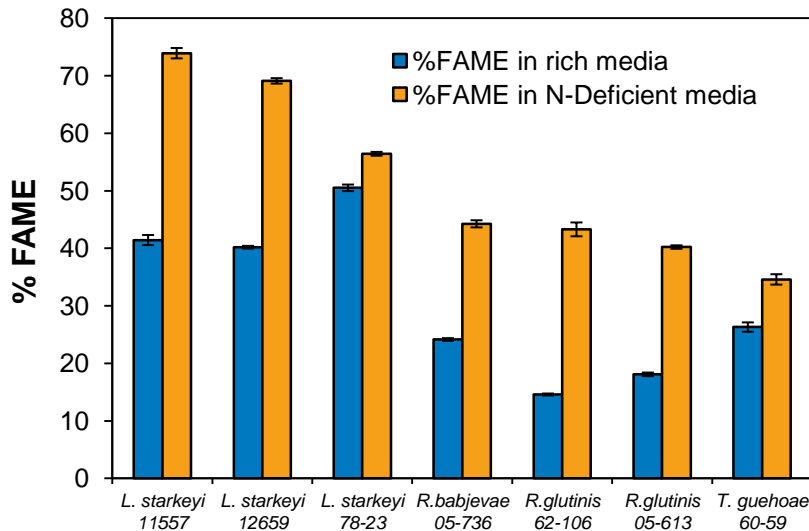
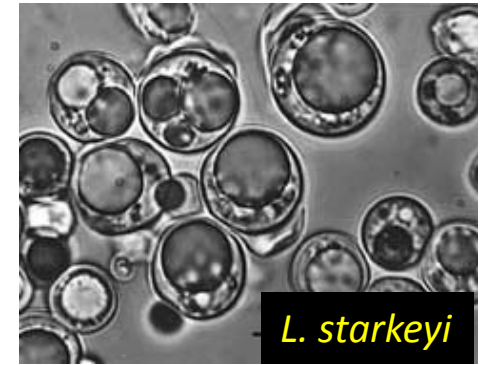
# Self-consistent screening of oleaginous yeast

Natural Strains

- Obtained oleaginous yeast collection
- Pursuing **self-consistent screening results**

**Species being screened :**

- *Cryptococcus curvatus*
- *Cryptococcus wieringae*
- *Kurtzmaniella cleridarum*
- *Leucosporidiella creatinavora*
- *Lipomyces starkeyi* (3)
- *Rhodospiridium babjevae* (4)
- *Rhodospiridium dibovatum*
- *Rhodospiridium paludigenum*
- *Rhodospiridium sphaerocarpum*
- *Rhodospiridium toruloides* (6)
- *Rhodotorula glutinis* (2)
- *Rhodotorula glutinis* "like"
- *Sporopachydermia opuntiana*
- *Tremella encephala*
- *Trichosporon guehoae*
- *Yarrowia lipolytica* (10)



