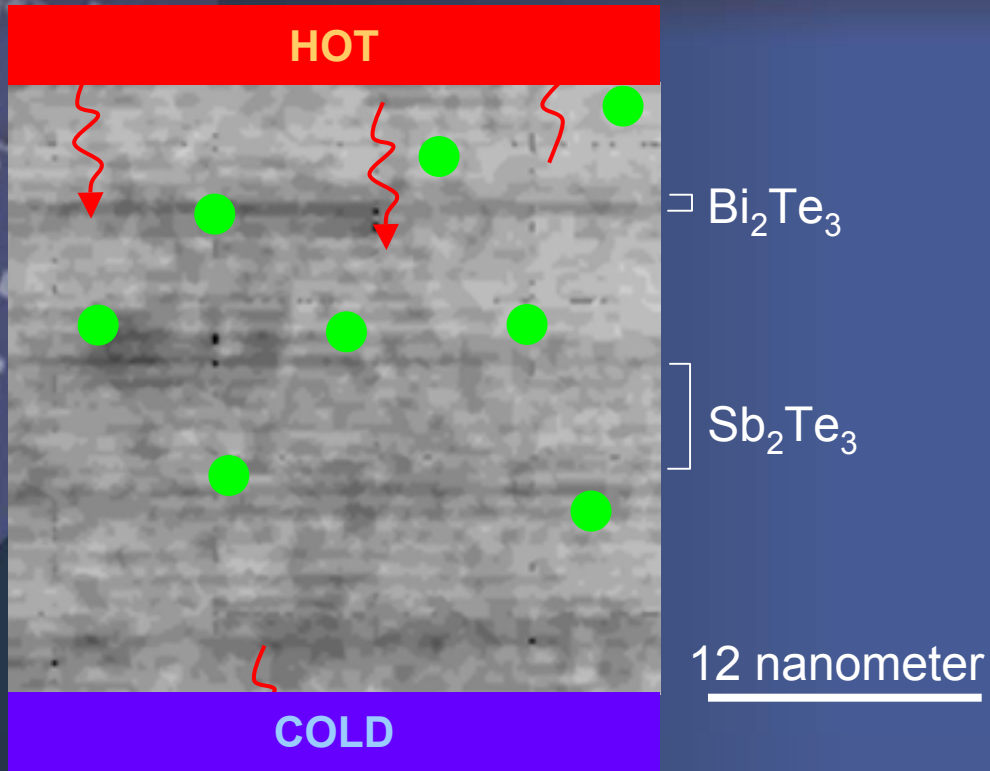
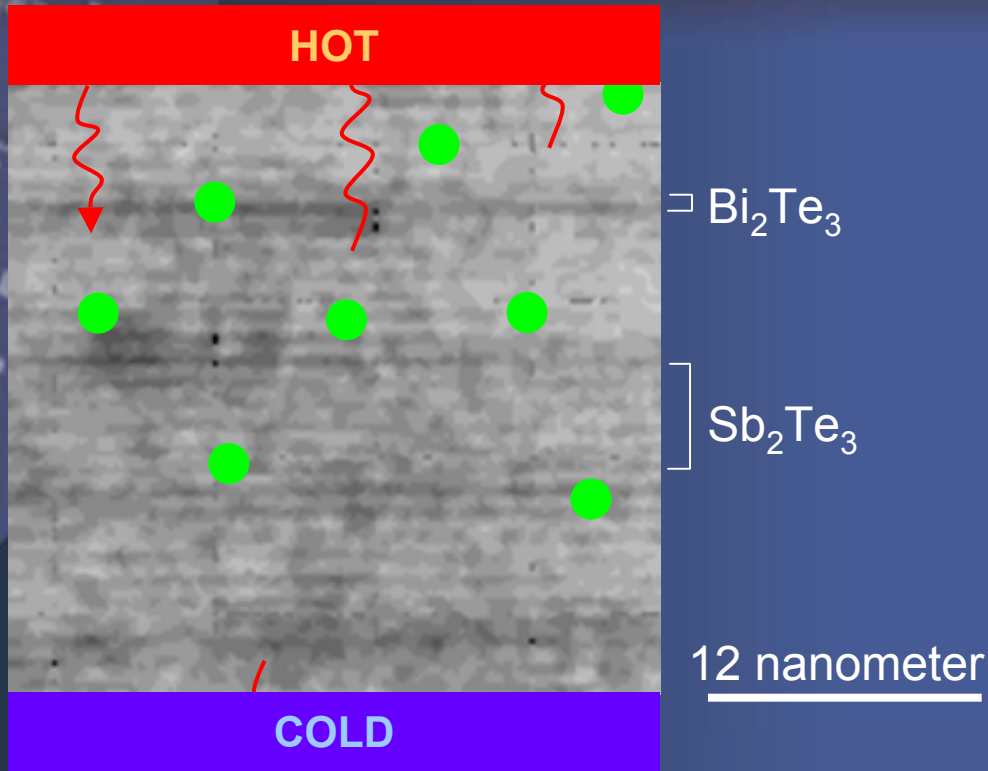


RTI's Superlattice Material



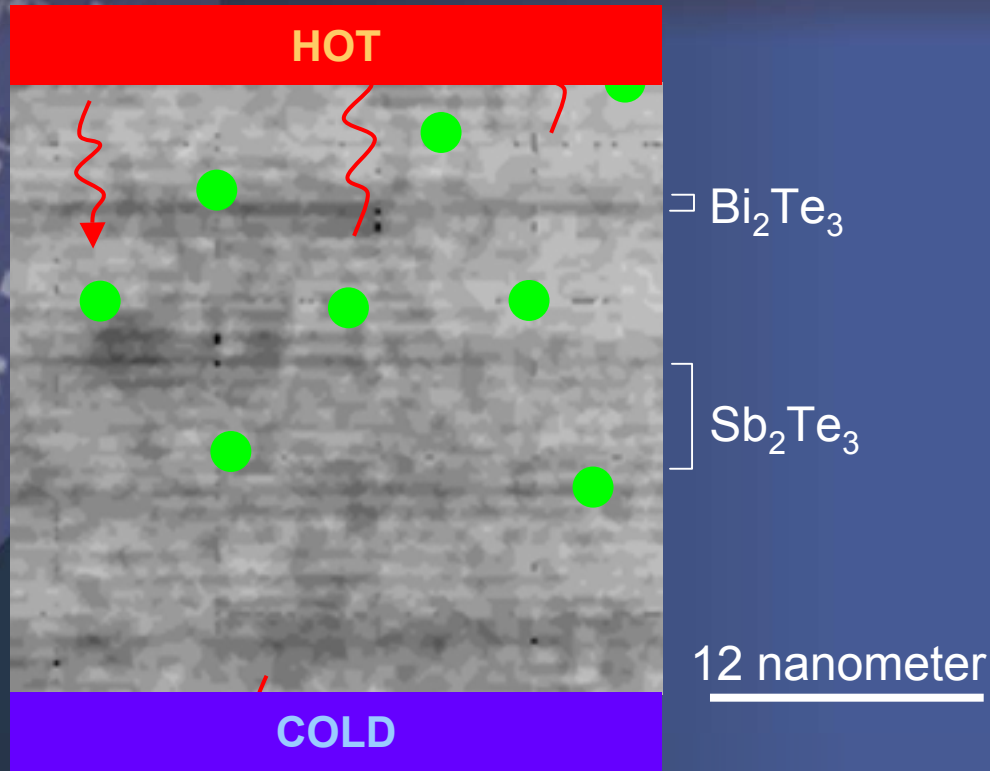
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



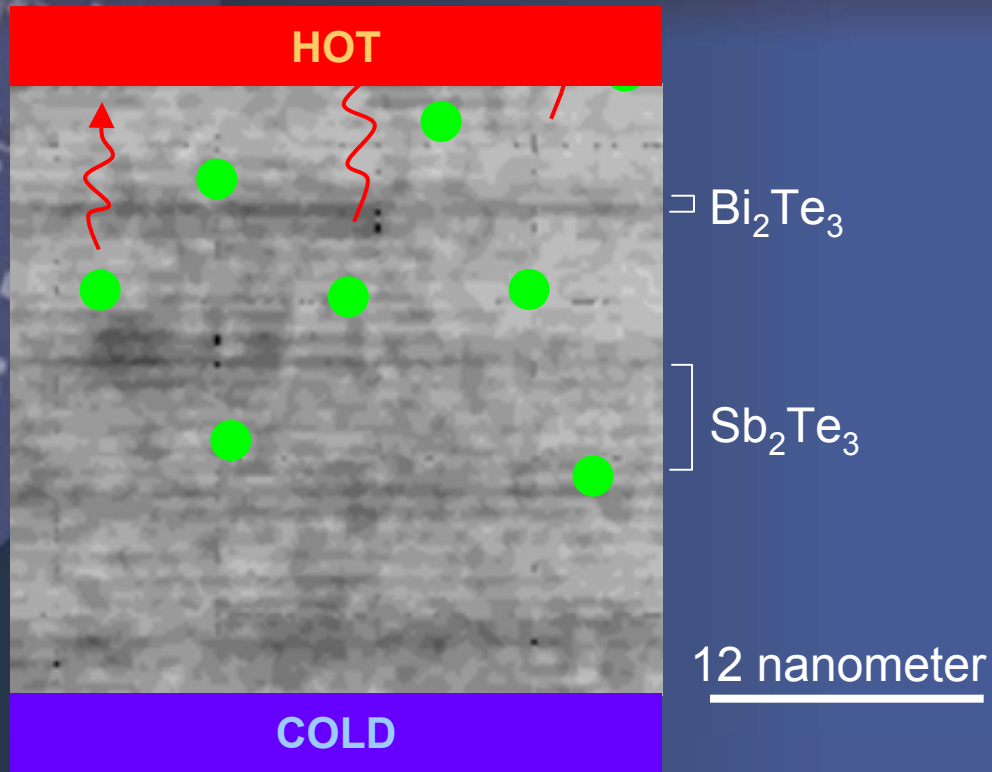
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RTI's Superlattice Material



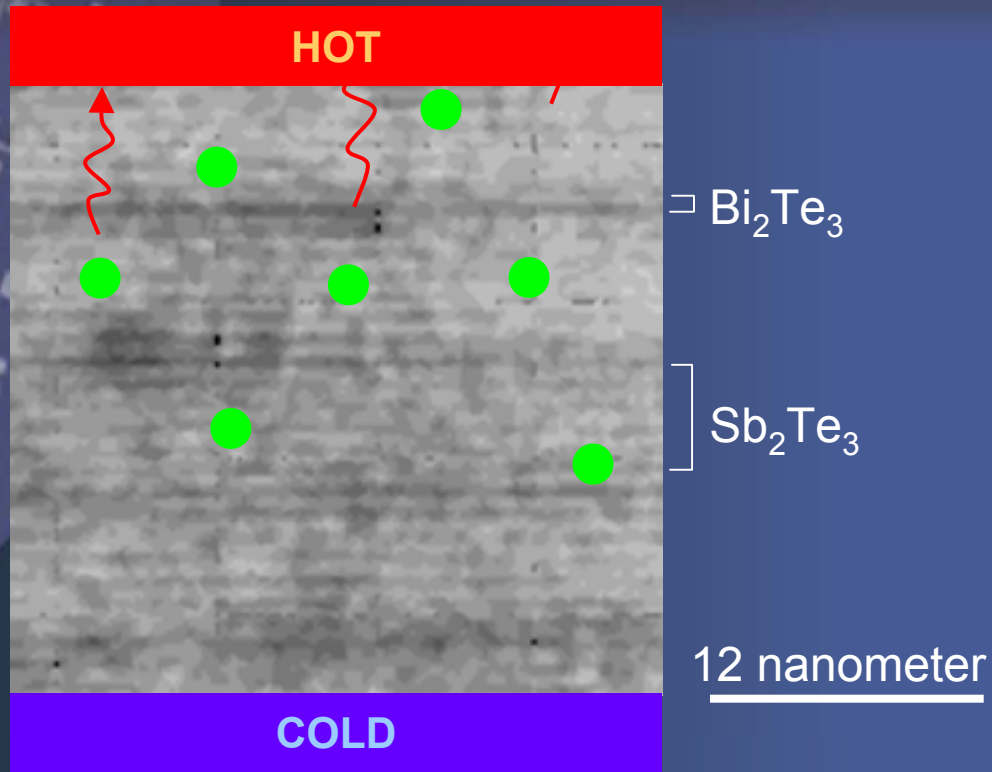
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RTI's Superlattice Material



