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# **PROSPECTS AND CHALLENGES OF NICKEL-RICH LAYERED OXIDE CATHODES**

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Materials Science and Engineering Program  
The University of Texas at Austin**

**June 10, 2015**

**Project ID #: ES259**

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# OVERVIEW

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## Timeline

- Project start date: January 2014
- Project end date: September 2015
- 60 % complete

## Budget

- Total project funding
  - DOE share: \$708K
  - Contractor: \$176K
- Funding for FY14
  - \$354K
- Funding for FY15
  - \$354K

## Barriers

- Barriers
  - Energy and power densities
  - Cost
  - Cycle life
- Targets
  - High capacity, long-life Nickel-rich layered oxide cathodes

## Partners

- Pennsylvania State University
- Argonne National Lab
- Lawrence Berkeley National Lab
- EC Power

## Project Objectives

- To develop high-performance nickel-rich layered oxide cathodes for lithium-ion batteries and a fundamental understanding of their structure-morphology-composition-performance relationships
  - To develop high energy density, long cycle life, high tap density nickel-rich layered  $\text{Li}[\text{Ni}_{1-y-z}\text{Co}_y\text{Mn}_z]\text{O}_2$  cathodes with  $\text{Ni} > 0.6$  that offer a discharge capacity of  $> 200 \text{ mA h g}^{-1}$  with long cycle life
  - To develop concentration-gradient and surface-modified nickel-rich layered oxide cathodes to overcome the limitations of poor cyclability on operating at higher voltages
  - To develop a fundamental understanding of the factors that control the electrochemical performance of nickel-rich layered oxide cathodes

# MILESTONES

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Month/Year	Milestone
March 2014	Synthesis and characterization of constant-concentration $\text{Li}[\text{Ni}_{0.7}\text{Co}_{0.15}\text{Mn}_{0.15}]\text{O}_2$ as well as their electrochemical performance (Complete)
June 2014	Demonstrate that $\text{Al}_2\text{O}_3$ coating shows improvement on the sample electrochemical performance in terms of both the voltage and capacity fading (Complete)
September 2014	Synthesis and characterization of concentration-gradient $\text{Li}[\text{Ni}_{1-y-z}\text{Co}_y\text{Mn}_z]\text{O}_2$ with considerably enhanced electrochemical performance (Complete)
December 2014	Systematic investigation of the effects of Mn content in $\text{LiNi}_{0.8-x}\text{Co}_{0.10}\text{Mn}_{0.1+x}\text{O}_2$ on the structure, morphology, electrochemical performance, and thermal stability (Complete)