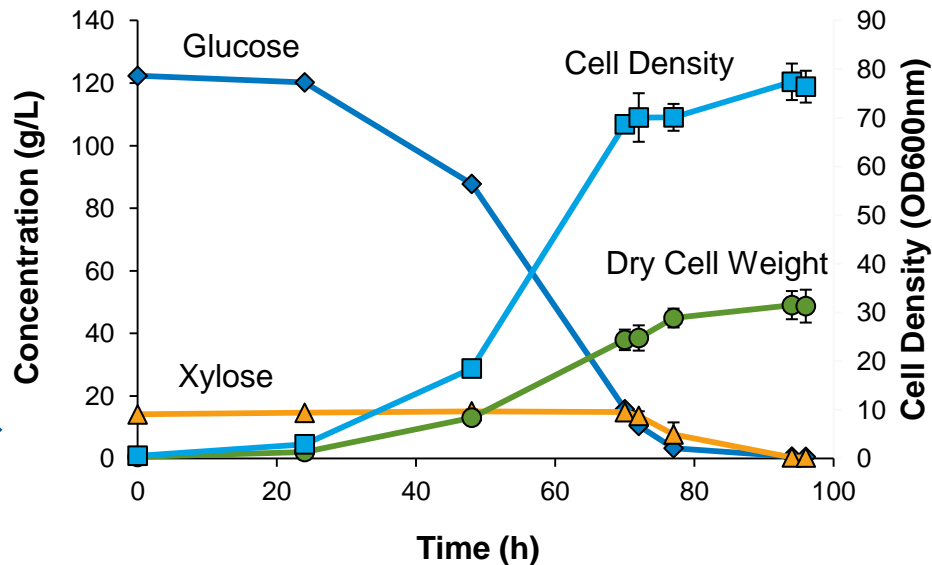
# Evaluation of oleaginous yeast

Natural Strains

Lipid production by *L. starkeyi* in shake flasks



Lipid production by *L. starkeyi* in small fermentors – BSI Early Work



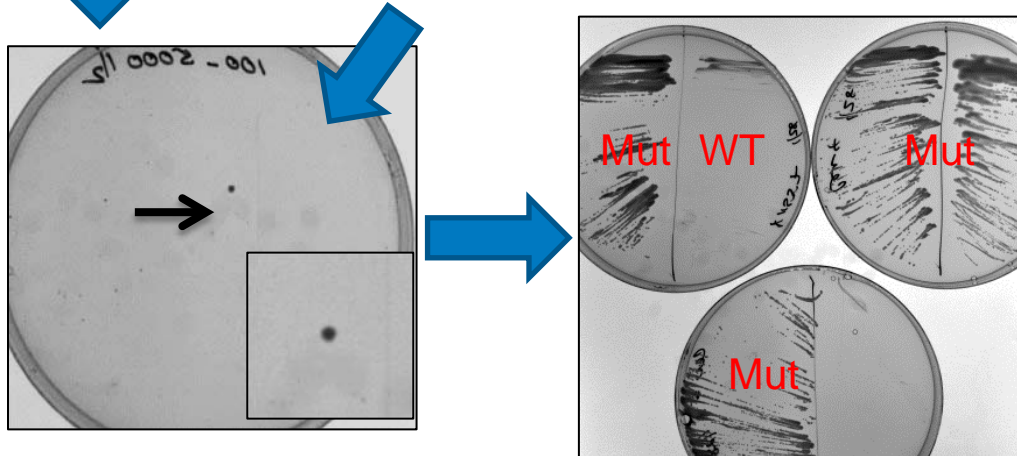
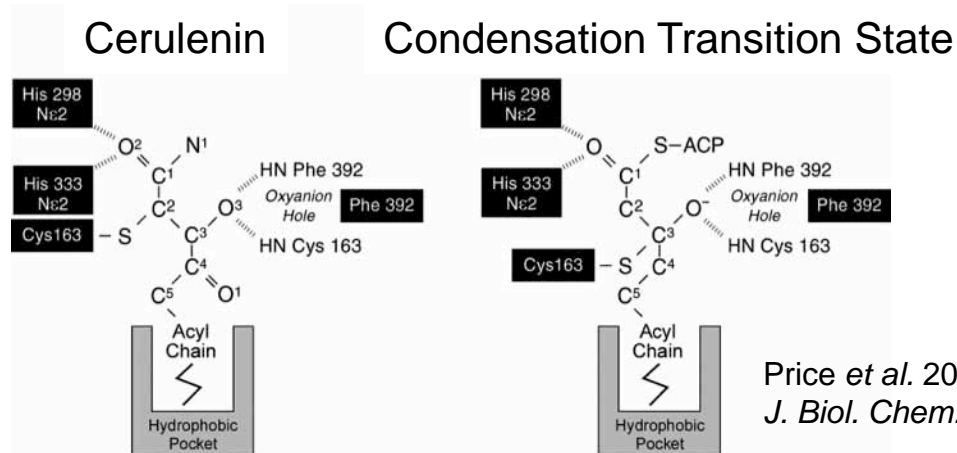
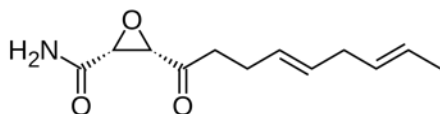
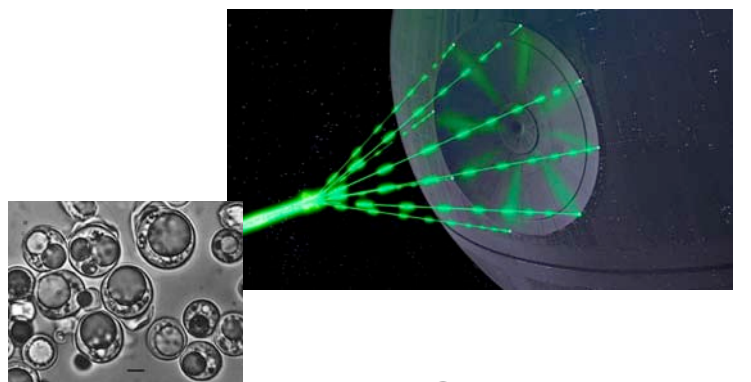
Metric	Pure Sugar - Flasks	Pure Sugar - Fermentor	C6 Biomass Sugars from Enz Hyd.- Fermentor
Glucose utilization (total)	98%	80%	100%
Lipid content	59%	60%	57%
Volumetric productivity (g/L-hr) at 72 h	0.05 (batch culture)	0.18 (batch culture)	0.29 (batch culture)
Lipid process yield (total sugar-to-product, g/g)	0.07	0.13	0.20



# Strain evolution efforts

Evolved Strains

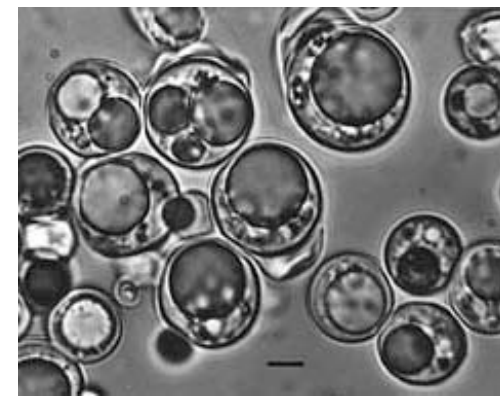
- $\beta$ -ketoacyl-acyl carrier protein synthases (KS) regulate FA synthesis and are inhibited by **cerulenin**
- Cells can overcome this inhibition by increasing FA synthase production Tapia *et al.* 2012, *AMB Express*



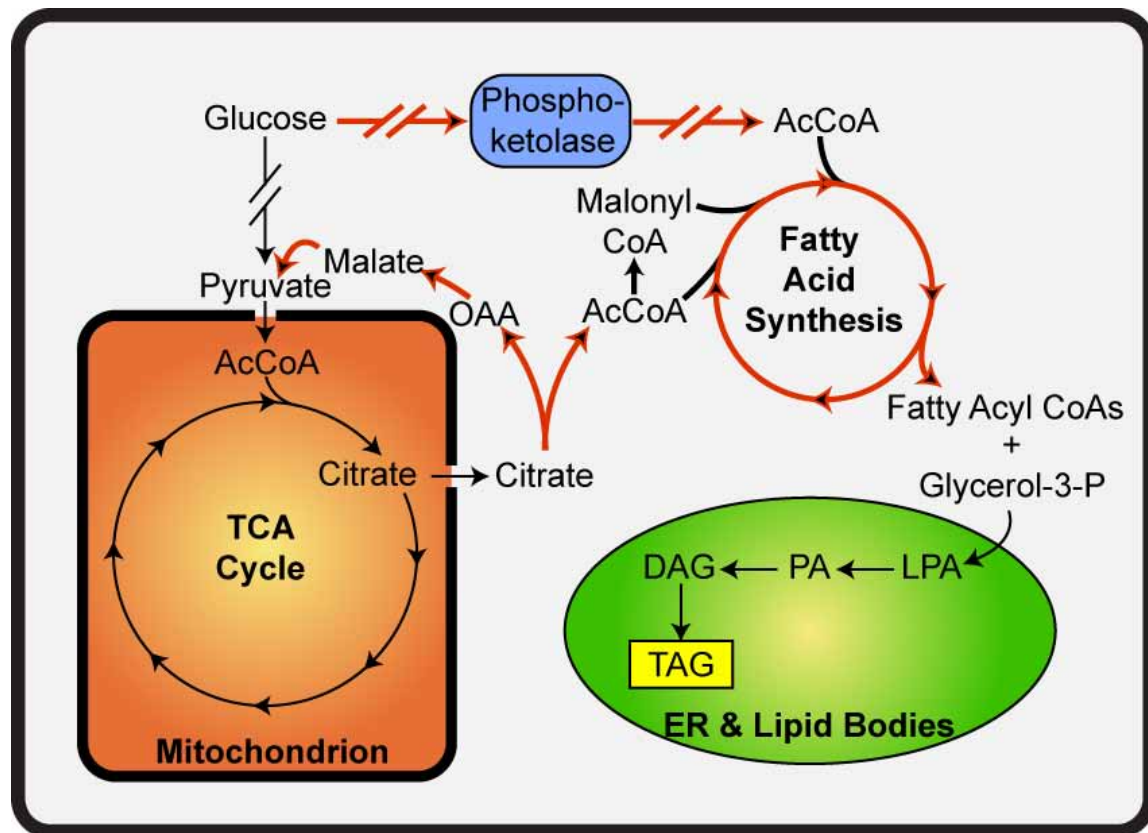
- Approach rapidly led to cerulenin-resistant mutants
- Testing these mutants for enhanced lipid production currently
- Will work with JGI to pinpoint genetic changes if positive hits are found (to make changes permanent)

