



Department of Energy

Washington, DC 20585

August 5, 2014

Dr. Thomas Mason
Laboratory Director
UT-Battelle
1201 Oak Ridge Turnpike
Suite 100
Oak Ridge, Tennessee 37830

Dear Dr. Mason:

This letter serves as notification of the Office of Independent Enterprise Assessments' Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with potential deficiencies in UT-Battelle's (Battelle) implementation of the Department of Energy's (DOE) Part 851 requirements for assessment and control of material handling hazards that were direct contributors to recent worker injuries.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. § 851.40(a), *Investigations and inspections*. The investigation may include a site visit (date to be determined) and interviews of contractor personnel. A request for documents to support the investigation will be sent separately via email.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding are subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, any costs incurred by Battelle that are directly attributable to supporting DOE's investigation, subsequent to receipt of this letter, should be tracked and segregated from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-7707, or your staff may contact Mr. Kevin Dressman, Director, Office of Worker Safety and Health Enforcement, at (301) 903-0100.

Sincerely,

A handwritten signature in black ink that reads "Steven C. Simonson".

Steven C. Simonson

Director

Office of Enforcement

Office Independent Enterprise Assessments

cc: Johnny Moore, DOE-SC OSO
Debbie Jenkins, UT-Battelle

