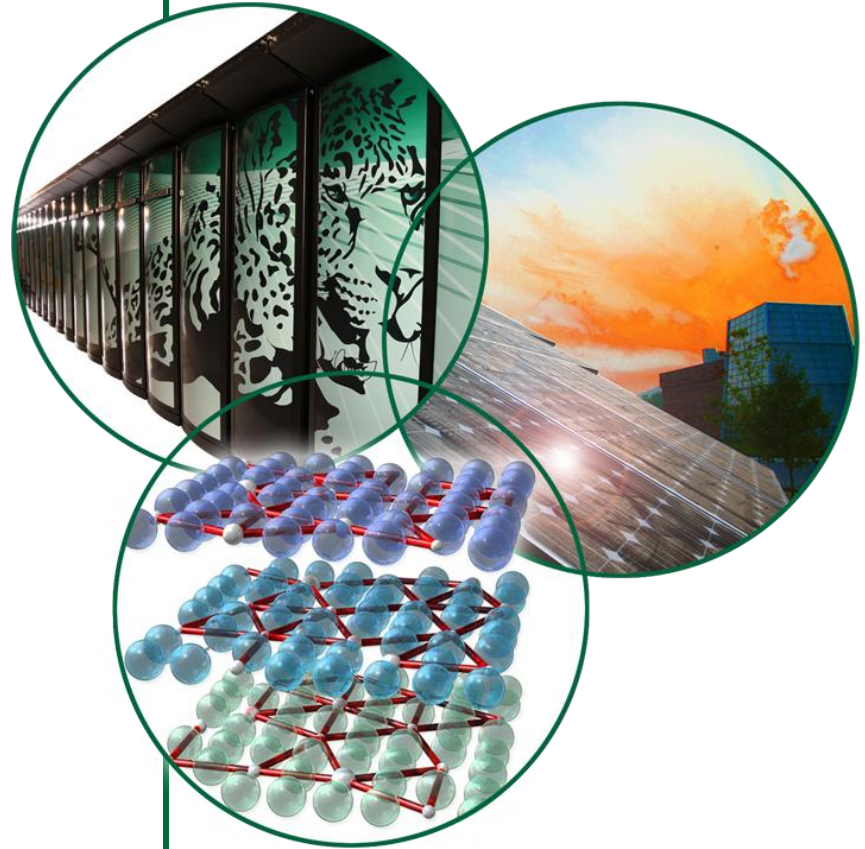


# Integrating Environmental, Safety, and Quality Management System Audits

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# David Skipper - Bio

- Graduate of The University of Tennessee with a Masters Degree in Environmental Engineering
- Worked at ORNL since 1990
- Served as UT-Battelle Environmental Protection Services Manager since 2000
- Worked in waste and air compliance at ORNL for 10+ years prior to current job
- Responsible for developing, implementing, and improving the ORNL ISO 14001-registered environmental management system

# UT-Battelle Management System Philosophy

- External registration/validation of management systems is desirable:
  - Demonstrates ESHQ leadership
  - Important to customers
  - Can result in reduction in oversight and/or additional flexibility
- UT-Battelle registrations
  - ISO 9001 for isotope development process
  - ISO 14001 for all UT-Battelle activities
  - OHSAS 18001 for all UT-Battelle activities
  - ISO 17025 for metrology laboratory
  - ISO 9001 for all UT-Battelle activities (2009)



# Focus of Today's Presentation

- **Site-wide management systems (environmental, safety, and quality)**
  - These systems require participation of line organization staff
  - Individual registered processes don't necessarily require general staff awareness or participation
- **Today's discussion is related to "registered" management systems but could be also applied to management systems not interested in ISO or OHSAS registration**
- **Support management systems need to continually focus on adding value and improving efficiency**
  - Integration of audits can help

