



PRIVACY IMPACT ASSESSMENT: SAVANNAH RIVER NUCLEAR SOLUTION (SRNS)

ProRad

PIA Template Version 3 – May, 2009

Affects Members Of the Public?	<input checked="" type="checkbox"/>
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Department of Energy
Privacy Impact Assessment (PIA)

Guidance is provided in the template. See DOE Order 206.1, *Department of Energy Privacy Program, Appendix A, Privacy Impact Assessments*, for requirements and additional guidance for conducting a PIA: <http://www.directives.doe.gov/pdfs/doe/doetext/neword/206/o2061.pdf>

Please complete electronically: no hand-written submissions will be accepted.

This template may not be modified.

MODULE I – PRIVACY NEEDS ASSESSMENT

Date	February 11, 2010	
Departmental Element & Site	Office of Environment Management Department of Energy – Savannah River Operations Office	
Name of Information System or IT Project	Savannah River Nuclear Solution (SRNS) ProRad	
Exhibit Project UID	UPI Code: 019-10-01-15-01-1057-00	
New PIA <input type="checkbox"/>		
Update <input checked="" type="checkbox"/>		
	Name, Title	Contact Information Phone, Email
System Owner	Gregory G. Tunno, Manager, Health Physics Services, Savannah River Nuclear Solutions	(803) 952-8477 greg.tunno@srs.gov
Local Privacy Act Officer	Pauline Conner, Privacy Act Officer	(803) 952-8134 pauline.conner@srs.gov
Cyber Security Expert reviewing this document (e.g. ISSM, CSSM, ISSO, etc.)	Jannett M. Moran, SRNS Cyber Security Manager	(803) 725-6925 jan.moran@srs.gov



MODULE I – PRIVACY NEEDS ASSESSMENT

Person Completing this Document	Pauline Conner, Privacy Act Officer	(803) 952-8134 pauline.conner@srs.gov
Purpose of Information System or IT Project	<p>The primary purpose of the ProRad and the Health Physics Data Warehouse is to manage, monitor, and record occupational radiation exposure data for present and former U.S. Department of Energy (DOE), National Nuclear Security Administration (NNSA), and contractor employees working or visiting DOE facilities. Furthermore, the Department uses and maintains the information contained in ProRad to conduct epidemiological and other health studies, surveys, and surveillances, conducted by the Department and the Department of Health and Human Services performing studies for the Department, their contractors, grantees, and collaborating researchers.</p>	
Type of Information Collected or Maintained by the System:	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> SSN Social Security number <input checked="" type="checkbox"/> Medical & Health Information e.g. blood test results <input type="checkbox"/> Financial Information e.g. credit card number <input type="checkbox"/> Clearance Information e.g. "Q" <input type="checkbox"/> Biometric Information e.g. finger print, retinal scan <input type="checkbox"/> Mother's Maiden Name <input checked="" type="checkbox"/> DoB, Place of Birth <input checked="" type="checkbox"/> Employment Information <input type="checkbox"/> Criminal History <input checked="" type="checkbox"/> Name, Phone, Address <input type="checkbox"/> Other – Please Specify 	
Has there been any attempt to verify PII does not exist on the system? DOE Order 206.1, <i>Department of Energy Privacy Program</i> , defines PII as any information collected or maintained by the Department about an individual, including but not limited to, education, financial transactions, medical history and criminal or employment history, and information that can be used to	No Based on existing system documentation and available data dictionaries, it is known that PII exists in the Information Systems covered by this Privacy Impact	



MODULE I – PRIVACY NEEDS ASSESSMENT

<i>distinguish or trace an individual's identity, such as his/her name, Social Security number, date and place of birth, mother's maiden name, biometric data, and including any other personal information that is linked or linkable to a specific individual.</i>	Assessment.
If "Yes," what method was used to verify the system did not contain PII? (e.g. system scan)	N/A
Threshold Questions	
1. Does system contain (collect and/or maintain), or plan to contain any information about individuals?	YES
2. Is the information in identifiable form?	YES
3. Is the information about individual Members of the Public?	YES
4. Is the information about DOE or contractor employees?	YES <input checked="" type="checkbox"/> Federal Employees <input checked="" type="checkbox"/> Contractor Employees

If the answer to **all** four (4) Threshold Questions is "No," you may **proceed to the signature page** of the PIA. Submit the completed PNA with signature page to the CPO.

Module II must be completed for all systems if the answer to any of the four (4) threshold questions is "Yes." All questions must be completed. If appropriate, an answer of N/A may be entered.

The goal of the threshold questions is to legitimately and efficiently determine whether additional assessment is necessary. If there is doubt, it is in the System Owner's best interest to complete Module II.

PIAs affecting Members of the Public are posted on the DOE Privacy website. For this reason, PIAs affecting Members of the Public should be written in plain language and at a high level so they are easily understandable and do not disclose sensitive information.