# APPROACH / STRATEGY

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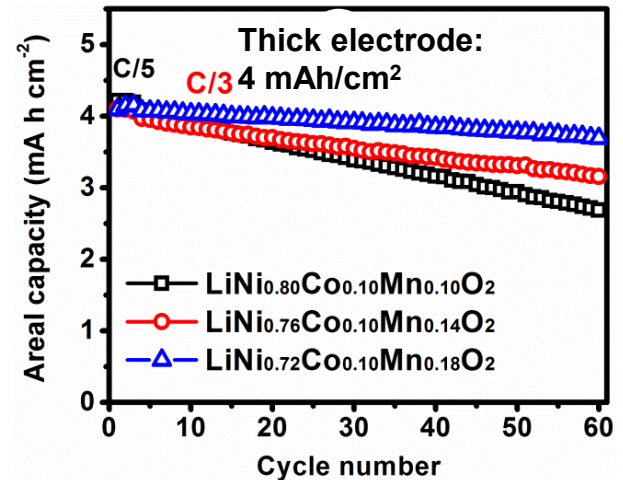
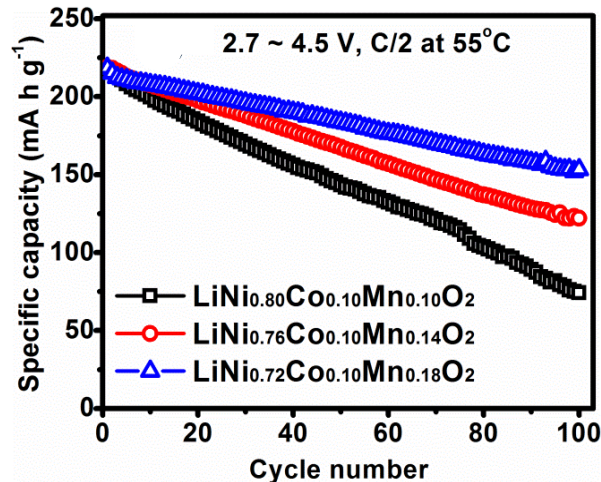
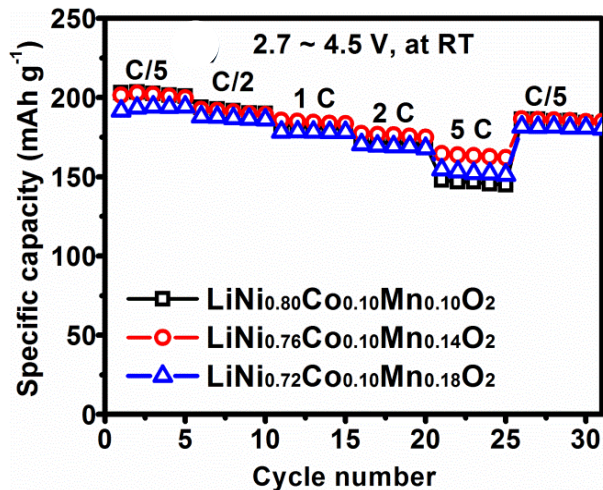
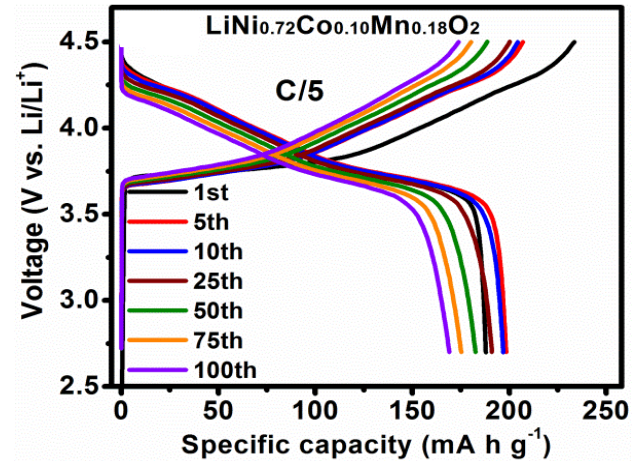
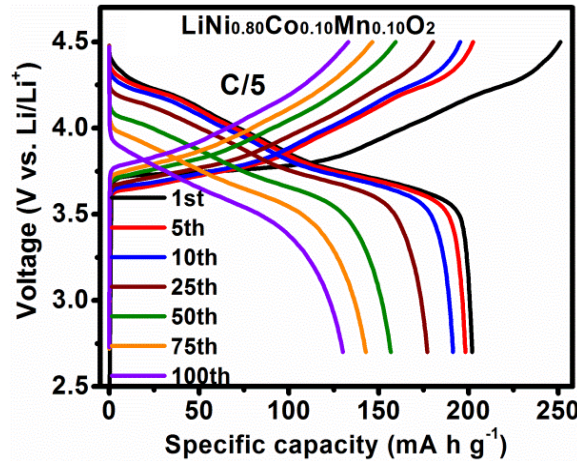
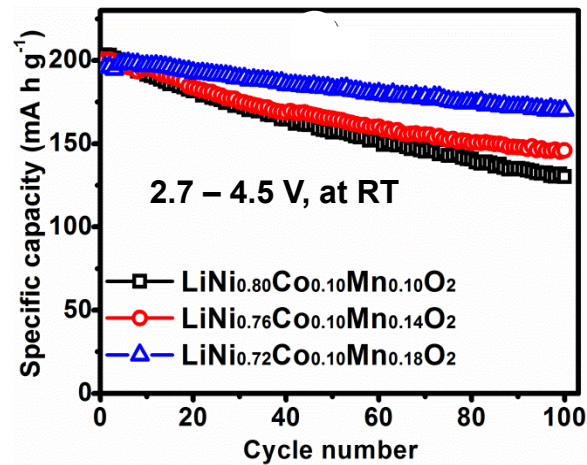
- Develop a firm understanding of the factors controlling the electrochemical performances of nickel-rich layered oxide cathodes and utilize the understanding to develop high-performance cathodes for vehicle batteries
  - Novel low-cost, large-scale synthesis approaches using a continuously-stirred tank reactor to obtain high-performance nickel-rich layered  $\text{Li}[\text{Ni}_{1-y-z}\text{Co}_y\text{Mn}_z]\text{O}_2$  cathodes that offer high capacity, high tap density, and long cycle life
  - Precise control of the primary and secondary particle size of the nickel-rich layered oxides and their influence on the electrochemical properties
  - Concentration-gradient nickel-rich layered  $\text{Li}[\text{Ni}_{1-y-z}\text{Co}_y\text{Mn}_z]\text{O}_2$  cathodes with varying Ni and Mn contents from the interior to the exterior as well as surface coating with  $\text{Al}_2\text{O}_3$  to enhance the long-term cycling stability
  - Advanced chemical, structural, and surface characterizations
  - In-depth electrochemical characterization and evaluation
  - Understanding the structure-morphology-property-performance relationships