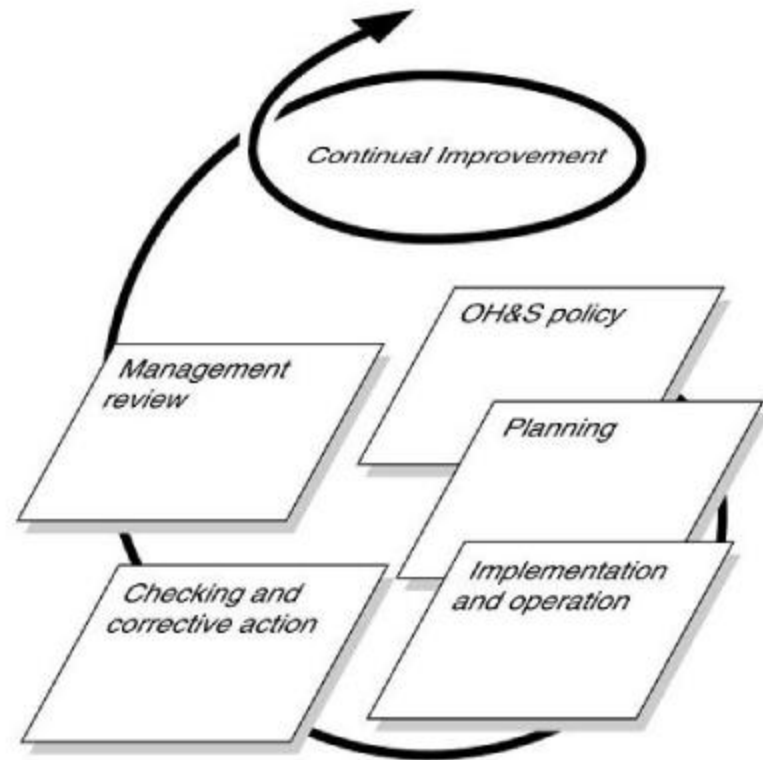
# Audit Overload!

- The amount of time preparing for, participating in, and responding to audits is an increasing concern of research staff
- UT-Battelle hosted ~ 70 external audits in FY08
  - > 700 person-days for auditors
  - > 2 times this time commitment for UT-Battelle staff affected by these audits
- Several hundred internal audits documented in assessment tracking system
  - Significant resource commitment



# Why Integrate Management System Audits?

- Many similar elements among three standards
  - Policy
  - Requirements management
  - Objectives
  - Roles and responsibilities
  - Training
  - Document control
  - Operational control
  - Monitoring and measurement
  - Nonconformity management
  - Records
  - Management review
  - **Internal audit**



# Standards Require Internal and External Management System Audits

- ISO 14001 *“The organization shall ensure that internal audits of the environmental management system are conducted at planned intervals...”*
- OHSAS 18001 *“The organization shall ensure that internal audits of the OH&S management system are conducted at planned intervals...”*
- ISO 9001 *“The organization shall conduct internal audits at planned intervals to determine whether the quality management system conforms....”*
- External audits required to maintain registration

# Benefits of Integrating Management System Audits

- **Reduce impact on line organizations**
  - Integrated audits can result in less audit-days
- **Cost savings**
  - External management system audits can often be combined which can result in 50% (or more) cost savings
- **Supports further integration and improvement of ESH&Q processes**
  - Encourages teaming of ESH&Q staff
  - Increased familiarity with other management systems can lead to process improvements





# ORNL Experience

- **First integrated internal audit in 2009**
  - Used separate EMS, QMS, and WS&H auditors
  - Replaced three week-long audits with a single one week audit
  - Feedback from line organizations was very positive
- **First combined ISO 14001/OHSAS 18001 external surveillance audit in 2009**
  - Resulted in ~ \$25K savings in audit costs (no increase in number of auditors or audit duration)
  - Replaced two week-long audits with one week-long audit
  - Feedback from line organizations was positive
- **Plan is to ultimately combine ISO 14001, OHSAS 18001, and ISO 9001 external audits**

# Lesson Learned/What's Next?

- **External audits**
  - Ensure that registrar can support integrated audits
- **Internal audits**
  - Beneficial to have safety, quality, and environmental expertise on audit team
  - General knowledge of standards important but may not allow “deep dive” necessary to fully assess system
  - Cross train auditors to have working knowledge of all three management systems

# Questions?