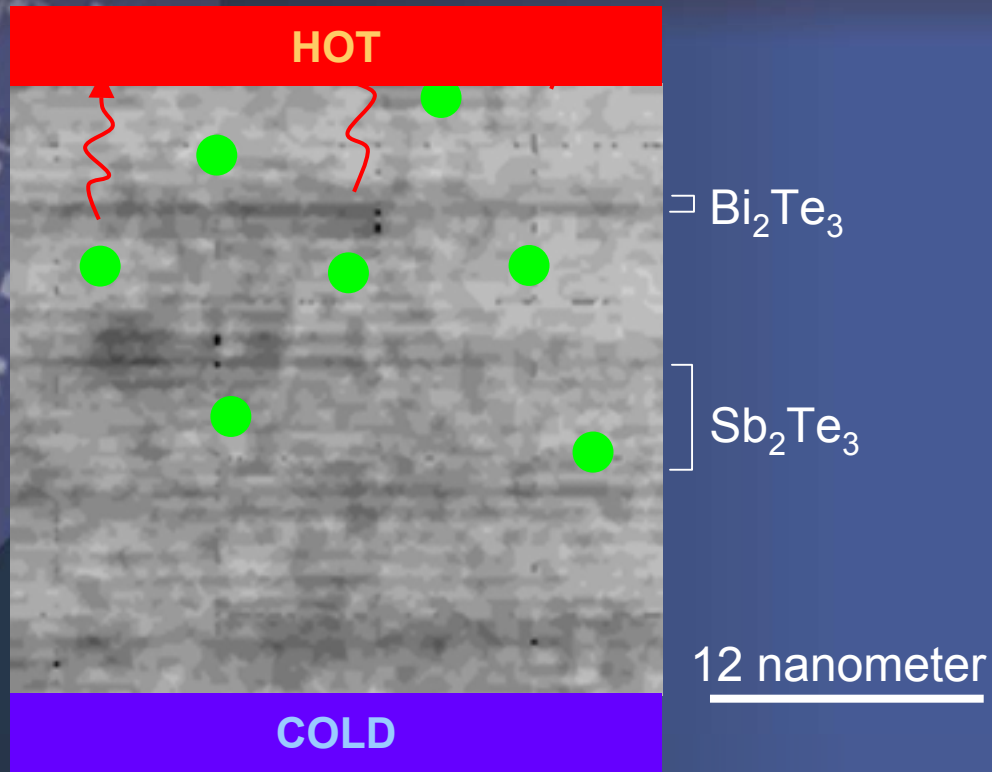
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



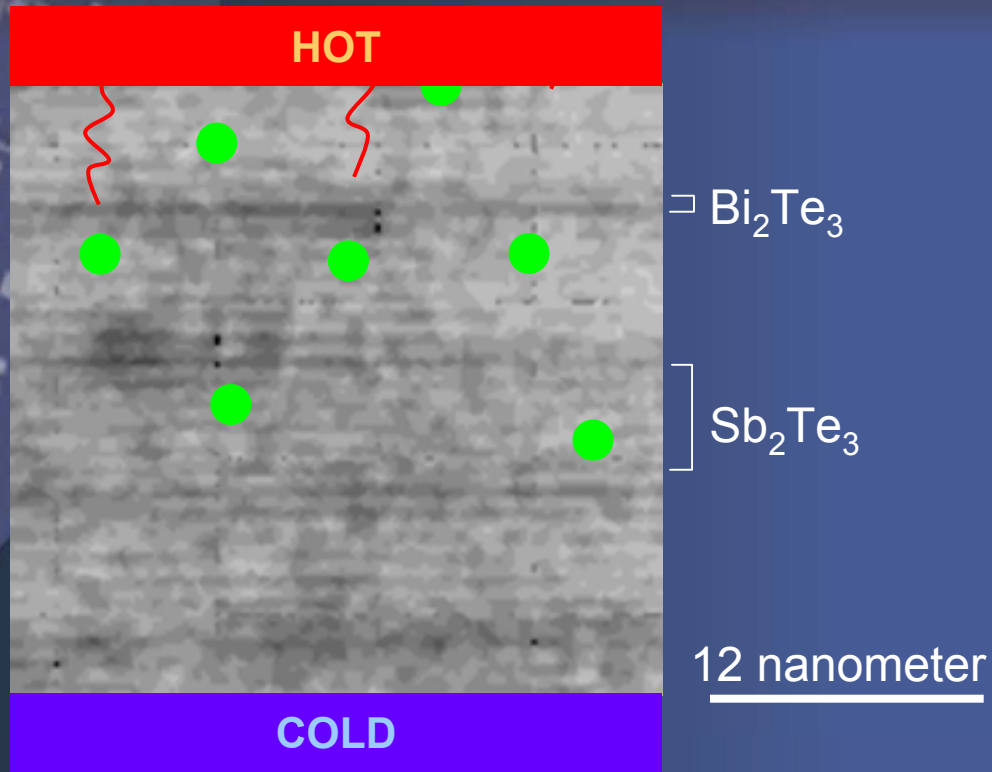
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RTI's Superlattice Material



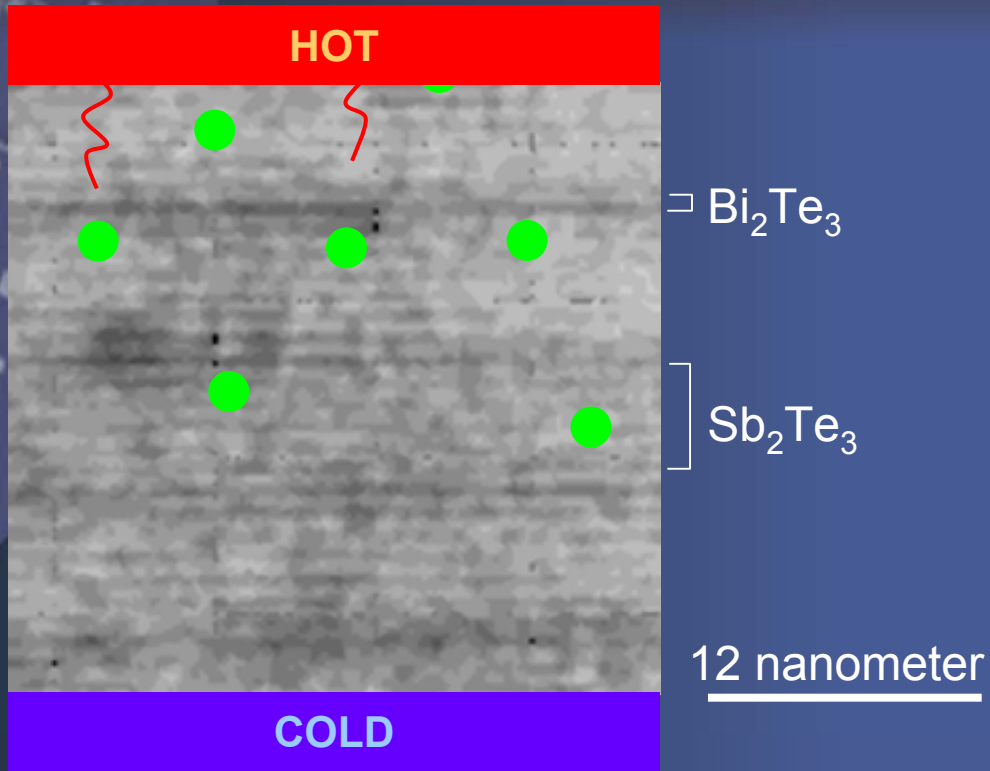
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



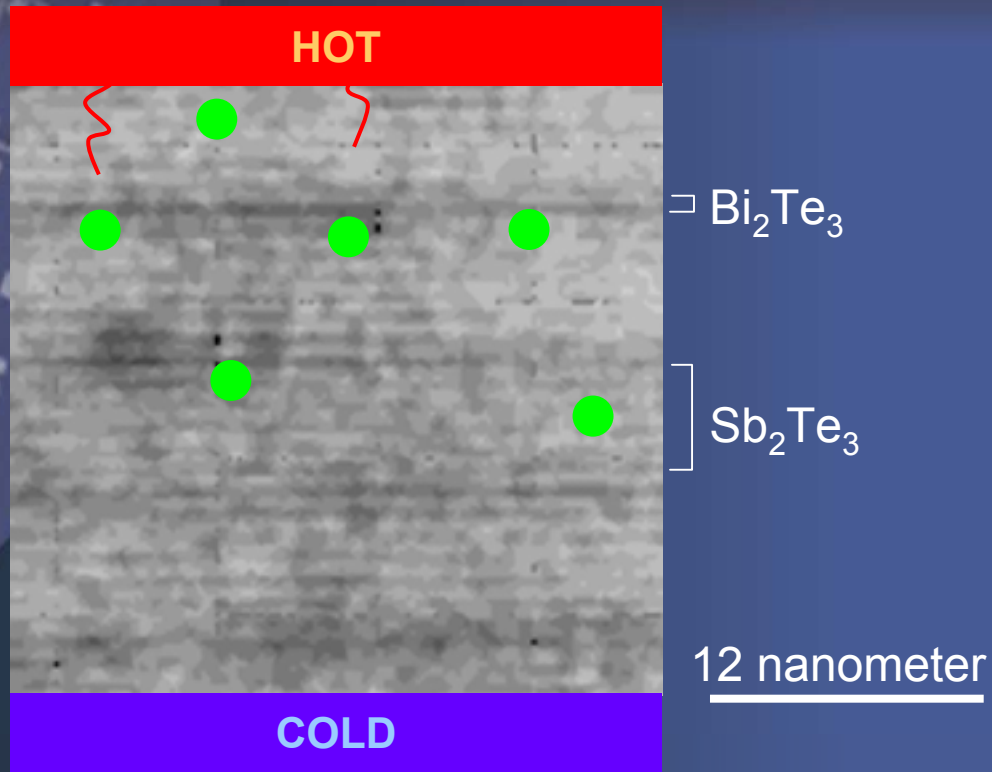
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RTI's Superlattice Material



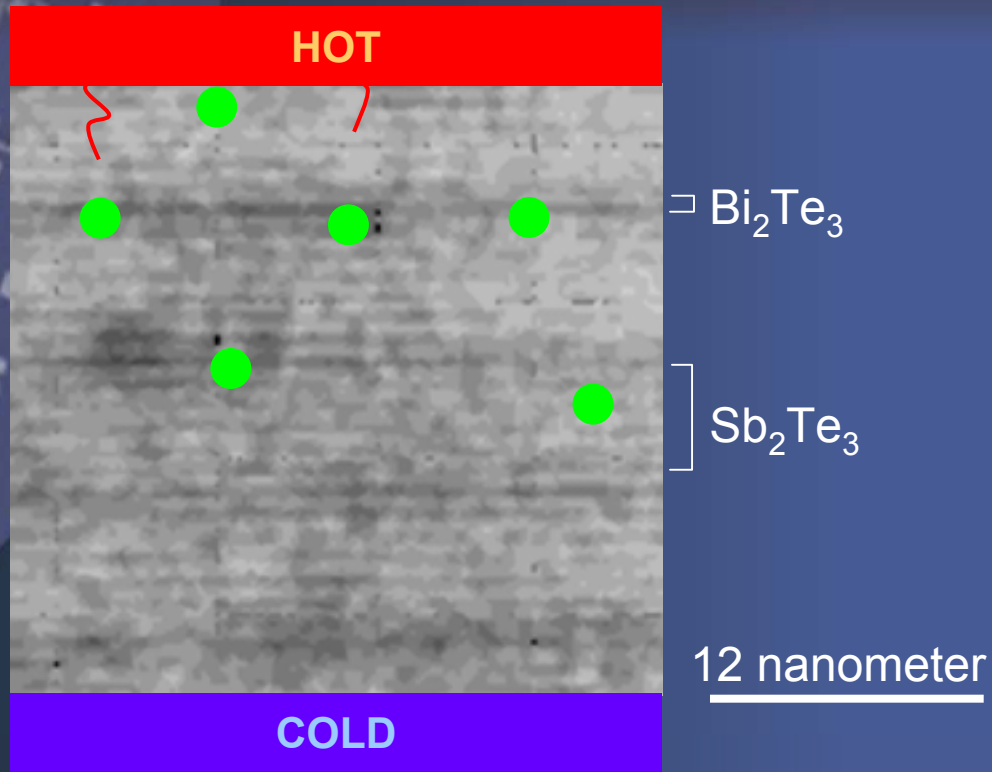
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



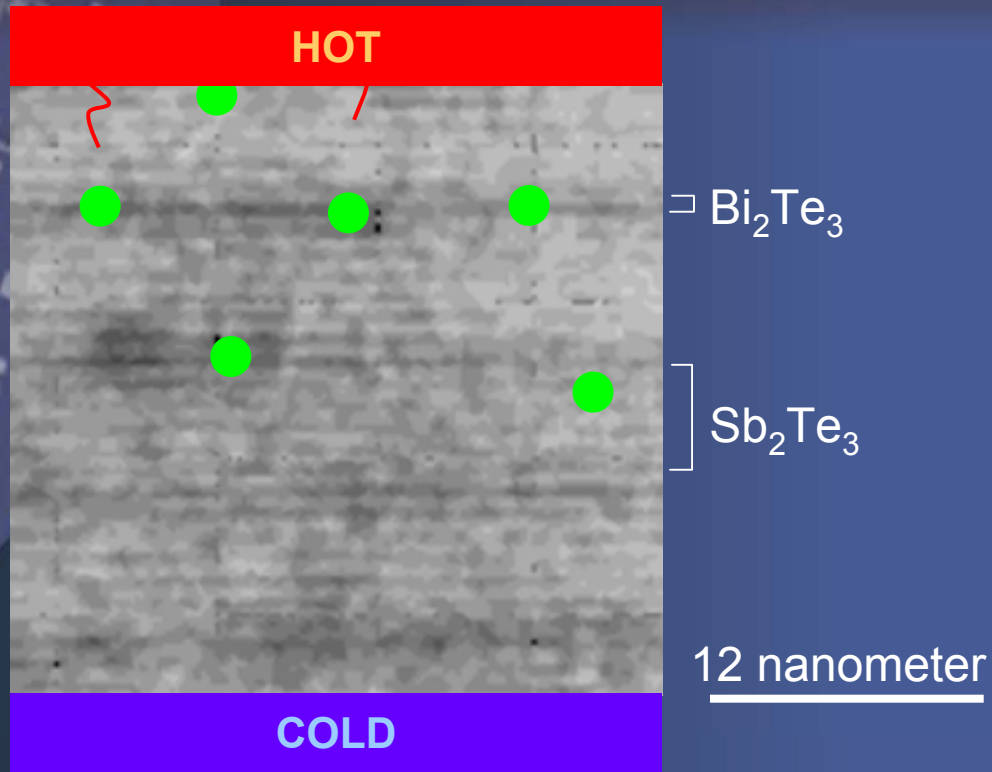
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