# Engineering increased lipids

- Chose *L. starkeyi* as initial strain for engineering**
- Very high lipid productivities and titers
  - Strain NRRL Y-11557 genome sequenced (Tom Jeffries/JGI)
  - DNA Transformation established (Calvey *et al.* 2014)



Simplified metabolism for triacylglycerol production

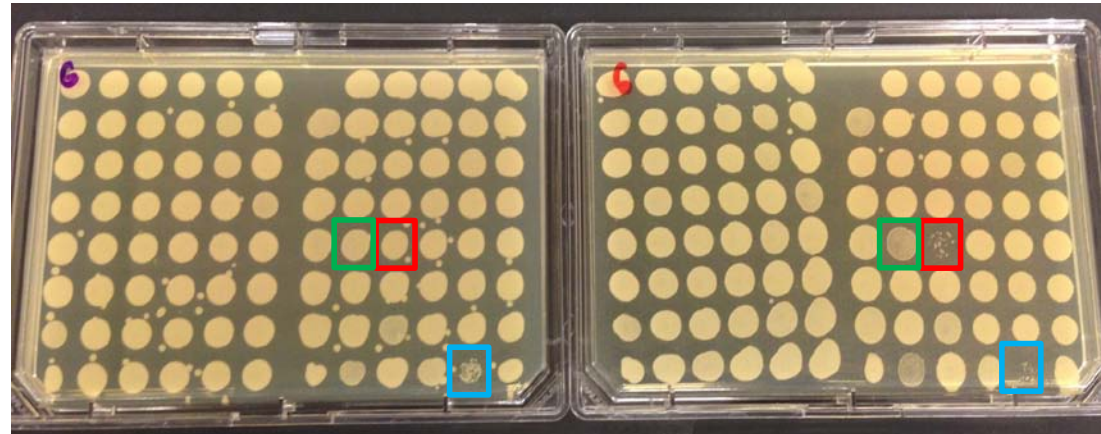


**RED** lines represent initial focal points for engineering

Overexpression of native biosynthetic genes and heterologous expression of a phosphoketolase to increase acetyl-CoA (AcCoA) pools

# Leveraging *S. cerevisiae* for rapid gene identification

Engineered  
Strains



Hyper-  
sensitive  
mutant

Slightly  
sensitive  
mutant

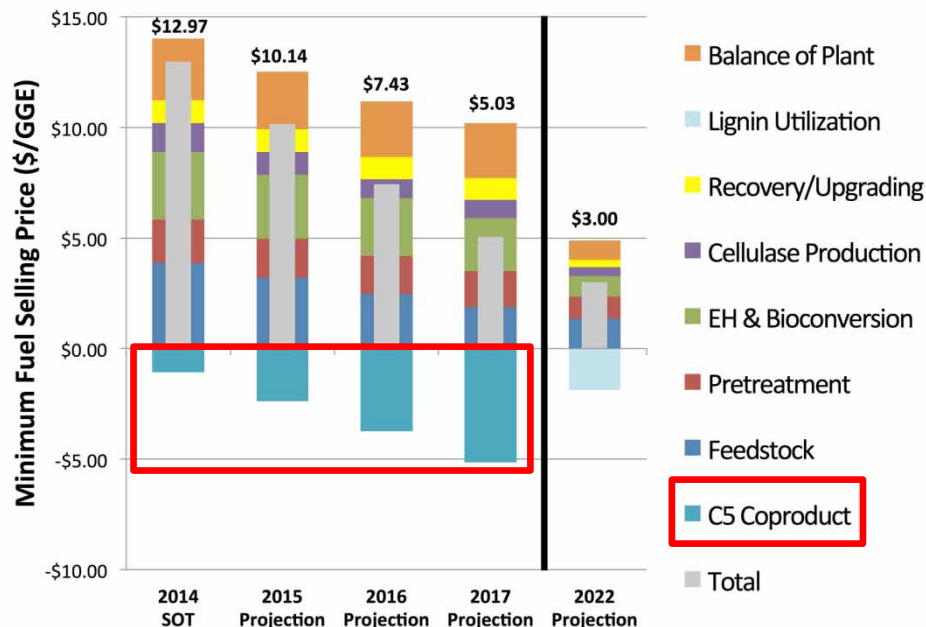
Intrinsically  
weak  
mutant

me

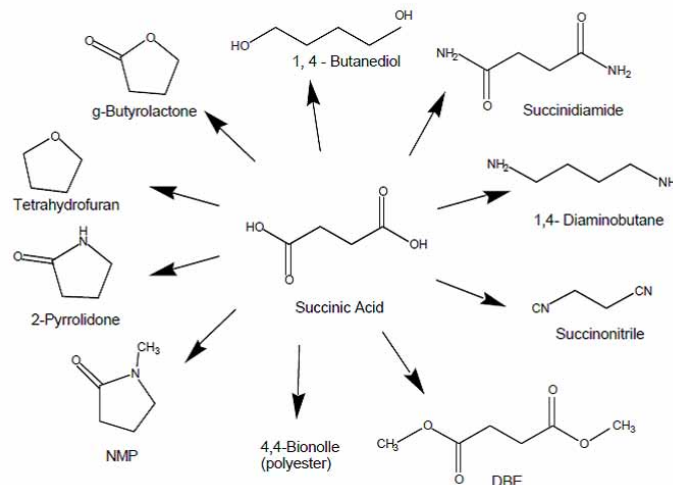
Hypersensitive mutants	77
Sensitive mutants	63
Intrinsically weak mutants	23