# TECHNICAL ACCOMPLISHMENTS AND PROGRESS

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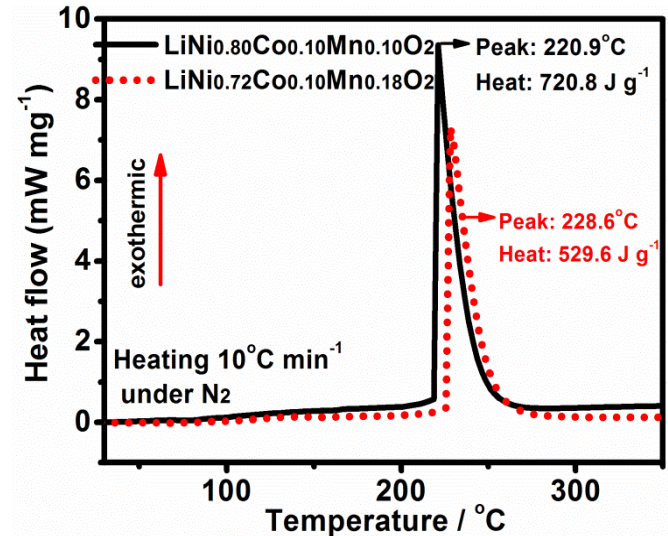
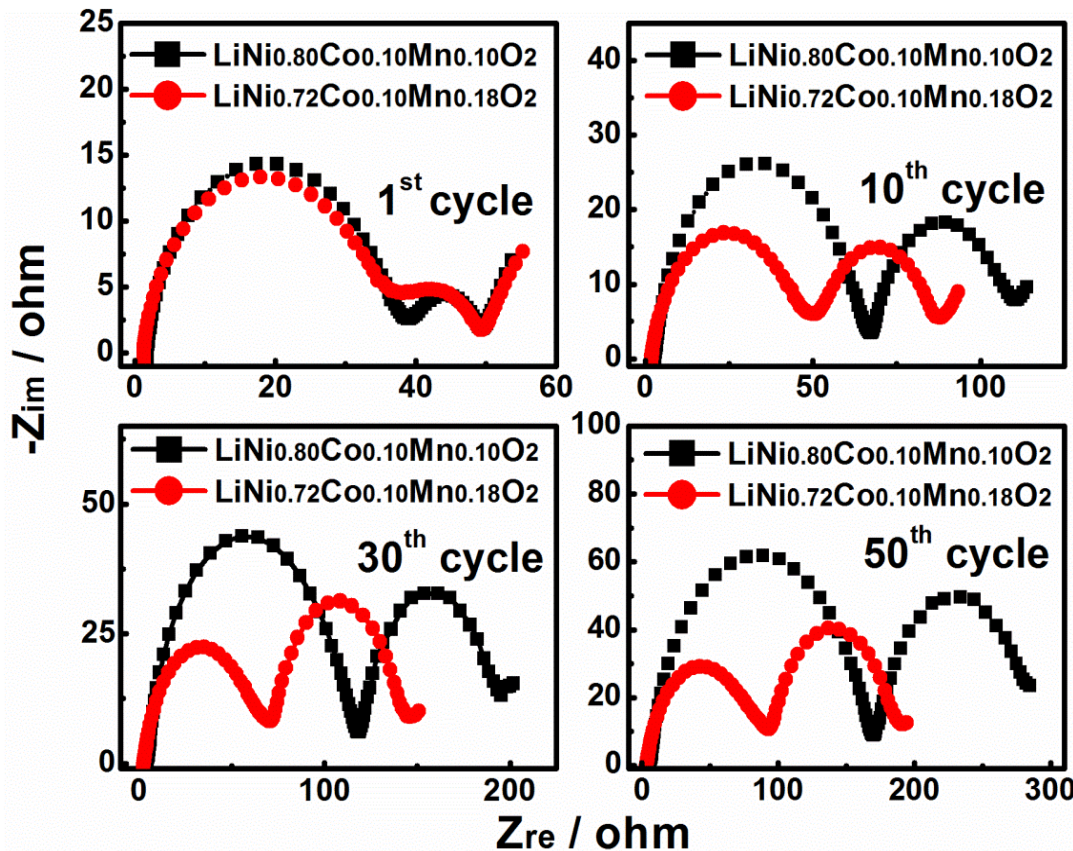
- The synthesis conditions to obtain Ni-rich layered oxide cathodes with different particle sizes have been optimized with a controlled continuously-stirred tank reactor (500 g/batch)
- The effects of Mn substitution for Ni on the structure, morphology, and electrochemical performance of  $\text{LiNi}_{0.8-x}\text{Co}_{0.10}\text{Mn}_{0.1+x}\text{O}_2$  cathodes have been investigated systematically
- With the optimized synthetic conditions, the Ni-rich  $\text{LiNi}_{0.7}\text{Co}_{0.15}\text{Mn}_{0.15}\text{O}_2$  cathode with a particle size of  $\sim 13\ \mu\text{m}$  offers a capacity of around 200 mA h g<sup>-1</sup> with good cyclability
- A concentration-gradient  $\text{LiNi}_{0.76}\text{Co}_{0.10}\text{Mn}_{0.14}\text{O}_2$  cathode with a Ni-rich interior and a Mn-rich surface shows superior cyclability compared to the constant-concentration sample
- The capacity and voltage fading during cycling are suppressed by coating the Ni-rich oxides with  $\text{Al}_2\text{O}_3$

# EFFECT OF Mn CONTENT IN Ni-RICH $\text{LiNi}_{0.8-x}\text{Co}_{0.1}\text{Mn}_{0.1+x}\text{O}_2$



- The polarization loss (voltage drop) during cycling decreases and capacity retention increases with increasing Mn content