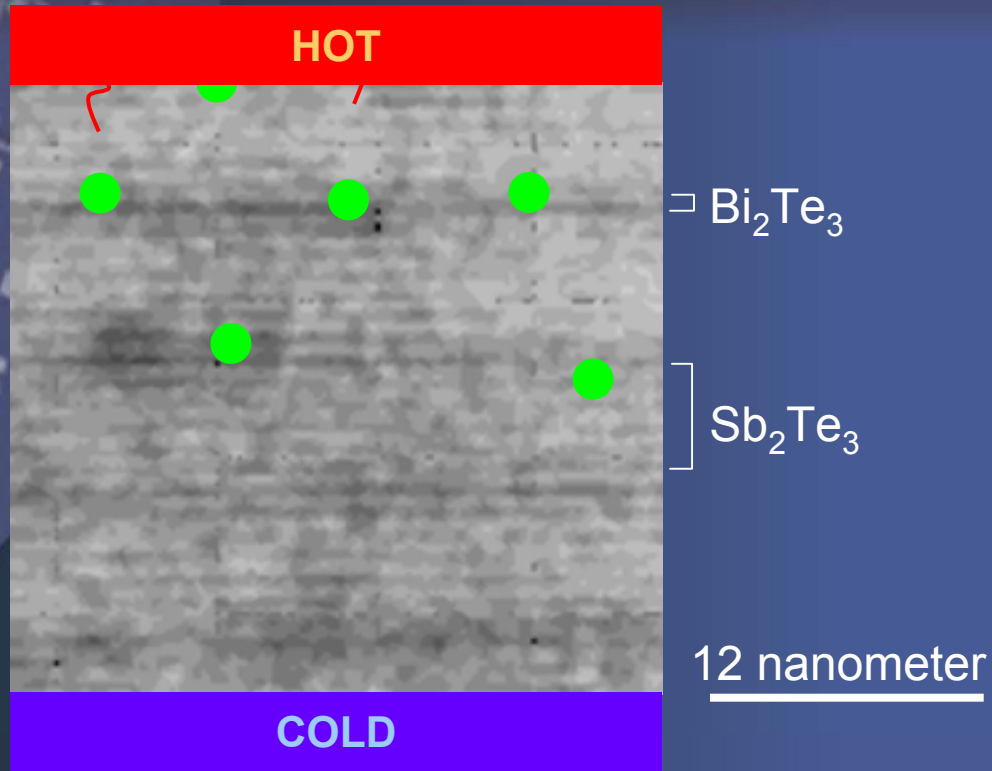
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RTI's Superlattice Material



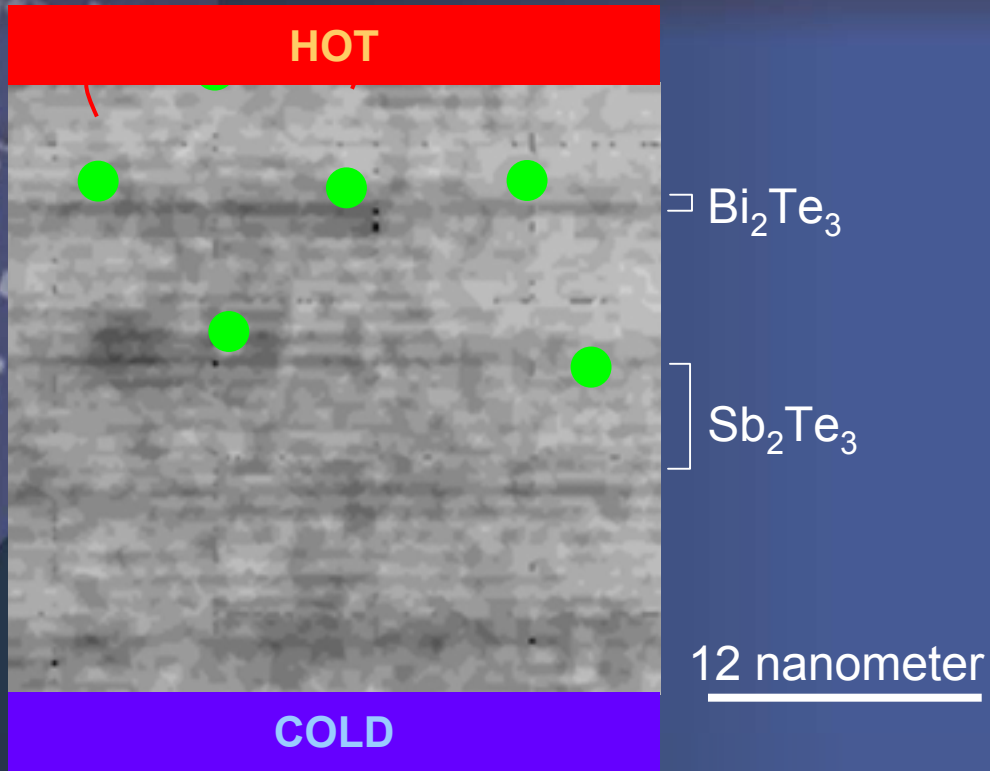
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



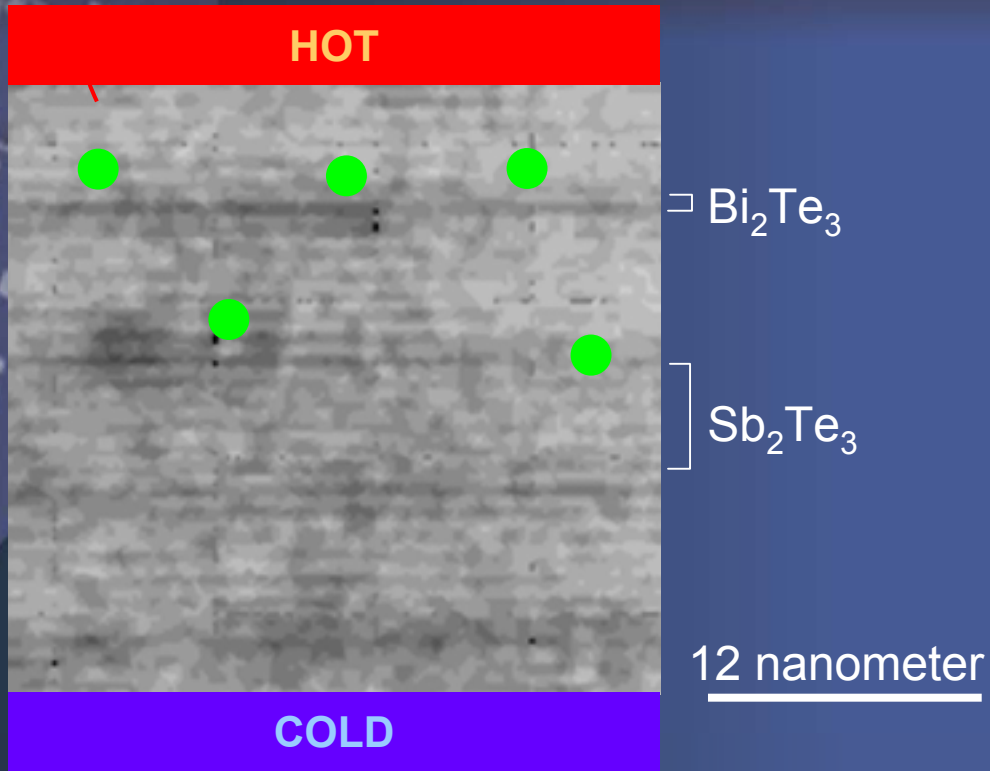
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



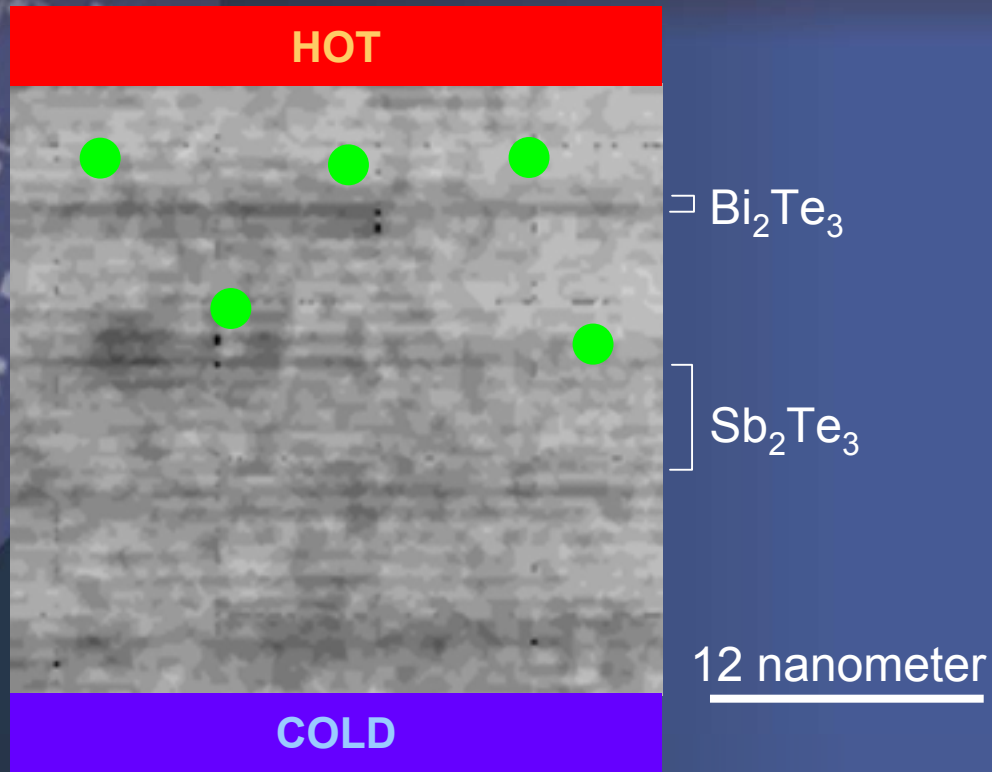
The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

RTI's Superlattice Material



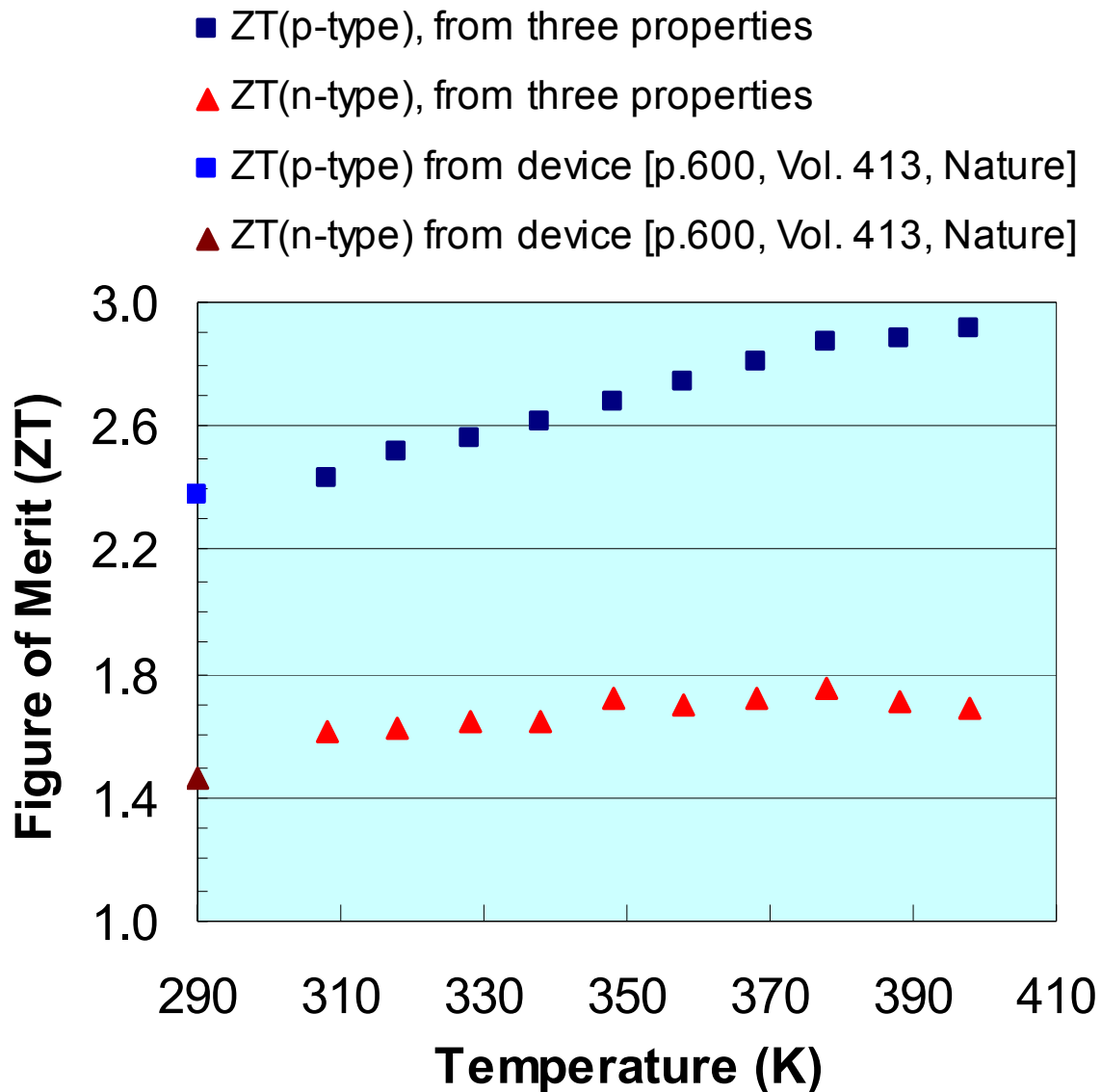
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RTI's Superlattice Material