- Leverage single gene deletion and single gene overexpression collections developed in *S. cerevisiae*
- Developed, validated HTP method to screen for enhanced lipid production
- Currently screening ~5,000 single gene deletion strains and ~5,000 single gene overexpression strains to identify genes whose alteration increases lipid production
- Leverage these results to apply to more process-relevant but less genetically malleable strains, e.g., *L. starkeyi*

# Why a C5-derived co-product? Why succinic acid?



Significant industrial interest already in this molecule



Top-Ten Value Added Chemicals from Biomass, Vol. 1, 2004

Robust strains exist, enabling an aggressive timeline to an integrated 2017 demonstration

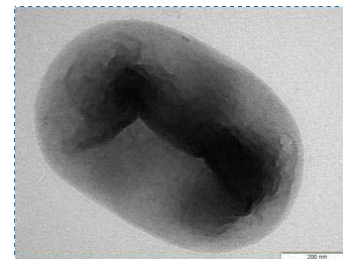


Image from BASF

**Direct and functional replacement** markets for SA

- Potential for 4 MM tons/year (Top Ten Report)
- Disseminated results will aid industrial transition from starch to lignocellulosic sugars
- Similar to track record with ethanol demonstration

**Acid functionality** common to products of interest

- Broadly applicable insights in **integrated process**

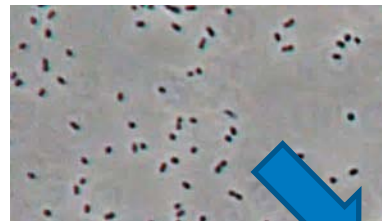
# Strain down-selection

Evaluate strains on C5-hydrolyzate

## Species examined from the literature

- *Anaerobiospirillum succiniciproducens*
- *Bacteroides fragilis*
- *Enterococcus faecalis* RKY1
- *Succinivibrio dextrinosolvens*
- *Fibrobacter succinogenes*
- *Mannheimia succiniciproducens*
- *Actinobacillus succinogenes*
- *Basfia succiniciproducens*

*A. succinogenes*



*B. succiniciproducens*



Image from BASF



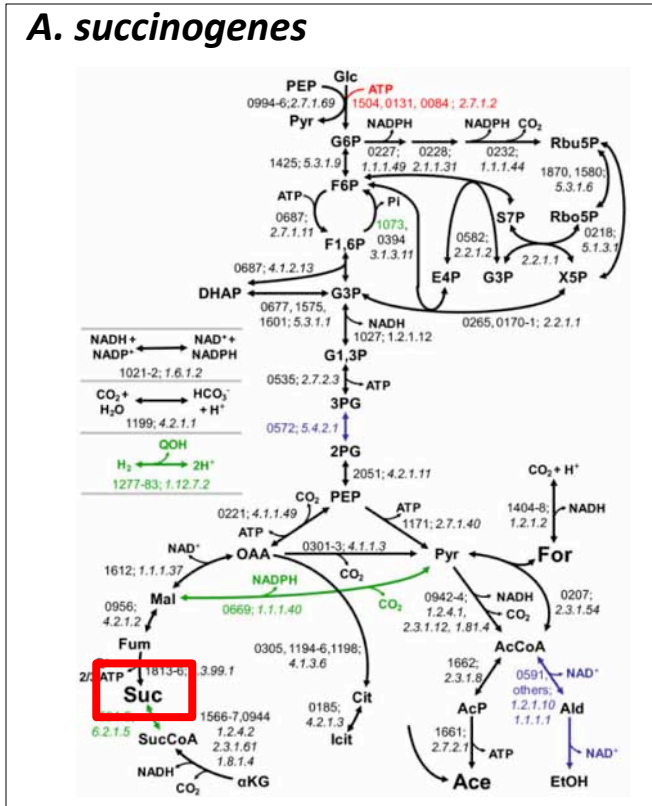
0.5 L fermentors

All in g/L	Lignin	Monomeric sugars					Acetic acid	HMF	Furfural
		Cellobiose	Glucose	Xylose	Galactose	Arabinose			
DCS-hydrolyzate	7.6	1.7	13.1	93.4	6.5	15.8	3.8	0.26	1.8

- Three strains were Biosafety Level 2 (in blue), two strains did not consume xylose (in red), and *M. succiniciproducens* is not publically available
- Rapidly down-selected to *B. succiniciproducens* and *A. succinogenes*
- Initially screening strains in batch reactors on C5-rich hydrolyzates

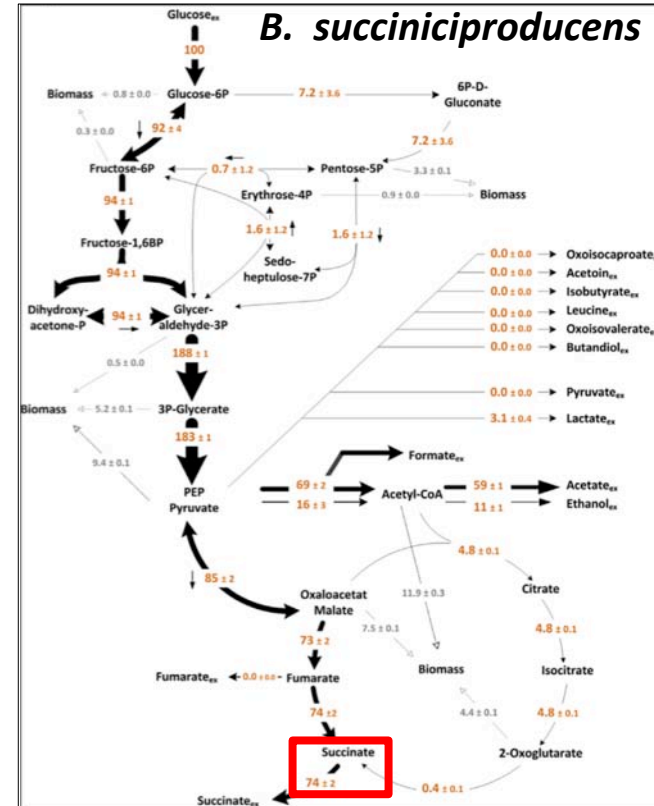
# Two leading strains for SA production

Evaluate strains on C5-hydrolyzate



McKinlay JB, et al. (2010) BMC Genomics

- Facultative anaerobe, CO<sub>2</sub> fixer
- Produces formate, acetate, ethanol
- Does not have oxidative TCA cycle branch
- Forms biofilm
- Extensive information