END OF PRIVACY NEEDS ASSESSMENT



MODULE II – PII SYSTEMS & PROJECTS

AUTHORITY, IMPACT & NOTICE

<p>1. AUTHORITY</p> <p>What specific authorities authorize this system or project, and the associated collection, use, and/or retention of personal information?</p>	<p>Department of Energy Organization Act of 1977 (42 U.S.C. 7101 <i>et seq.</i>); Export Administrative Act of 1979 (50 U.S.C. 2401 <i>et seq.</i>); Government Organization and Employees (5 U.S.C. 301); Federal Tort Claims Act (28 U.S.C. 2671-2680); Military Personnel and Civilian Employees Claims Act (31 U.S.C. 240-243); Executive Order 12009; 42 U.S.C. 7151 and 7297; and 42 U.S.C. 2201(c), 2201(l)(3), 5813 and 5817.</p> <p>As provided in DOE O 206.1, "The Privacy Act allows an agency to maintain information about an individual that is relevant and necessary to the purpose of the agency as required by statute or by Executive Order of the President."</p>
<p>2. CONSENT</p> <p>What opportunities do individuals have to decline to provide information (e.g. where providing information is voluntary) or to consent only to particular uses of the information (other than required or authorized uses)?</p>	<p>Collection of the information in the ProRad system is required to manage, monitor, and record occupational radiation exposure data for present and DOE, NNSA, and contractor employees working or visiting DOE facilities.</p>
<p>3. CONTRACTS</p> <p>Are contractors involved with the design, development and maintenance of the system? If yes, was the Privacy Order CRD or Privacy Act clauses included in their contracts?</p>	<p>Yes. Contractors are involved in the configuration, implementation, and maintenance of the system. Personal information may be disclosed to these contractors and their officers and employees in performance of their contracts. Those individuals provided this type of information is subject to the same limitations applicable to DOE officers and employees under the Privacy Act, 5 U.S.C. 552a.</p> <p>Pertinent contract language states that data covered by the Privacy Act may be disclosed to contractors and their officers and employees. Any information that is obtained or viewed shall be on a need-to-know basis. Contractors are required to safeguard all information that they may obtain in accordance with the provisions of the Privacy Act and the requirements of the DOE. The contractor shall ensure that all DOE documents and software processed, and the information contained therein, are protected from unauthorized use and mishandling by assigned personnel.</p>



MODULE II – PII SYSTEMS & PROJECTS

<p>4. IMPACT ANALYSIS:</p> <p>How does this project or information system impact privacy?</p>	<p>The potential impact is MODERATE. The loss of confidentiality, integrity, or availability could be expected to have a serious adverse effect on organizational operations, organizational assets, or individuals. Adverse effects on individuals may include, but are not limited to, loss of the privacy to which individual are entitled under law.</p> <p>Security Plan, Version 0, dated May 30, 2007</p> <p>Date of Accreditation: June 8, 2007</p>
<p>5. SORNs</p> <p>How will the data be retrieved? Can PII be retrieved by an identifier (e.g. name, unique number or symbol)?</p> <p>If yes, explain, and list the identifiers that will be used to retrieve information on the individual.</p>	<p>Yes. ProRad can retrieve data by using name, social security number, or the site's computer-generated alternate ID (Comp_Alt_ID).</p>
<p>6. SORNs</p> <p>Has a Privacy Act System of Records Notice (SORN) been published in the <i>Federal Register</i>?</p> <p>If "Yes," provide name of SORN and location in the <i>Federal Register</i>.</p>	<p>Yes. DOE-35, "Personnel Radiation Exposure;" DOE-88, Epidemiologic and Other Health Studies, Surveys and Surveillances</p> <p>Federal Register Vol. 74, No. 6/Friday, January 9, 2009 (pages 1037-1042 and 1088-1090)</p>
<p>7. SORNs</p> <p>If the information system is being modified, will the SORN(s) require amendment or revision?</p>	<p>N/A</p>

DATA SOURCES



MODULE II – PII SYSTEMS & PROJECTS

<p>8. What are the sources of information about individuals in the information system or project?</p>	<p>Information is obtained from the individual to whom it pertains. Occupational radiation exposure information is processed and updated in the system by the site's radiological protection department. ProRad collects information provided by individual to whom it pertains. Examples include, but are not limited to, questionnaires, demographic information, work history, medical and reproductive history, birth data, radiation exposure history, laboratory test results, data from prior studies, surveys, and surveillances. Information may be extracted as necessary from personnel files and lists, training files, medical records, legal case files, bioassay records, industrial hygiene files, and related sources.</p>
<p>9. Will the information system derive new or meta data about an individual from the information collected?</p>	<p>No</p>
<p>10. Are the data elements described in detail and documented?</p>	<p>Yes. The vendor's database describes and documents the data elements.</p>
<p>DATA USE</p>	
<p>11. How will the PII be used?</p>	<p>The authorized employees will use the PII contained in ProRad to monitor and track radiation exposure.</p>
<p>12. If the system derives meta data, how will the new or meta data be used?</p> <p>Will the new or meta data be part of an individual's record?</p>	<p>N/A</p>
<p>13. With what other agencies or entities will an individual's information be shared?</p>	<p>The individual's occupational radiation exposure information may be provided to other employers at the individual's request.</p>
<p>Reports</p>	