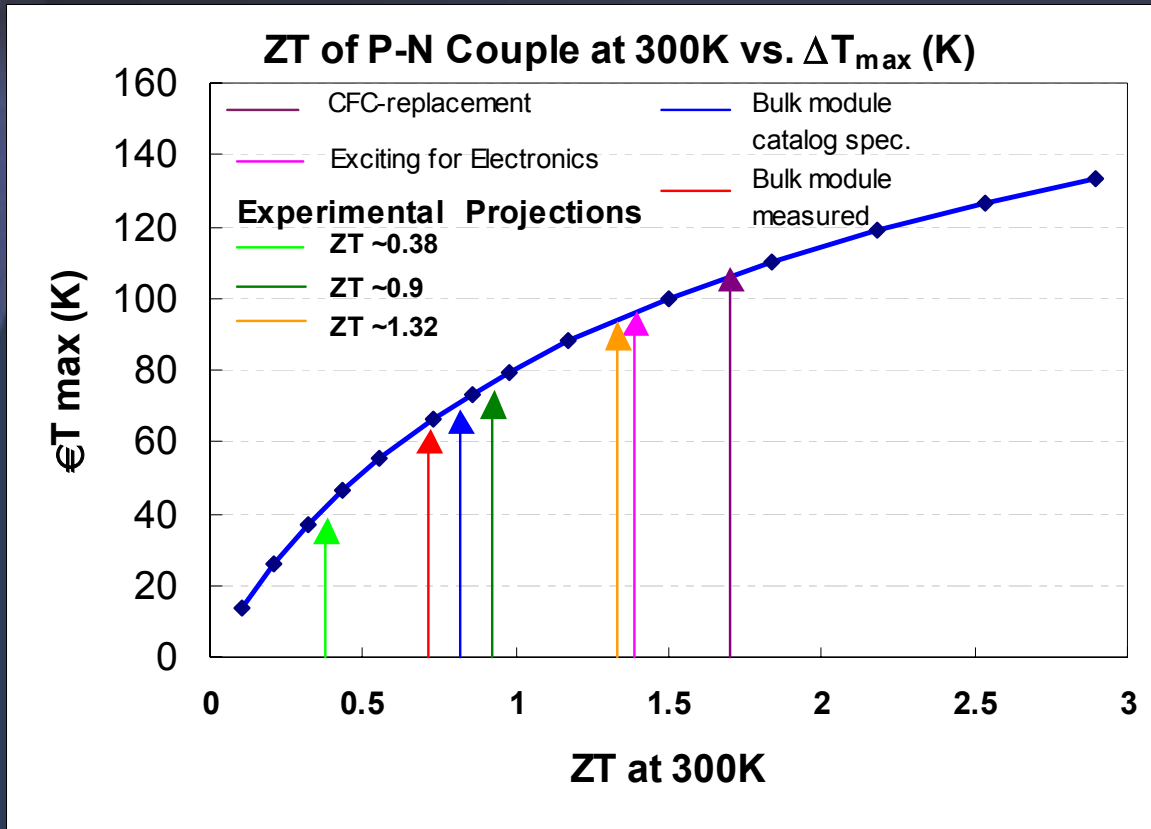


The RTI breakthrough arises from reducing heat transmission without disrupting electron flow

Best Intrinsic ZT Values in Bi_2Te_3 -based P-type and N-type Superlattices for Power Conversion (300K- 420K)



Correlation Between ZT and ΔT_{\max}



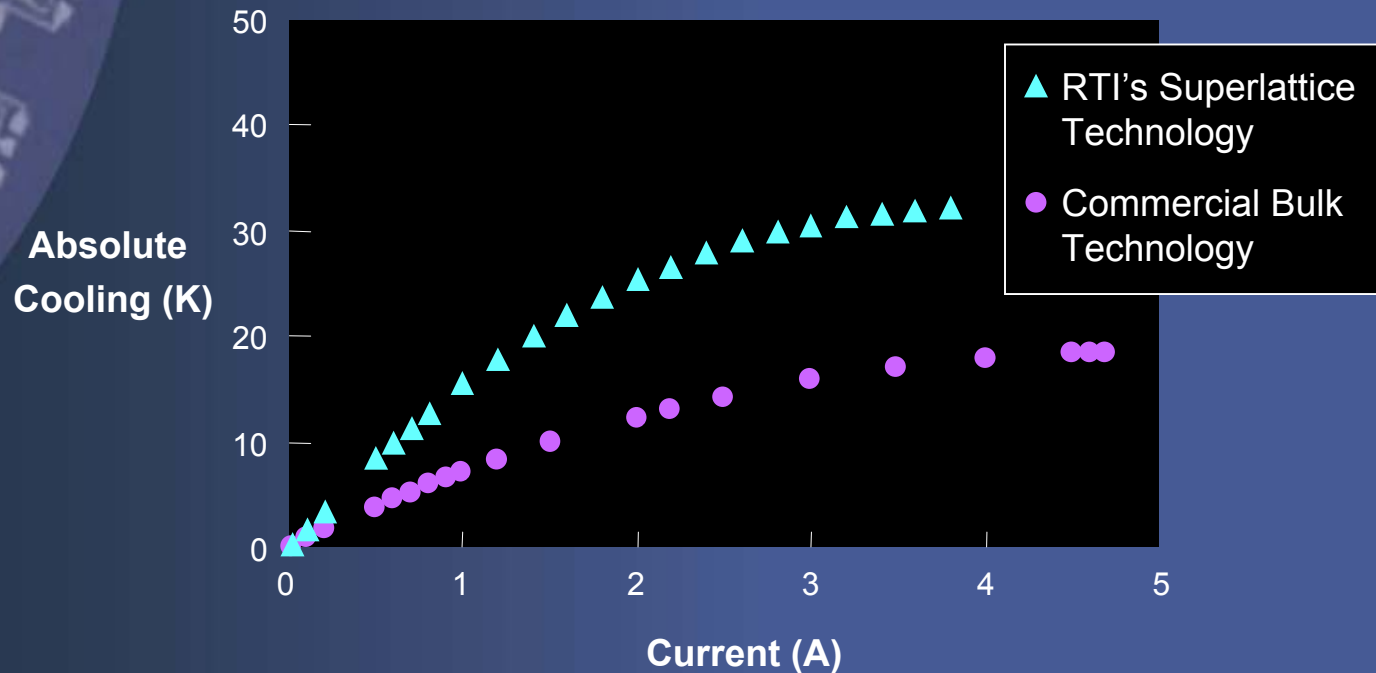
- Current focus of program to translate ZT of material closer to system-level external cooling or efficiency



Advantages and Thin-film Cooling Examples

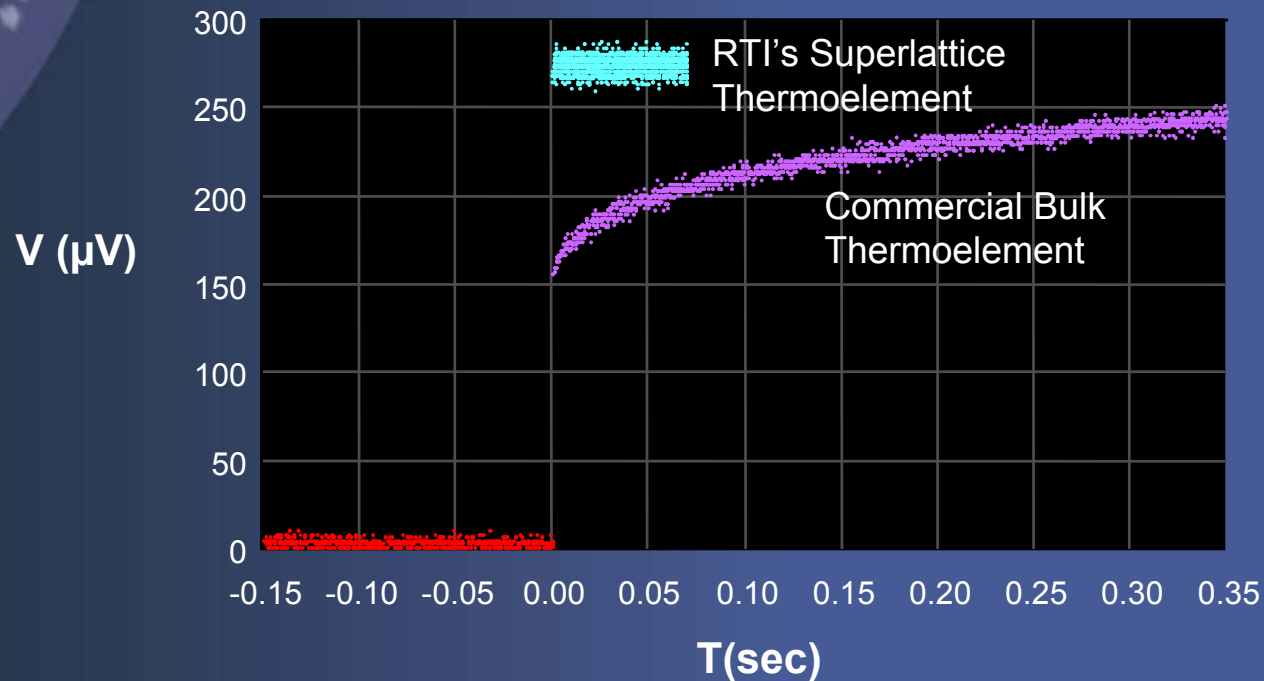
Advantages of RTI's Superlattice Thermoelectric Technology

- Enhanced cooling



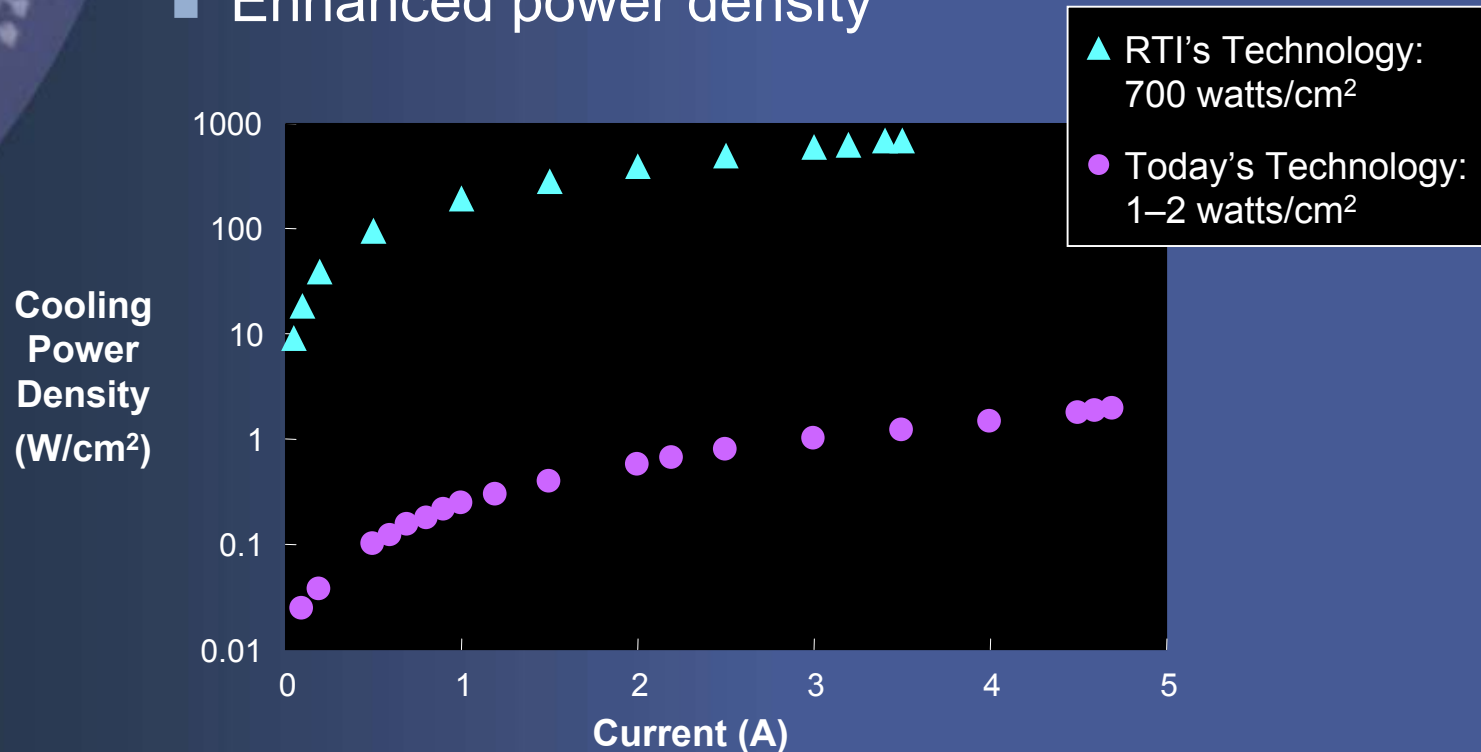
Advantages of RTI's Superlattice Thermoelectric Technology