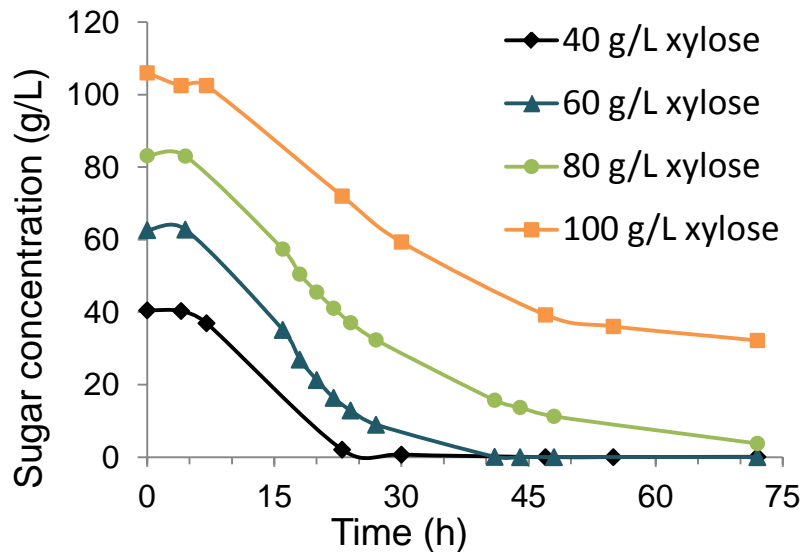
Becker, J. et al (2013) Biotechnol Bioeng.

- Facultative anaerobe, CO<sub>2</sub> fixer
- Produces formic, acetic, ethanol, lactate
- Produce SA via oxidative TCA cycle branch
- Does not form biofilm
- Limited information about this bacterium

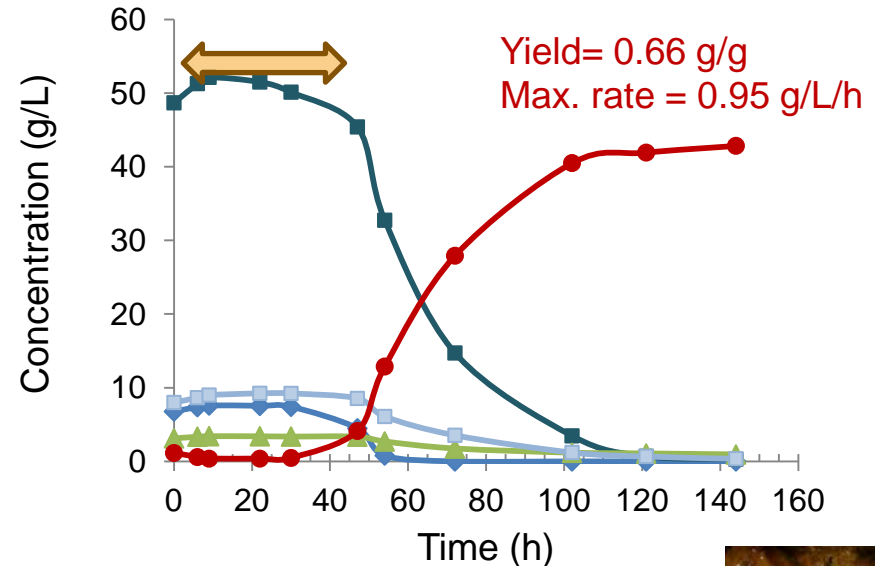
# Testing inhibition in *A. succinogenes*

Adapt/probe  
tolerance  
mechanisms

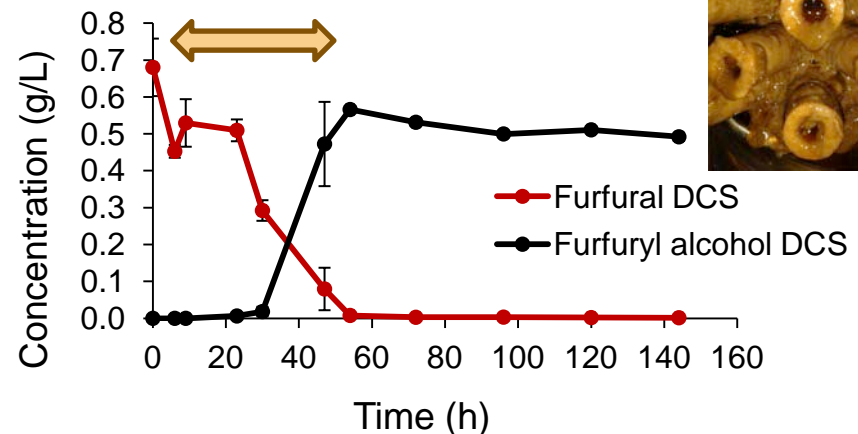
**Tolerates sugar concentrations up to 80 g/L**



**Reasonable yield and rate on C5-rich hydrolyzate with significant lag phase**



- Early work in BSI in FY14
- Furfural and HMF reduction correspond to lag
- Transferred to BSI Project for **continuous fermentation to obtain high yield and rate**
- Cleanup ongoing to overcome rate limitations
- Ongoing: **transcriptomics, proteomics, metabolomics, metabolic flux analysis**
- Similar work ongoing in *B. succiniciproducens*