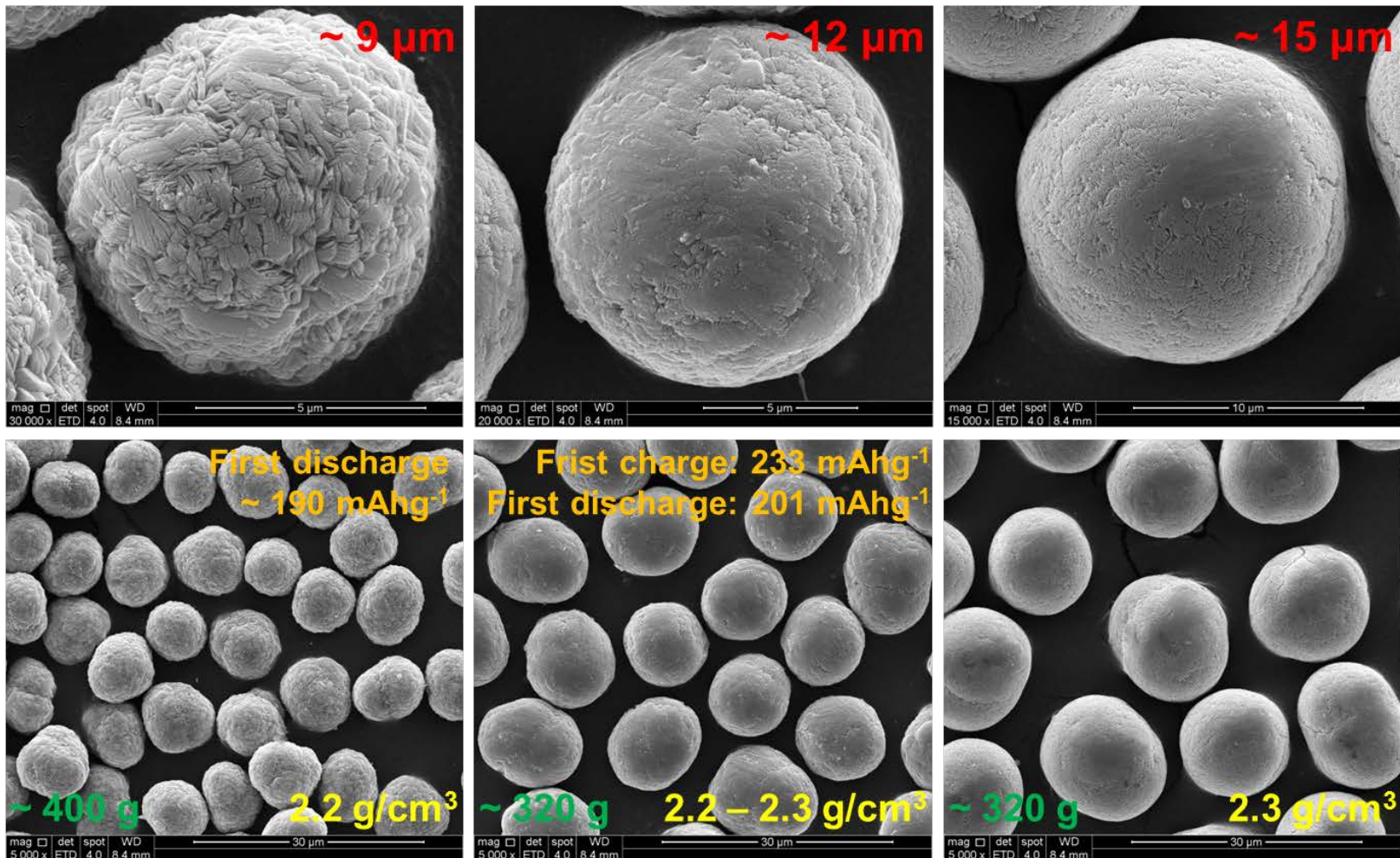
# EFFECT OF Mn CONTENT IN Ni-RICH $\text{LiNi}_{0.8-x}\text{Co}_{0.1}\text{Mn}_{0.1+x}\text{O}_2$



➤ The exothermic reaction temperature increases and the heat generated decreases with increasing Mn content