- Enhanced cooling
- Super-fast cooling and heating



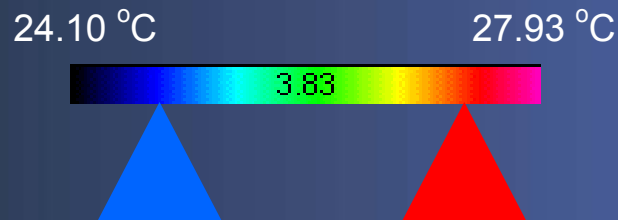
Advantages of RTI's Superlattice Thermoelectric Technology

- Enhanced cooling
- Super-fast cooling and heating
- Enhanced power density

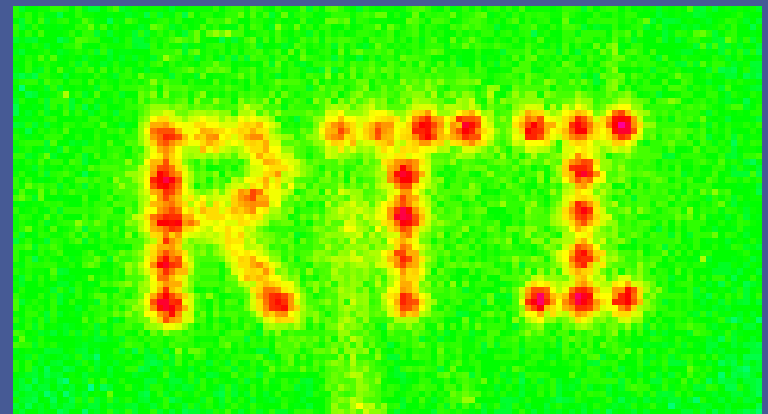


Advantages of RTI's Superlattice Thermoelectric Technology

- Enhanced cooling
- Super-fast cooling and heating
- Enhanced power density
- Anywhere, any time cooling/ heating technology

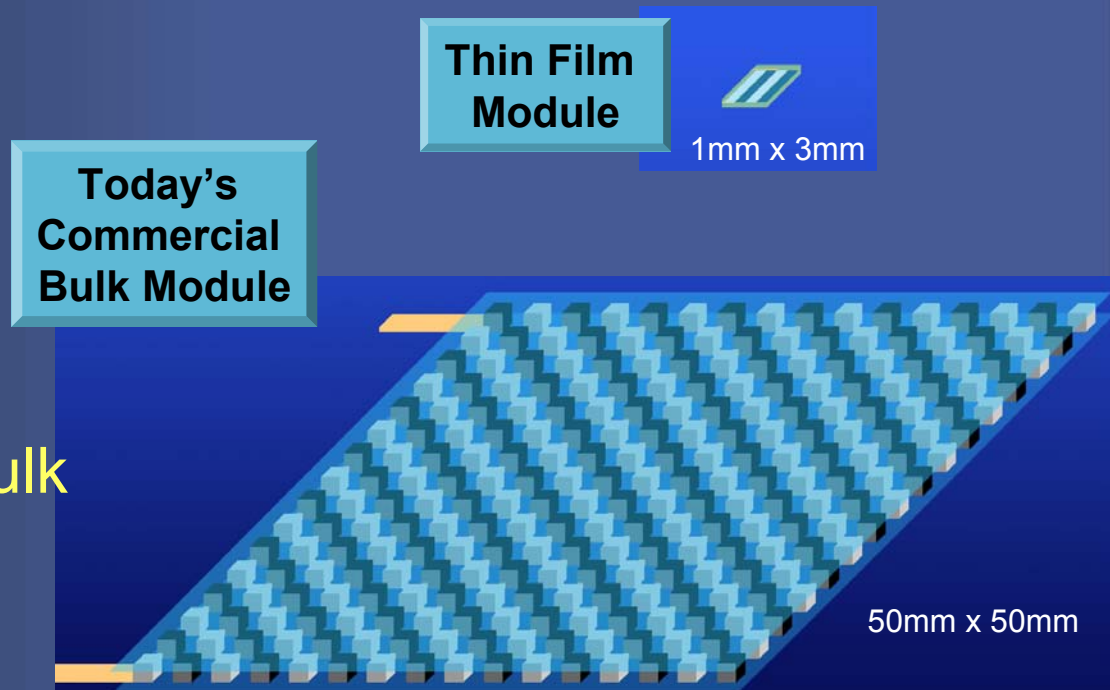


1,500 microns

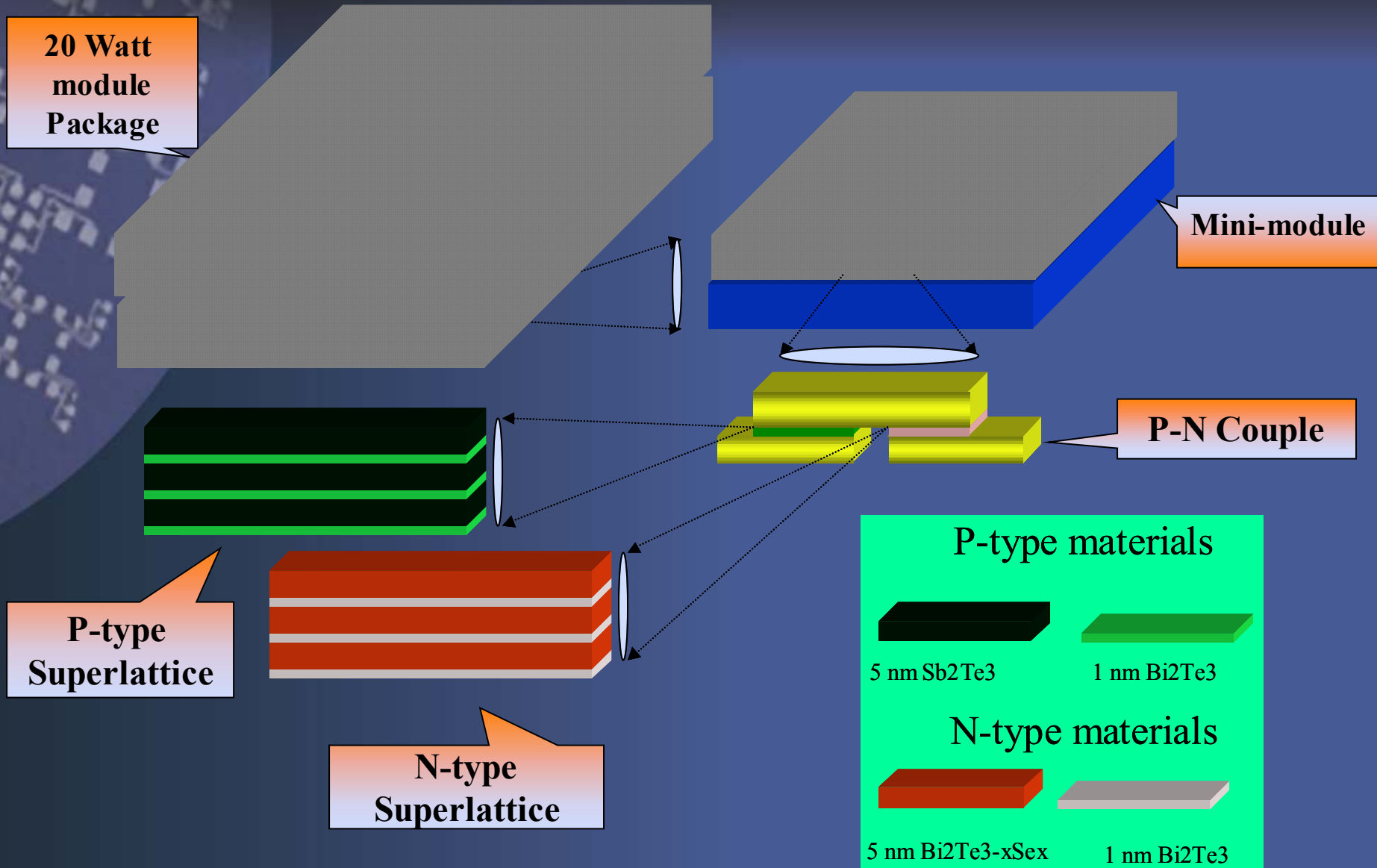


Advantages of RTI's Superlattice Thermoelectric Technology

- Enhanced cooling
- Super-fast cooling and heating
- Enhanced power density
- Anywhere, any time cooling/ heating technology
- 1/40,000th the actual TE material requirement of bulk technology – low recycle costs



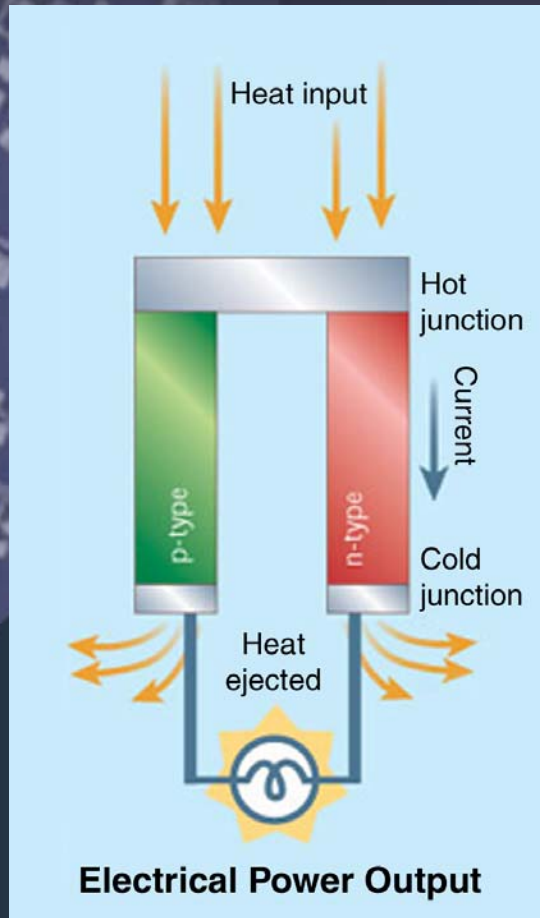
Thin-film Thermoelectric Module Fabrication



A circular inset in the top-left corner shows a detailed view of a thin-film solar cell's surface, featuring a complex grid of small, interconnected rectangular cells.