# Metabolic Engineering for Improved SA Biosynthesis

Apply genetic tools for strain engineering

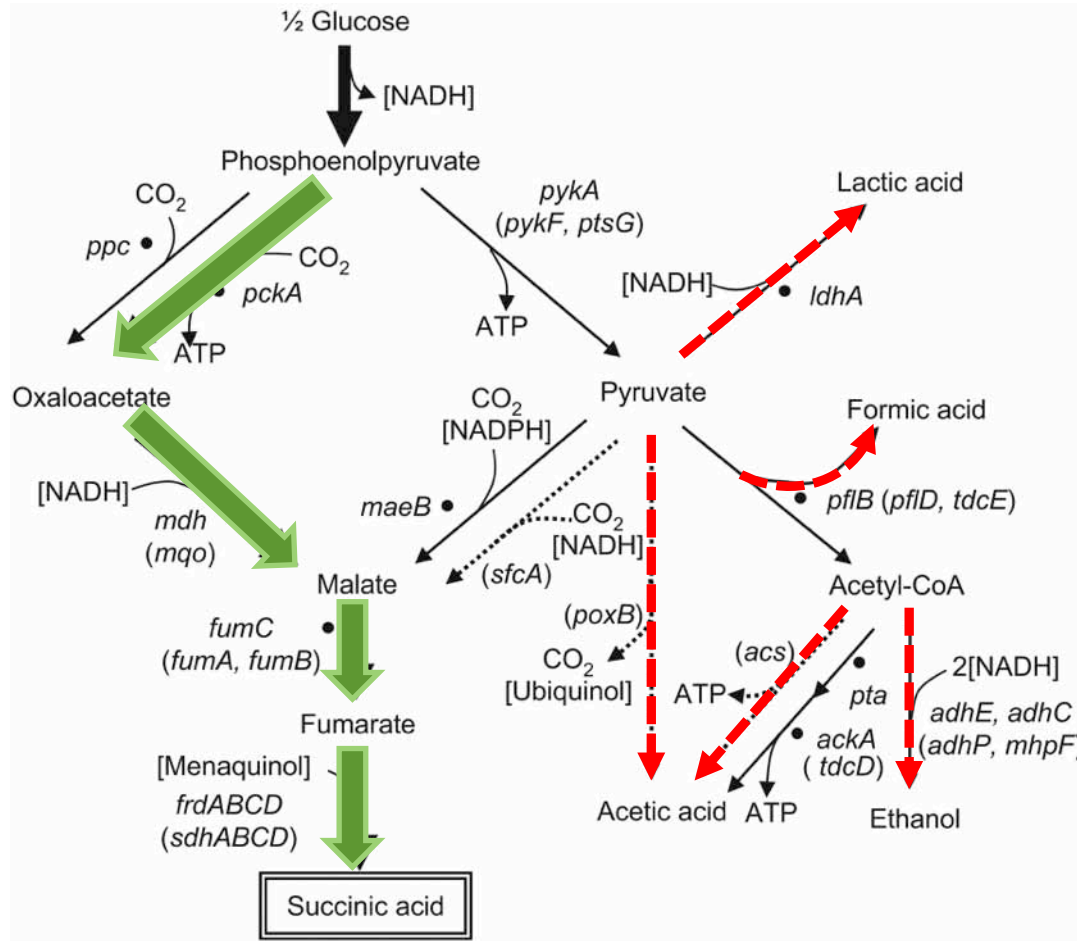


Image from S. Vaswani, 2010

**Genetic tools will enable two parallel approaches to enhance flux to succinate:**

- Overexpression of succinate biosynthetic components (*green arrows*)
- Down-regulation and/or knockout of competitive fermentation pathways: lactate, acetate, formate, and ethanol (*red arrows*)



# Relevance

**This project is essential for 2017 HC fuel cost targets of \$5/gge**

Key MYPP areas targeted by the Biological Upgrading of Sugars Project:

## Catalyst Efficiency

- Developing efficient bio-catalysts to produce advanced fuels and chemicals
- Improvement in titer, rate, yield key to economic viability

## Biochemical Conversion Process Integration

- Coupling process considerations with organism development
- Working with BSI task to iterate on fermentation needs and organism modifications/evolution

## Cleanup/Separation

- Elucidating inhibitor effects on biocatalysts and downstream processing

## Key Stakeholders and Impacts:

- **Industrial and academic research focused on carbohydrate utilization in both HC fuel production and co-product manufacturing including chemical and polymer precursors from biomass**
- Will enable demonstration of C5-rich stream to chemicals in a scalable manner
- Co-products impact the **“Whole Barrel of Oil”**
- **Portfolio of chemicals will diversify and accelerate development of the biomass value chain**
- Significant amounts of peer-reviewed science and IP will be generated from this work
- Methods to upgrade sugars to organics acids can be leveraged well beyond succinic acid

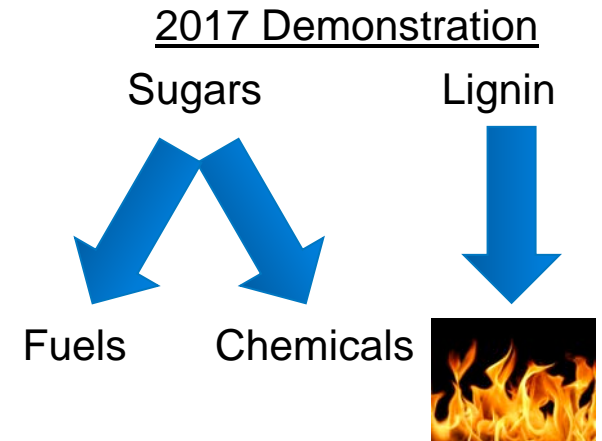
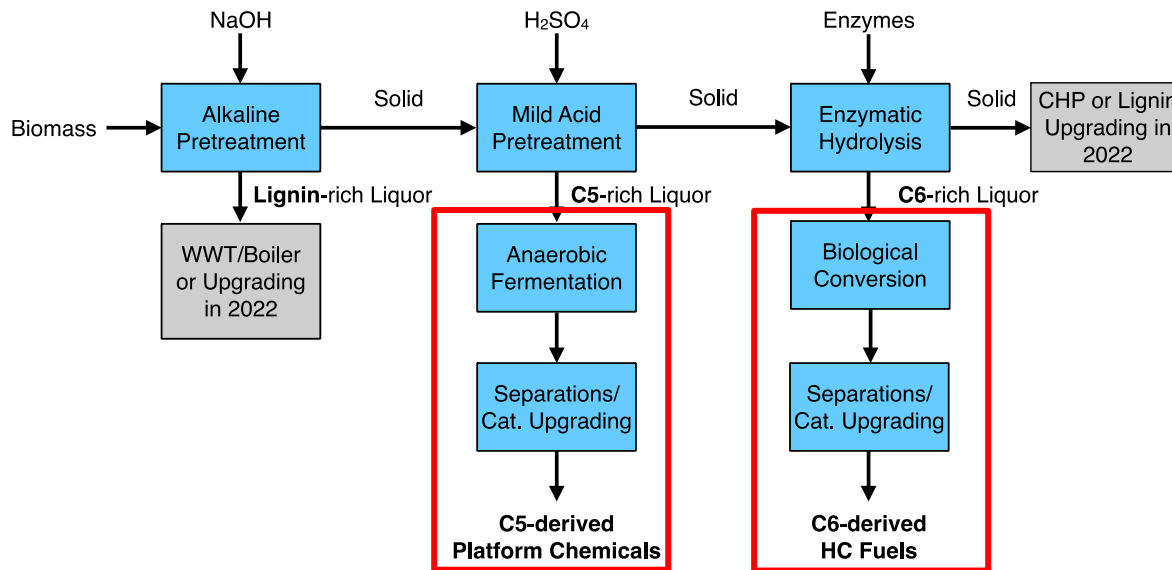
# Future Work