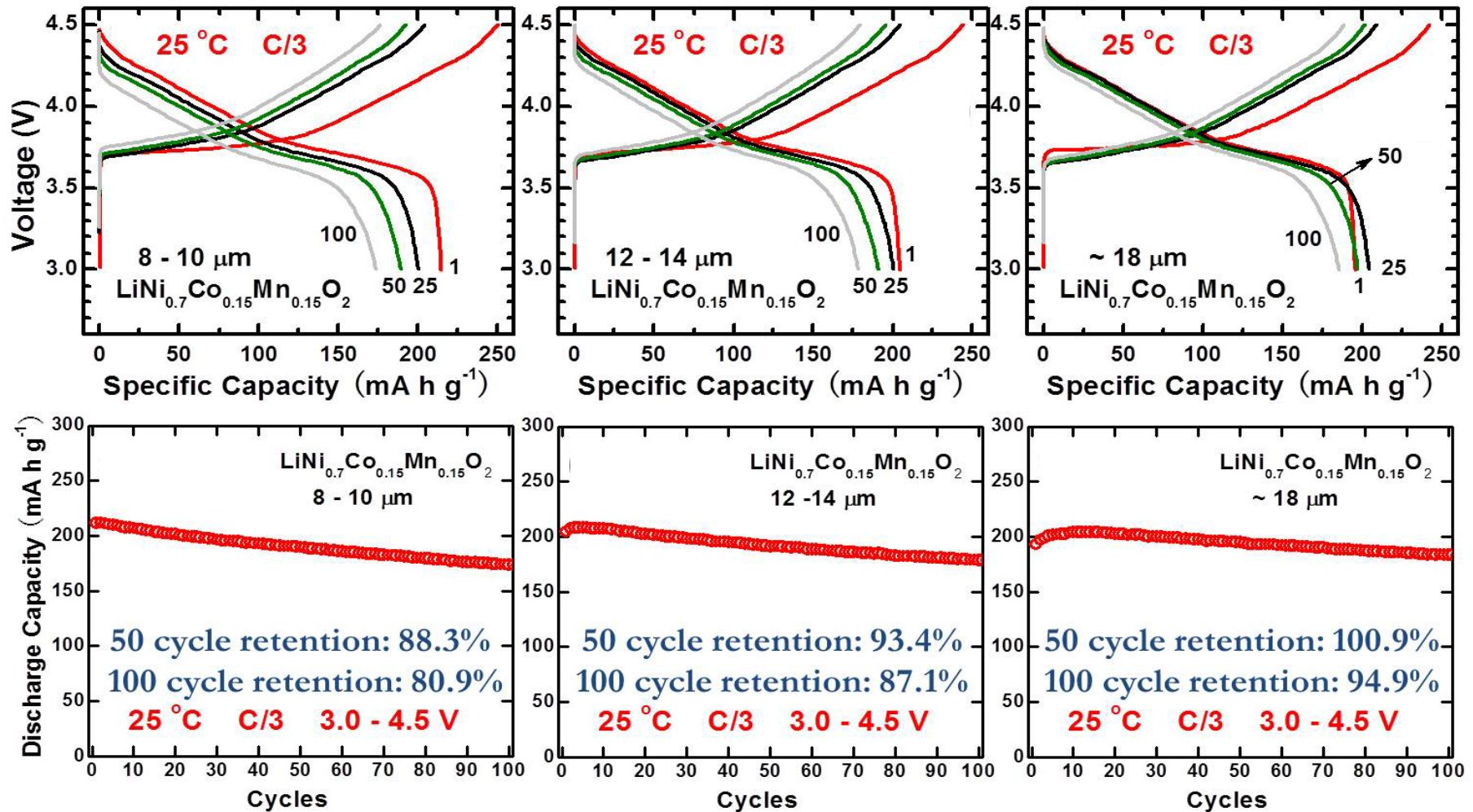
- Only a small difference is observed in the impedance values at early stages of cycling, exhibiting similar surface film resistance and charge-transfer resistance
- Upon cycling, the increase in surface-film resistance and the charge-transfer resistance is smaller for the high Mn-content cathode than for the low-Mn-content cathode