Thin-film Power Conversion Examples

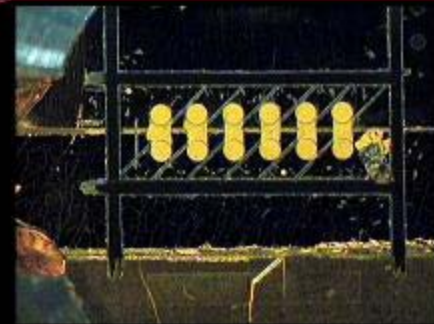
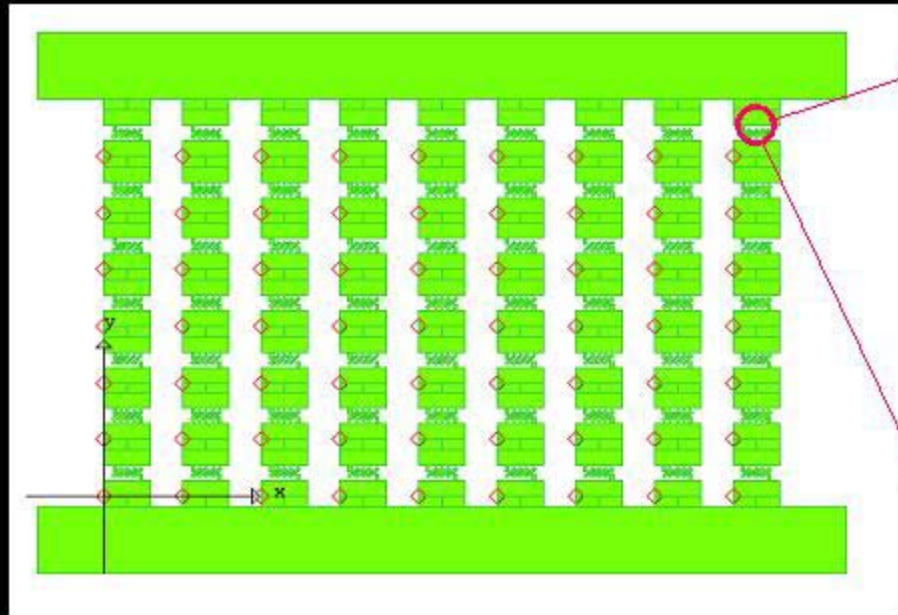
Thermoelectric Power Conversion



$$\psi = \frac{(T_h - T_c) \{(1 + ZT)^{1/2} - 1\}}{T_h \{(1 + ZT)^{1/2} - 1\} + T_c / T_h}$$

- Power Conversion Efficiency critically dependent on the material Figure of Merit (ZT)
- Maximize ΔT
 - Thermal management (getting the heat out from the heat-sink) is important to generating the maximum ΔT

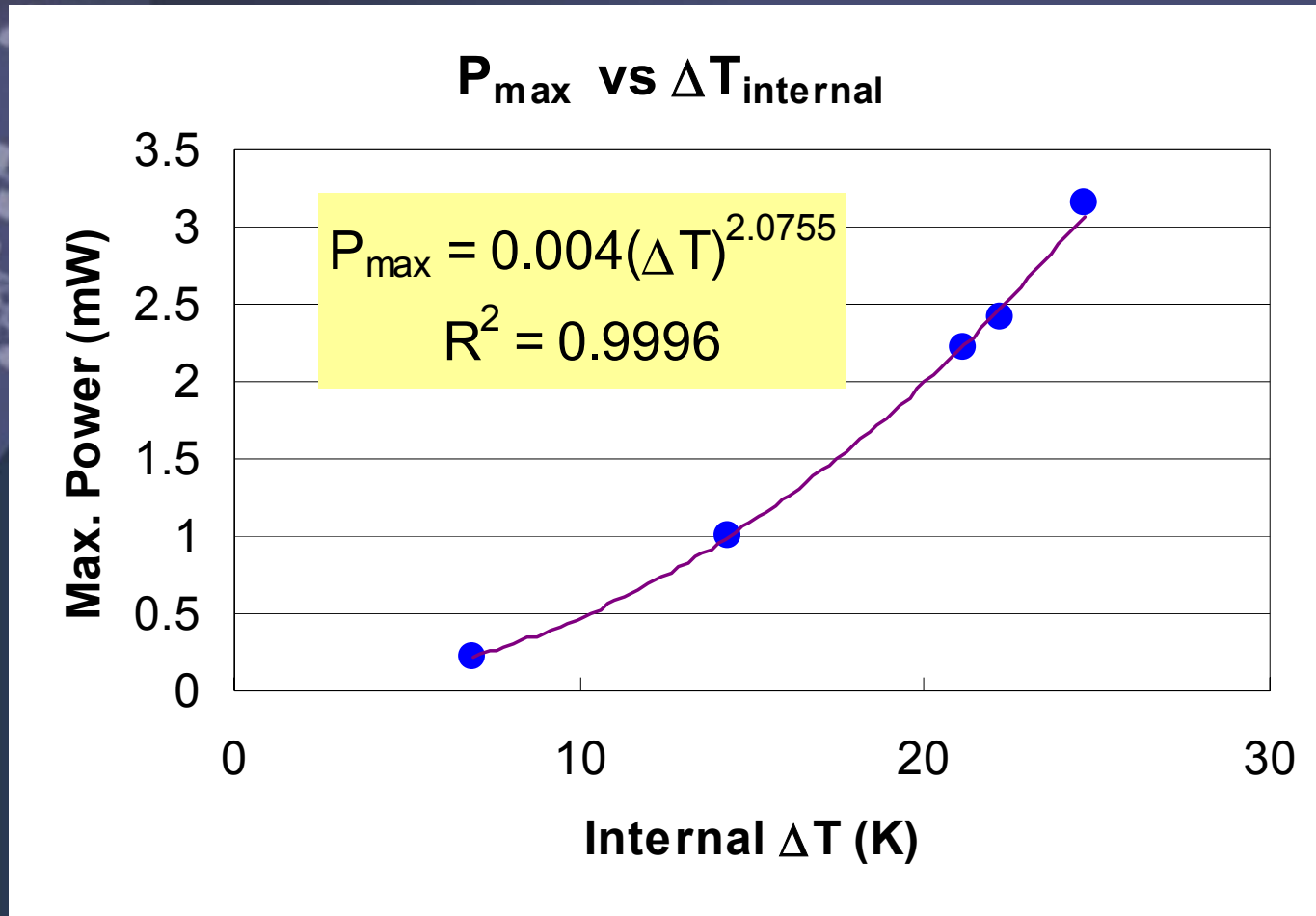
RTI's Gen-1 Power Module Design Using 63 Mini-modules (Area ~ 3.5 cm x 2.4 cm)



- 6x2 300- μm Series mini-module
- $V_{oc} \sim 76 \text{ mV}$
- $P_{max} = 3.160 \text{ mW}$
- $\Delta T \sim 25^\circ\text{C}$

- One year target of 5 Watts Module based on power from all 63 mini-modules, ΔT of 75°C , factor of three improvement in reducing internal series resistance and translating current material ZT to external ZT
 - $3.16 \text{ mW} \times 63 \times (75/25)^2 \times 3 \sim 5 \text{ W}$
- **Larger Immediate Powers Possible with Larger Area Modules for Immediate Applications as in RTI Thermoelectric Power Shields™**
- Three-year target of 20 Watt Based on ΔT of 150°C

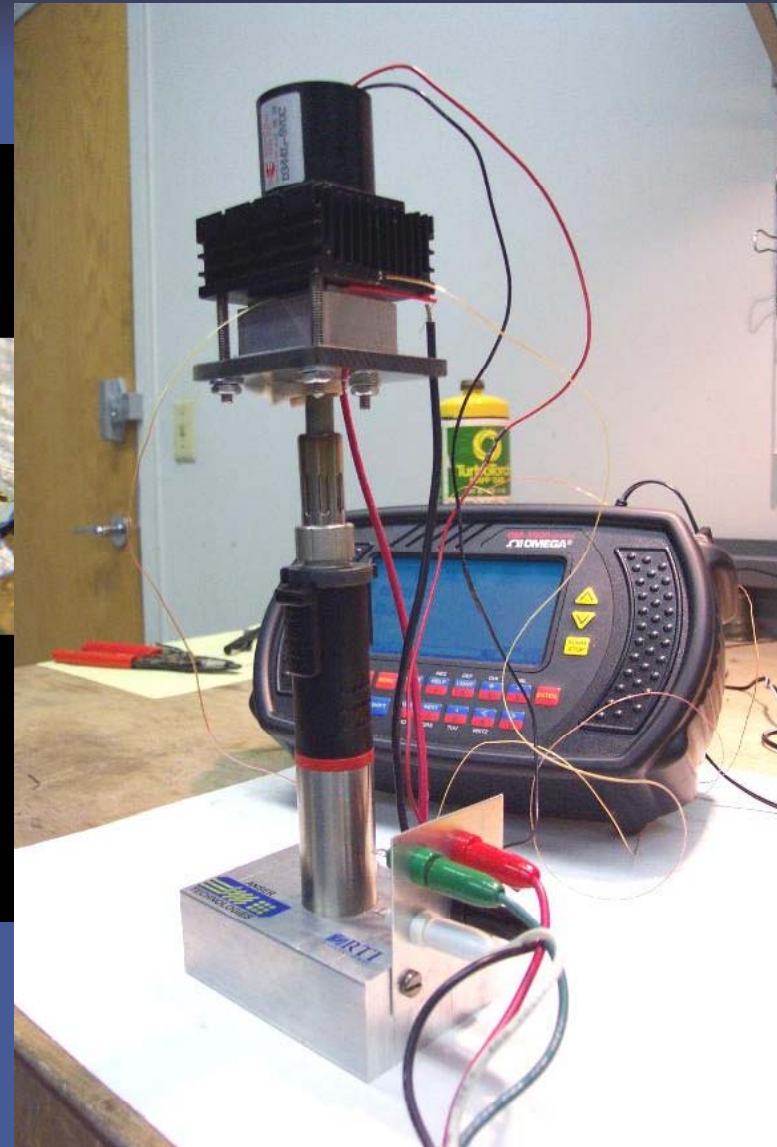
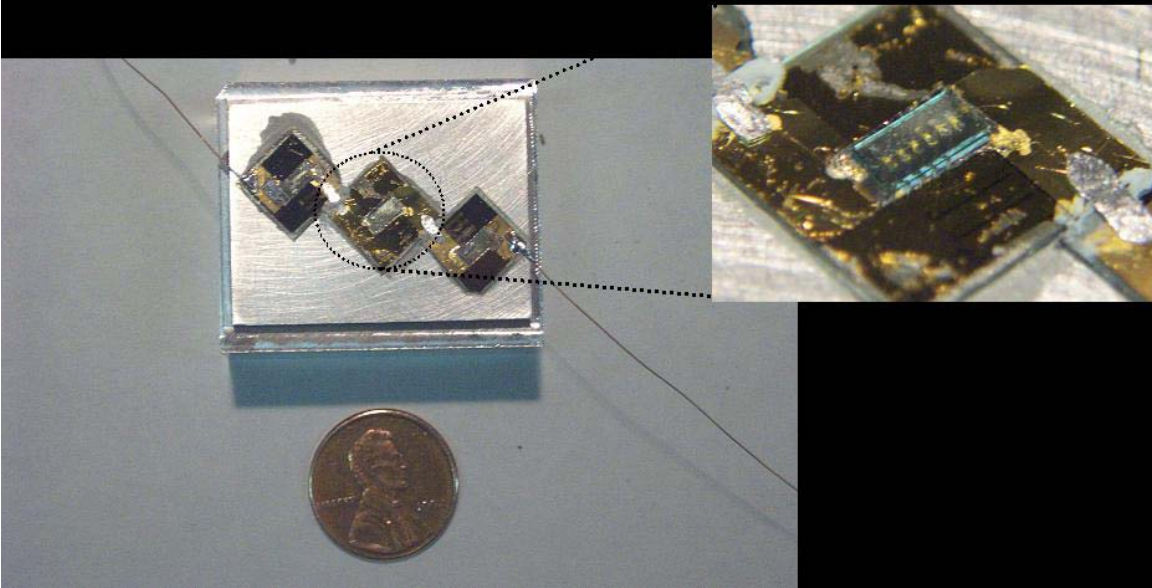
One of the next big focus areas - Reduce thermal interfaces to get more ΔT into the device



- Note square-dependence of P_{\max} on ΔT across TE device

36–element, 0.025 cm²-active area – enough power for a small fan

First thin-film power module using three mini-modules



Long-term Potential Efficiencies for Heat Sources at 660K and 750K

$T_{\text{heat-sink}} = 300\text{K}$			$T_{\text{heat-source}} = 660\text{K}$	$T_{\text{heat-source}} = 750\text{K}$
			$\Delta T \sim 120\text{K}$ per stage	$\Delta T \sim 150\text{K}$ per stage
Very Optimistic Projection		ZT_{ave}	Efficiency (%)	Efficiency (%)
300K < T < 420K to 450K	Stage 1	2.75	10.1%	12.0%
420K to 450K < T < 540K to 600K	Stage 2	2.25	6.9%	7.9%
540K to 600K < T < 660K to 750K	Stage 3	2.25	5.6%	6.1%
Total Efficiency for 3-stage Cascade			22.6%	26.0%
Moderately Optimistic Projection		ZT_{ave}	Efficiency (%)	Efficiency (%)
300K < T < 420K to 450K	Stage 1	2.5	9.6%	11.4%
420K to 450K < T < 540K to 600K	Stage 2	2	6.5%	7.4%
540K to 600 K < T < 660K to 750K	Stage 3	2	5.2%	5.8%
Total Efficiency for 3-stage Cascade			21.3%	24.6%
Conservatively Optimistic Projection		ZT_{ave}	Efficiency (%)	Efficiency (%)
300K < T < 420K to 450K	Stage 1	2.25	9.1%	10.8%
420K to 450K < T < 540K to 600K	Stage 2	1.75	6.0%	6.8%
540K to 600K < T < 660K to 750K	Stage 3	1.75	4.8%	5.4%
Total Efficiency for 3-stage Cascade			19.9%	23.0%

Major Issues in Application to Waste-Heat Recovery from Heat Sources Operating at Various Temperatures

- High ZT Materials for higher temperatures
- Thermal Management at significant flux levels
- Low electrical resistivity metal-semiconductor Ohmic Contacts in the range of 300K to 750K
- Low resistivity metal-metal current injection and thermal transfer contacts in the range of 300K to 750K
- Low resistivity metal-dielectric thermal transfer contacts in the range of of 300K to 750K