## Fatty Acid Production

- Define 2-3 strains by end of FY15 with BSI
- Target a “final” strain by end of FY16
- 0.4 g/L/hr rate, 60% lipid content, and a 0.27 g/g yield on C6-enriched sugars

## Succinic Acid Production

- Down-select strain by end of FY15 with BSI
- Target a “final” strain by end of FY16
- Target: 2 g/L/hr, 0.795 g/g yield on C5-enriched sugars



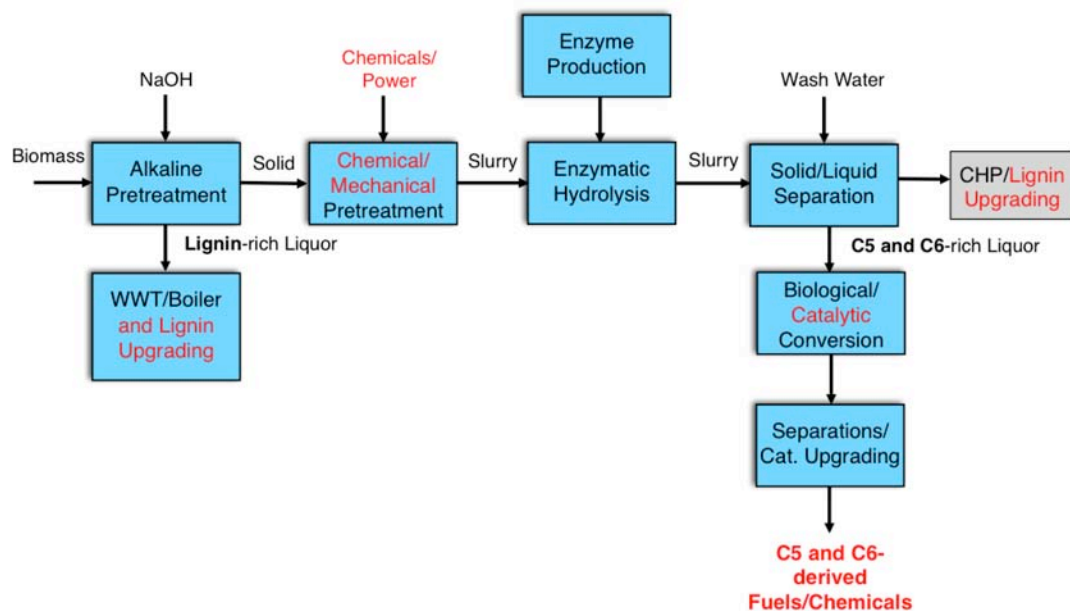
# Future Work

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## Succinic Acid Production

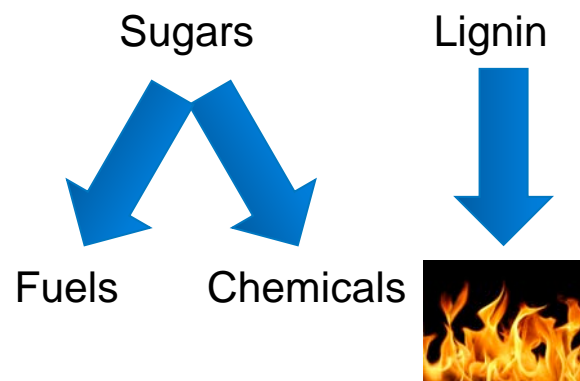
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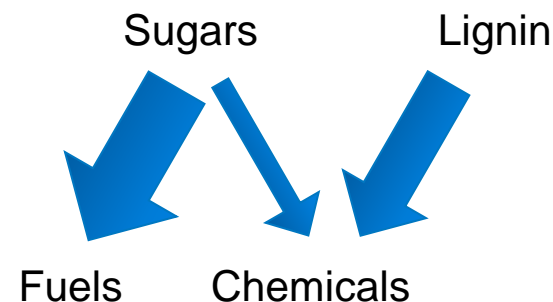
## Towards 2022 demonstration

- Emphasize step changes in lipid recovery through cell wall engineering and improved carbon flux
- Explore fuel precursors with higher C-efficiency pathways
- Divert more carbon to fuels through more efficient strains

## 2017 Demonstration



## Towards 2022



# Summary

## 1) Approach:

- Develop oleaginous yeast for lipid production for renewable diesel blends from C6-rich streams
- Develop example co-product train (succinic acid) from C5-rich streams from dilute-acid pretreatment

## 2) Technical accomplishments (4 months of work thus far)

- Screening large collection of oleaginous yeast in a self-consistent manner
- Demonstrated ability to rapidly evolve *L. starkeyi* strains towards higher lipid production
- Developed a HTP method for screening for gene candidates for lipid production in a model system
- Demonstrated **high yields** of succinic acid on process-relevant hydrolysate
- Identified multiple inhibitors that cause a lag phase in *A. succinogenes* growth and SA production
- Metabolic engineering in progress for both FA and SA industrial production hosts

## 3) Relevance

- Directly **impacts the 2017 and 2022 HC fuel cost target demonstrations** through strain development
- Addresses Whole Barrel of Oil Initiative and bolsters the biomass value chain

## 4) Critical success factors and challenges

- **Economic and sustainable** production of co-products, high yields of FAs and products needed

## 5) Future work:

- Continue all fronts towards down-selection of strains for 2017 demonstration, partial transition of efforts to 2022 targets in mid- to late-FY16

## 6) Technology transfer:

- Initiating contact with **industry** to build commercialization path for both fuel and co-product trains

# Acknowledgements

- Mary Bidy
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- Ali Mohagheghi
- Bill Michener
- Davinia Salvachua
- Holly Smith
- Thieny Trinh
- Min Zhang

U.S. DEPARTMENT OF  
**ENERGY**

Energy Efficiency &  
Renewable Energy

**BIOMASS PROGRAM**

## External collaborators

- Willie Nicol, University of Pretoria,
- School of Chemical Engineering Practice, MIT
- Kyria Boundy-Mills, UC Davis Phaff Yeast Culture Collection

