

Lapse Plan Summary Overview (September 28, 2023)

Estimated time (to nearest half day) required to complete shutdown activities:	.5 Days
Total number of agency employees expected to be on board before implementation of the plan:	13,850 FTEs
Total number of employees to be retained under the plan for each of the following categories:	
Compensation is financed by a resource other than annual appropriations:	4,139 FTEs
Necessary to perform activities expressly authorized by law:	0 FTEs
Necessary to perform activities necessarily implied by law:	0 FTEs
Necessary to the discharge of the President's constitutional duties and powers:	21 FTEs
Necessary to protect life and property:	1,404 FTEs

Brief summary of significant agency activities that will continue during a lapse:

At DOE Headquarters, in the event of a lapse of appropriations and the exhaustion of available balances, a small staff in the following offices would provide support to portions of DOE that perform functions related to the safety of human life or the protection of property:

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| <ul style="list-style-type: none"> • Assistant Secretary for Electricity • Assistant Secretary for Energy Efficiency and Renewable Energy • Assistant Secretary for Environmental Management • Assistant Secretary for Fossil Energy & Carbon Management • Assistant Secretary for International Affairs • Assistant Secretary for Nuclear Energy • Grid Deployment Office • Immediate Office of The Under Secretary for Infrastructure • Immediate Office of The Under Secretary for Science and Innovation • National Nuclear Security Administration • Naval Reactors (NNSA) • Office of Clean Energy Demonstrations • Office of the Chief Information Officer • Office of the Chief Financial Officer | <ul style="list-style-type: none"> • Office of the Chief Human Capital Officer • Office of Cybersecurity, Energy Security, & Emergency Response • Office of Economic Impact and Diversity • Office of Enterprise Assessments • Office of Environment, Health, Safety & Security • Office of General Counsel • Office of Inspector General • Office of Intelligence and Counterintelligence • Office of Legacy Management • Office of Management • Office of Manufacturing and Energy Supply Chains • Office of Science • Office of the Secretary of Energy • Southeastern Power Administration • Southwestern Power Administration • U.S. Energy Information Administration • Western Area Power Administration |
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The National Nuclear Security Administration would perform functions related to the safety of human life and the protection of property in three main program areas: maintenance and safeguarding of nuclear weapons; international non-proliferation activities; and servicing deployed naval reactors. Within the weapons programs, excepted personnel will have oversight concerning stopping or maintaining critical control operations systems that involve nuclear materials or maintenance of one-of-a-kind equipment in order to make shutdown decisions.

The Bonneville Power Administration is self-funding under a permanent, indefinite appropriation and will continue to operate normally (3,099 FTE). Bonneville Power Administration employees are included in the number of employees whose compensation is financed by a resource other than annual appropriations. The other Power Marketing Administrations (Southeastern Power Administration, Southwestern Power Administration, Western Area Power Administration) will perform functions related to the safety of human life and the protection of property by engaging in controlling and directing power to utilities, transmission of power, and repair of the power transmission system.

Employees performing work funded by multi-year appropriations would remain working after available balances from DOE's base appropriations are exhausted. DOE has received multi-year appropriations through the Infrastructure Investment and Jobs Act (IIJA) and the Inflation Reduction Act (IRA). As of August 4, 2023, 1,040 DOE employees were fully or partially funded by multi-year appropriations; these employees would continue to perform funded work after the exhaustion of DOE base funding.

Brief summary of significant agency activities that will cease during a lapse:

After the exhaustion of available balances, those activities not related to the preservation of life and property, unnecessary to the discharge of the President's constitutional power, not funded by other than annual appropriations, or not otherwise expressly authorized by law will cease.