Bulk versus Thin-film TE Cooling/Heating

Performance Parameter	Bulk Technology (ZT ~ 1)	Thin-film Technology (ZT ~ 2.5)
COP (Heat Pumped/Electrical Power in) for a ΔT of 25°C	1	2 to 2.5
Wight of TE module for pumping 50 Watts (without thermal management components)	~ 22 grams	< 3 grams
Cost of heat pumping	\$ 0.5 to 1 per Watt as of today after 40 years of maturity	Long-term (three to five years) projected cost < \$ 0.5 per Watt
Cooling Power Density	< 1 W/cm ²	> 700 W/cm ²
Potential Maximum Cooling per stage	~ 60°C	~ 120°C

Bulk versus Thin-film TE Power

Performance Parameter	Bulk Technology (ZT ~ 1)	Thin-film Technology (ZT ~ 2.5)
Efficiency for a ΔT of 150°C	3 to 4%	10 to 11%
Specific Power excluding thermal management components	1 Watt/gram of TE module weight	570 Watts/gram of TE module weight
Cost	\$5 per Watt as of today after 40 years of maturity	Long-term (three to five years) projected cost < \$1 per Watt
Current Density	< 200 mA/cm ²	> 50 Amp/cm ² possible
Voltage levels from <u>each</u> module	5 to 10 Volts	48 Volts achievable with microelectronically interconnected circuits
Power Density	< 1 W/cm ²	> 20 W/cm ²

Low-cost, Efficient Fabrication of Large-Power Modules Using Standard Microelectronic Tools

Standard Wafer Dicer and Pick-and-Place machines for Rapid Module Fabrication

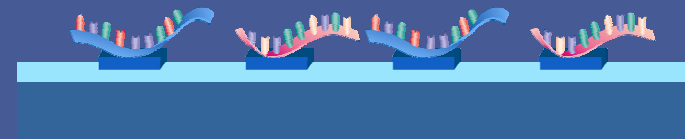
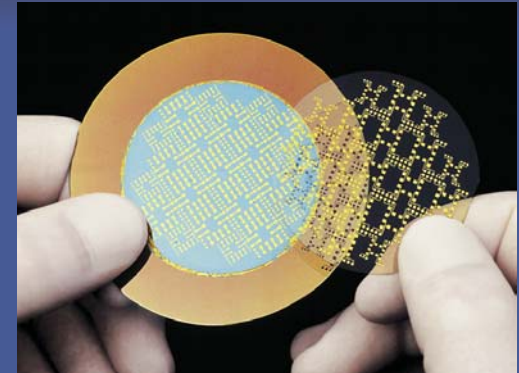




Other Emerging Applications

Application in Genomics and Proteomics

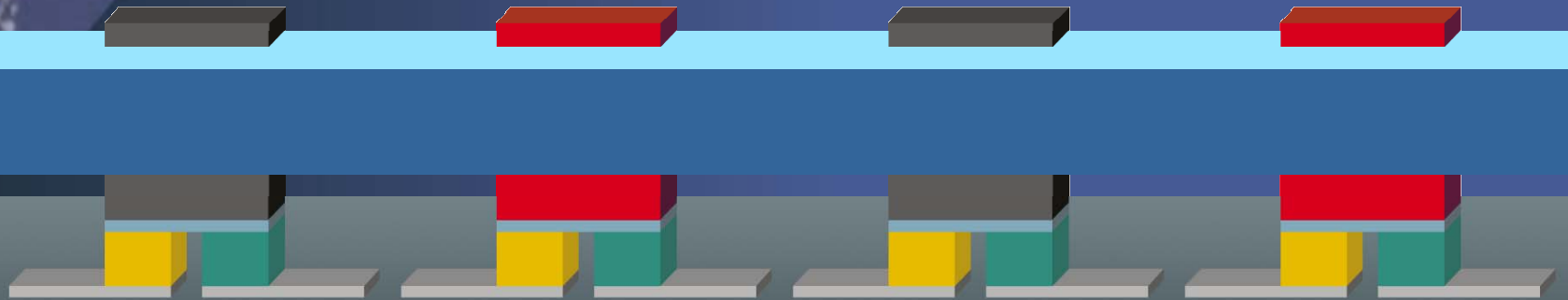
- High-speed PCR for rapid DNA analysis
- Self-assembly of DNA and Protein molecules
- Today's analytical tools are inadequate to study proteins
(2-D gel electrophoresis, liquid chromatography, and mass spectrometry)
- Wafer-scale thermoelectrics can enable “fingerprinting” of proteins and their interactions



High-speed PCR

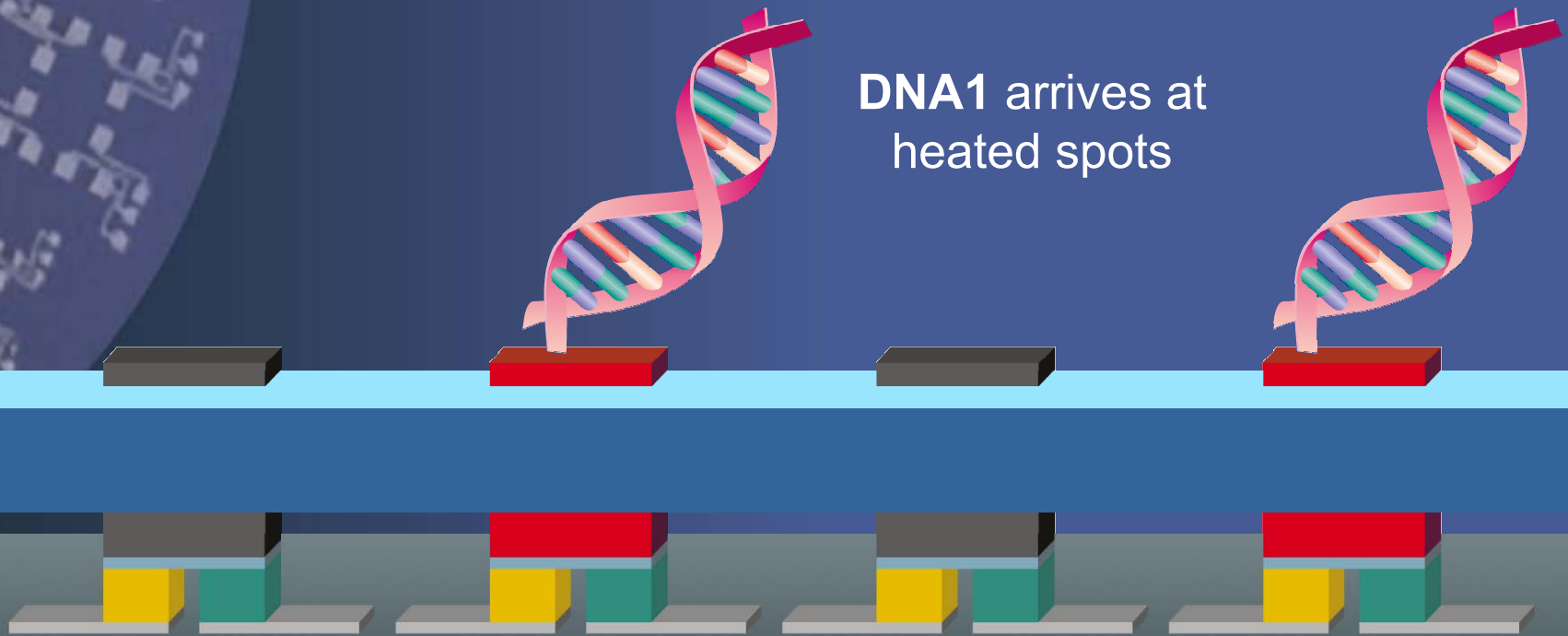
- **Typical PCR**- starts with double-strand DNA being split at 95°C, temperature lowered to where single strands can bind together with new strands
- Two-step heating cycle is repeated n times, produces 2^n copies of target sequence
- From a single starting molecule, 20 cycles yield over 1M, 30 cycles 1B, and 40 cycles 1T copies
- Typically each cycle is 1 minute
- Reducing cycling time, efficient devices, and small-scale battery-operated systems can lead to field applications for DNA testing for pharmacology and bio-weapon detection