MODULE II – PII SYSTEMS & PROJECTS

<p>14. What kinds of reports are produced about individuals or contain an individual's data?</p>	<p>The authorized user can generate a variety of reports for use by the appropriate local, state or Federal agencies, when that record alone or in conjunction with other information indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program.</p>
<p>15. What will be the use of these reports?</p>	<p>The use of the data is relevant and necessary for SRNS to manage, monitor, and record occupational radiation exposure data for present and former DOE, NNSA and contractor employees.</p>
<p>16. Who will have access to these reports?</p>	<p>Only authorized individuals will have access to the information based on need-to-know and the principle of least privilege. Furthermore, the appropriate local, state and federal agencies may have access to the records maintained in the ProRad to ensure Departmental compliance with other regulatory requirements.</p>
<p>Monitoring</p>	
<p>17. Will this information system provide the capability to identify, locate, and monitor individuals?</p>	<p>No. The ProRad does not have the capability to identify, locate, and monitor individuals.</p>
<p>18. What kinds of information are collected as a function of the monitoring of individuals?</p>	<p>N/A</p>
<p>19. Are controls implemented to prevent unauthorized monitoring of individuals?</p>	<p>N/A</p>
<p>DATA MANAGEMENT & MAINTENANCE</p>	
<p>20. How will records about individuals be kept current and verified for accuracy, relevance and completeness? Include PII data collected from sources other than DOE records.</p>	<p>ProRad does not verify the accuracy, relevance, and completeness of the data related to the public. ProRad collects basic data directly from the individual to whom it pertains. Therefore, it is determined that the information is accurate, timely and complete at the time it was provided.</p>
<p>21. If the information system is operated in more than one site, how will consistent use of the information be ensured at all sites?</p>	<p>The system is licensed by and operated for U.S. Department of Energy Savannah River Operations Office.</p>



MODULE II – PII SYSTEMS & PROJECTS

Retention & Disposition

<p>22. What are the retention periods of data in the information system?</p>	<p>Retention periods are in accordance with National Archives and Records Administration (NARA) and DOE records schedules. Information can be obtained at http://cio.energy.gov/records-management/adminrs.htm.</p>
<p>23. What are the procedures for disposition of the data at the end of the retention period?</p>	<p>Procedures for disposition are documented in applicable NARA and DOE records schedule. Information can be obtained at http://cio.energy.gov/records-management/adminrs.htm.</p>

ACCESS, SAFEGUARDS & SECURITY

<p>24. What controls are in place to protect the data from unauthorized access, modification or use?</p>	<p>Technical and administrative controls are in place to prevent the misuse of data by individuals with access. The administrative controls include annual training emphasizing that it is the individual's responsibility to protect data that they have access to, and that misuse of that data will not be tolerated. The technical controls include restricted access via user-id and password based on user responsibility and job function.</p>
<p>25. Who will have access to PII data?</p>	<p>Only authorized individuals will have access to the information based on need-to-know and the principle of least privilege. Furthermore, the appropriate local, and state and federal agencies may have access to certain records maintained in the ProRad to ensure Departmental compliance with other regulatory requirements.</p>
<p>26. How is access to PII data determined?</p>	<p>The system owner determines who has access. Access to data is on a need-to-know basis in accordance with the job roles and responsibilities of individuals.</p>
<p>27. Do other information systems share data or have access to the data in the system? If yes, explain.</p>	<p>Yes. The ProRad receives data from the Site Personnel Roster (SPR) (e.g., name, social security number, company and organization codes, etc.) ProRad receives radiological qualification data from the Training Records and Information Network (TRAIN.) ProRad receives individual organization assignment from the site's Organizational Breakdown Structure (OBS.) ProRad shares data with the Health Physics Data Warehouse. The warehouse is used to generate occupational radiation exposure reports for site workers. Access to the database is restricted to authorized users with a need to know.</p>



MODULE II – PII SYSTEMS & PROJECTS

28. For connecting information systems, is there an Interconnection Security Agreement (ISA) or other agreement between System Owners to ensure the privacy of individuals is protected?

N/A

29. Who is responsible for ensuring the authorized use of personal information?

The system owner for other systems to have access to data within the ProRad application must grant permission. Once provided, it is the responsibility of the other system owners to protect the data provided by ProRad.

END OF MODULE II