# Acronyms

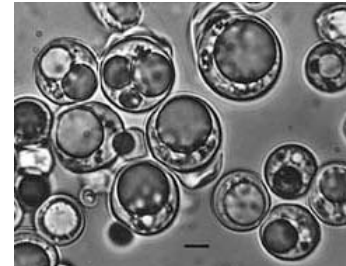
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- FA: Fatty Acid
- LCA: Life-Cycle Analysis
- NHEJ: Non Homologous End Joining
- SA: Succinic Acid
- TEA: Techno-Economic Analysis

# *L. starkeyi*: Initial strain for engineering

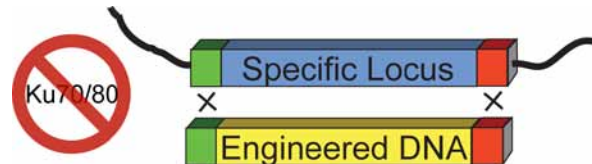
## Strain Highlights :

- Very high lipid productivities and titers
- Strain NRRL Y-11557 genome sequenced (Tom Jeffries/JGI)
- DNA Transformation established (Calvey et al. 2014)

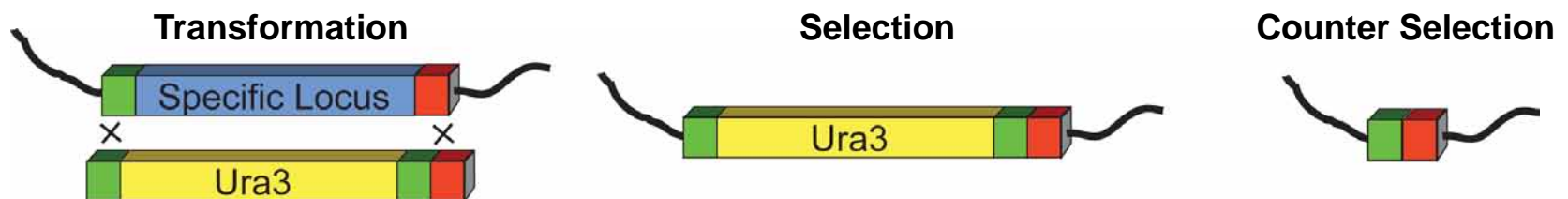


## Initial Genetic Tool Development goals:

- **Increasing the genetic engineer-ability:** Disruption of *Ku70/80* genes should increase the efficiency of gene targeting by eliminating NHEJ for DNA repair
- Currently screening hundreds of transformants to identify *ku70* deletion mutants



**Reusable selectable/counter-selectable marker:** Generated random mutant *ura3* auxotrophic strains and are screening transformants for “clean” deletions to enable marker recycling:



# Genetic Tool Development in *A. succinogenes*

Develop/improve genetic tools

## Replicative plasmid (pLGZ920) obtained for facile gene expression

- Complete plasmid re-sequenced to facilitate construct design

## Efficient electroporation transformation method developed

- $9 \times 10^4$  cfu/ $\mu$ g plasmid
- Sufficient for plasmid transformation and good starting point for linear DNA transformation (for gene knockout)

## Similar tools in place for *B. succiniciproducens*

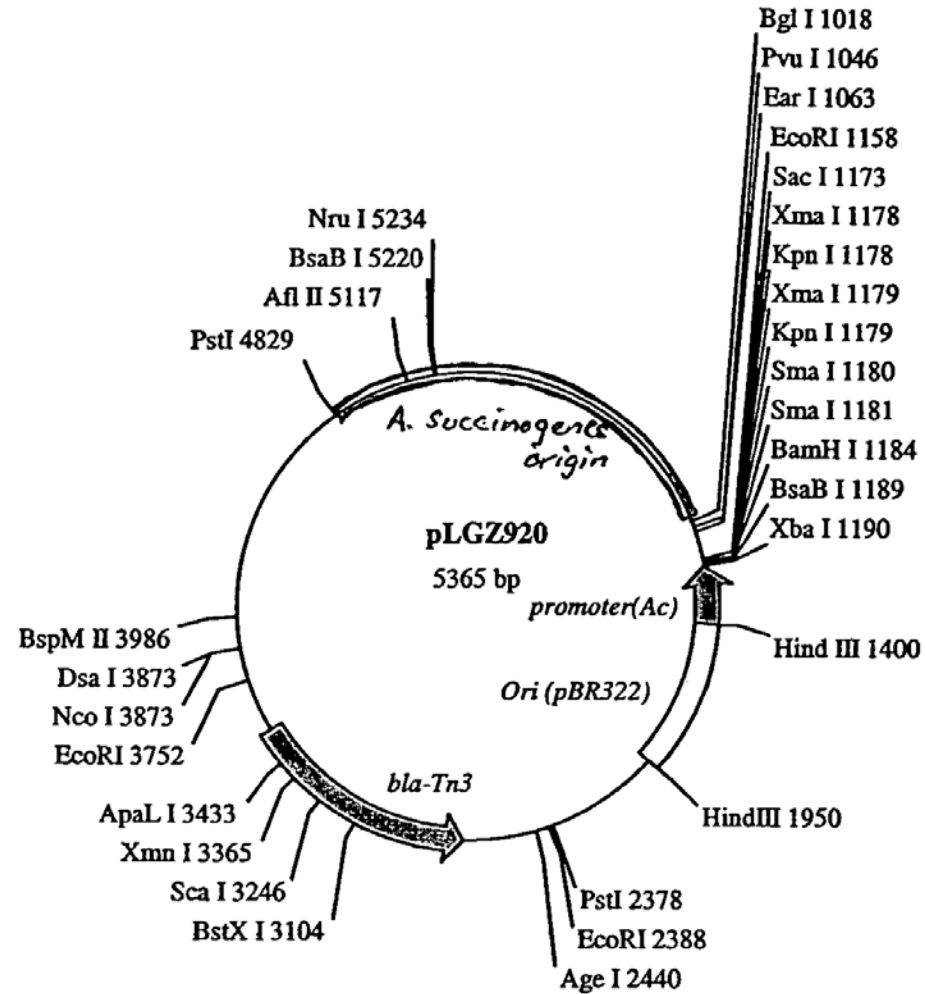


Image from JG Zeikus *et al.*



# A. *succinogenes* -omic Analyses

Develop/improve genetic tools

## Identify promoters across an array of expression levels

- Facilitate fined-tuned expression of strain-engineering targets

## Comparative analyses between solution state and biofilm (production) state

- Identify novel targets for induction of biofilm formation and temporal regulation of succinate biosynthesis