Self-Assembly of DNA Microarrays

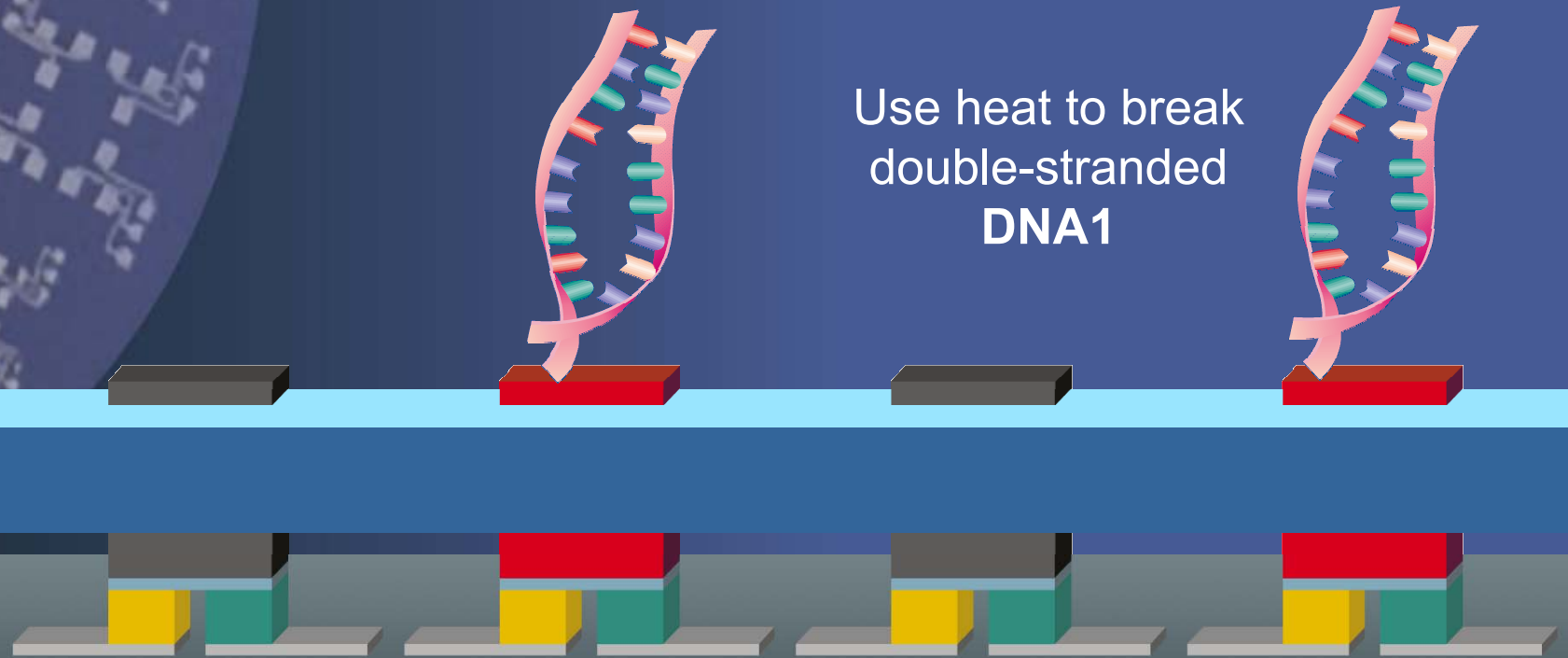


Self-Assembly of DNA Microarrays

DNA1 arrives at heated spots

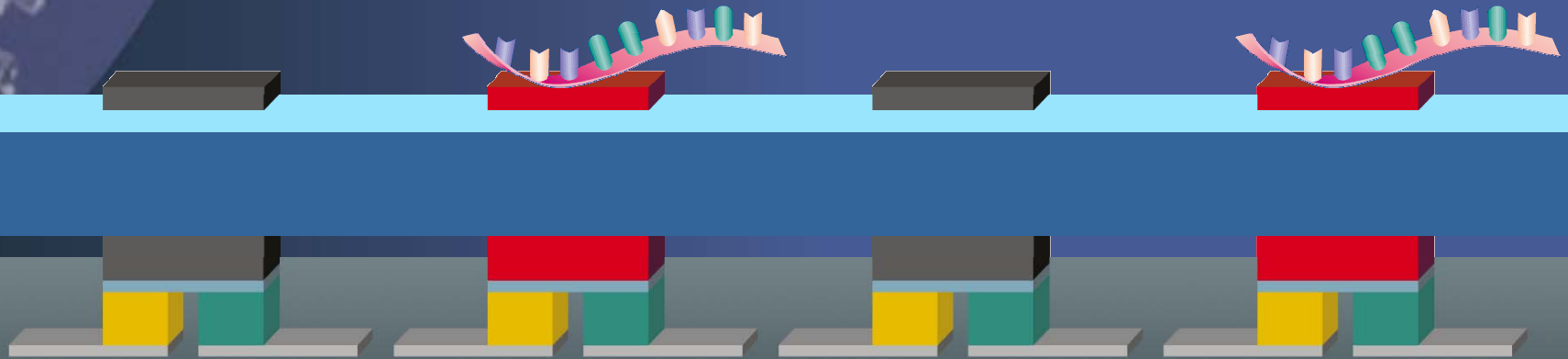


Self-Assembly of DNA Microarrays



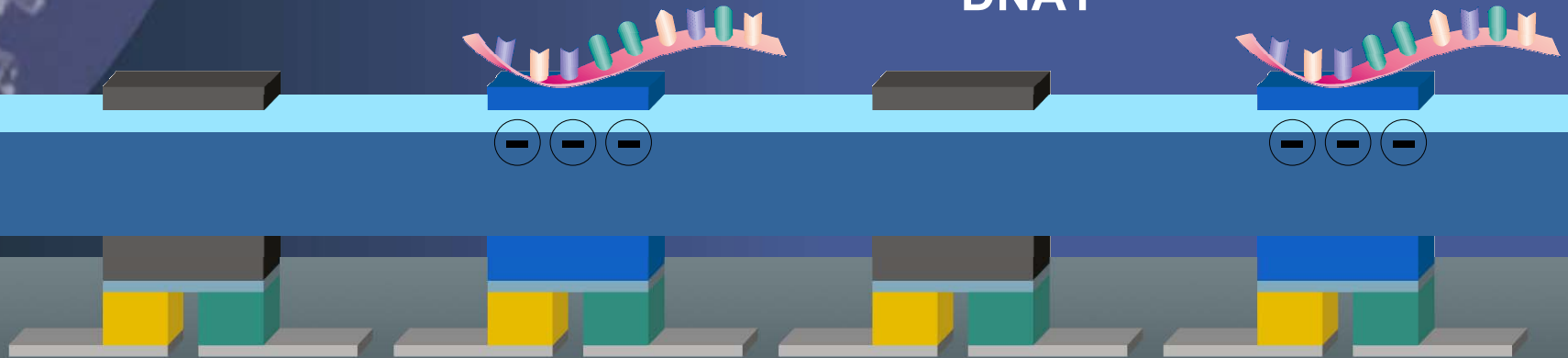
Self-Assembly of DNA Microarrays

A single-stranded
DNA1 is created



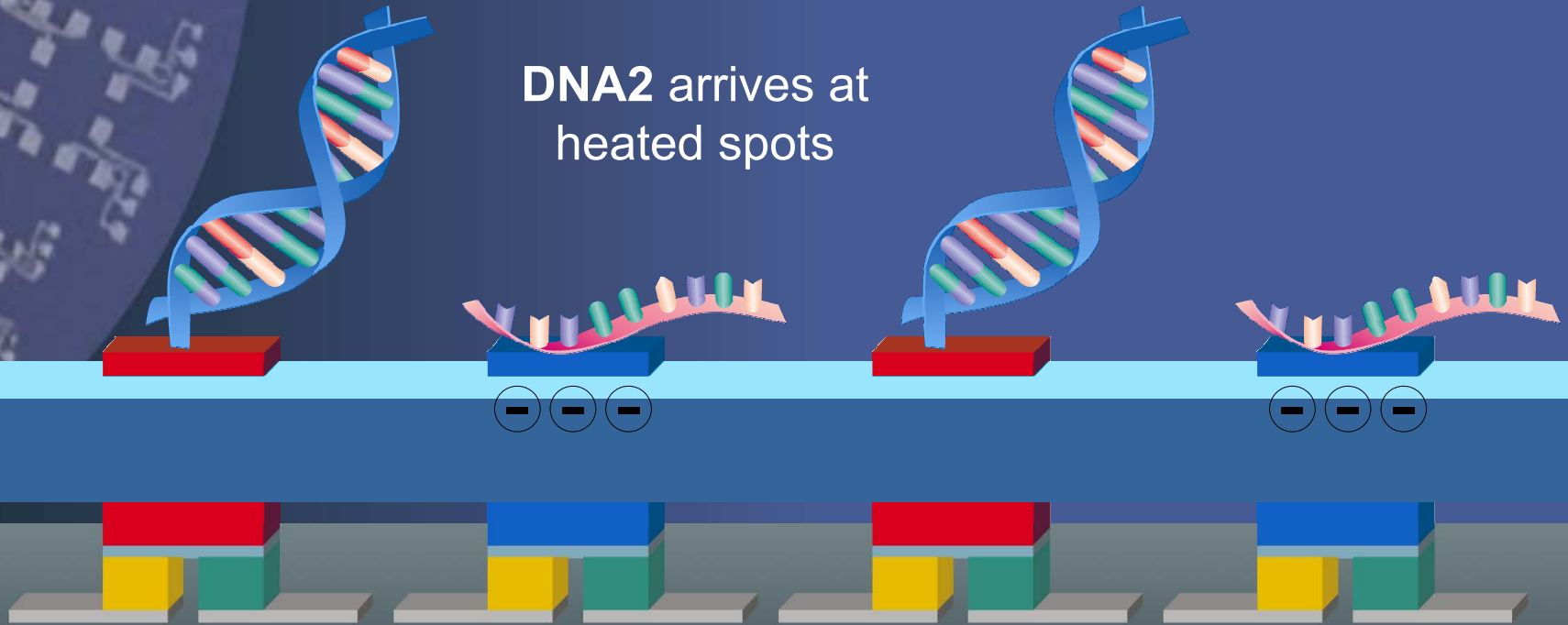
Self-Assembly of DNA Microarrays

Use charge and cooling to trap single-stranded DNA1



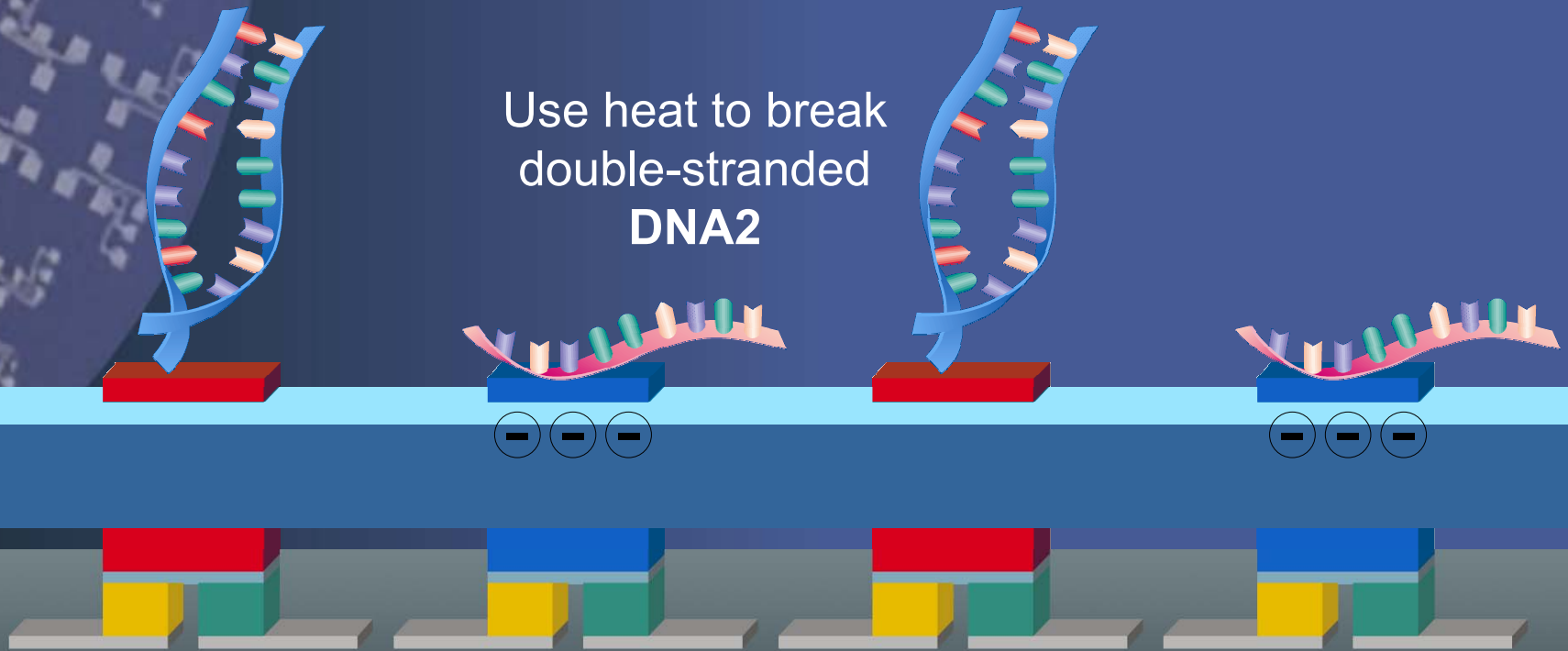
Self-Assembly of DNA Microarrays

DNA2 arrives at heated spots



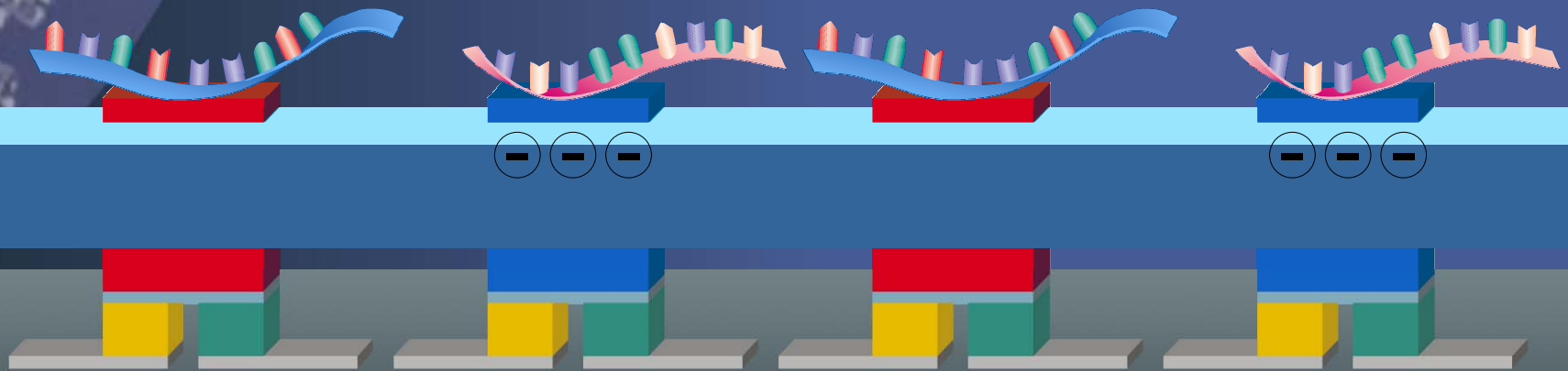
Self-Assembly of DNA Microarrays

Use heat to break
double-stranded
DNA2



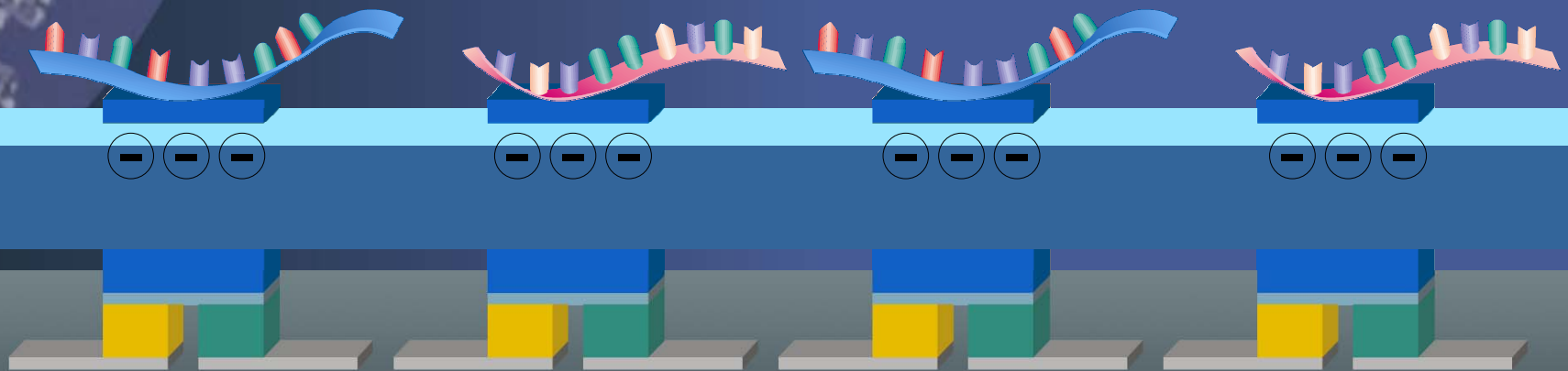
Self-Assembly of DNA Microarrays

A single-stranded
DNA2 is created



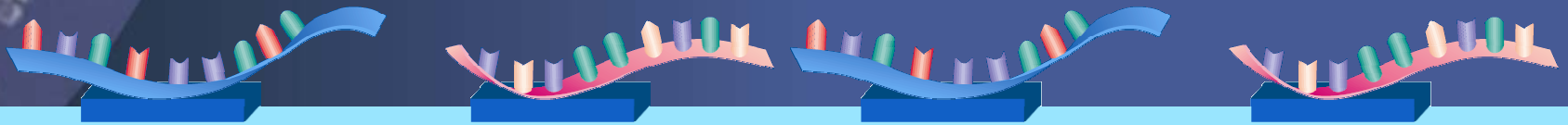
Self-Assembly of DNA Microarrays

Use charge and cooling to trap single-stranded DNA2



Self-Assembly of DNA Microarrays

Self-assembled DNA-array ready
for experiments



40-Year Breakthrough — A Multitude of Opportunities