# SCALED-UP HYDROXIDE PRECURSORS FOR NI-RICH OXIDES



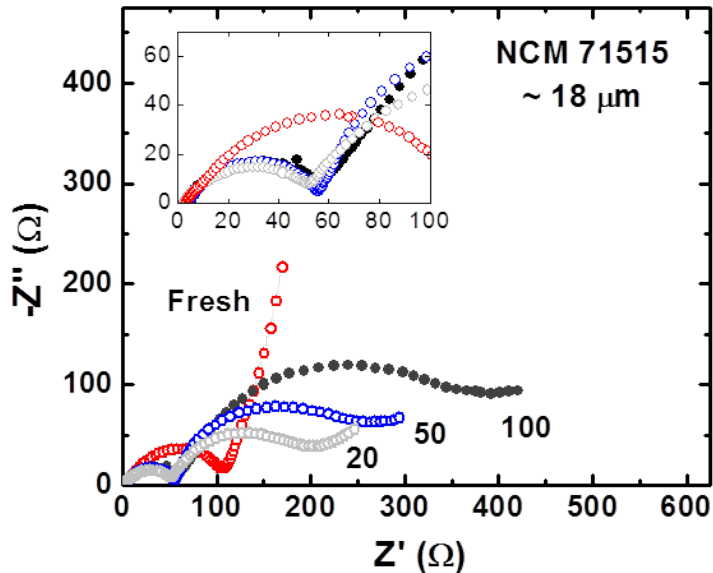
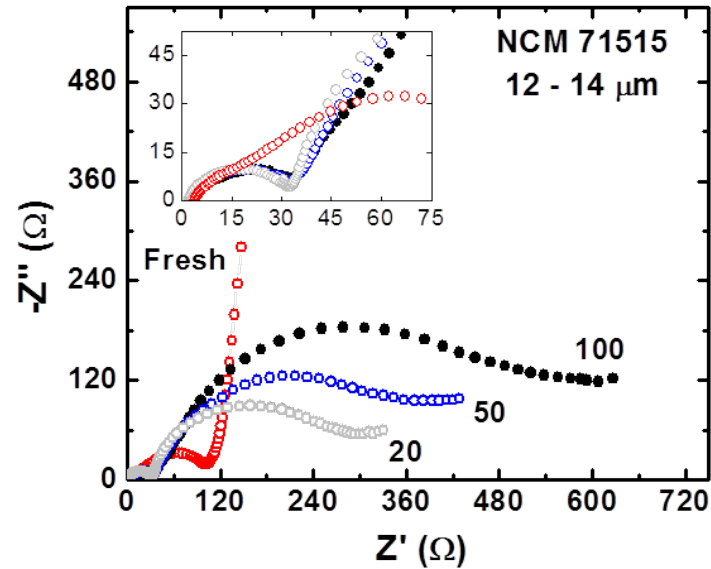
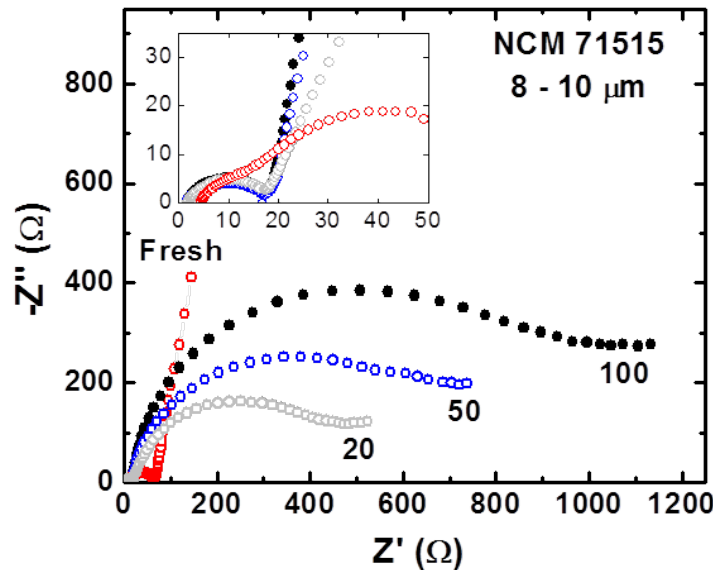
**$\text{Li}[\text{Ni}_{0.7}\text{Co}_{0.15}\text{Mn}_{0.15}]\text{O}_2$  – Product yield per batch: up to 500 – 1000 g**

# EFFECT OF PARTICLE SIZE IN Ni-RICH LAYERED OXIDES



- The polarization loss (voltage drop) during cycling decreases and the capacity retention increases with increasing particle size

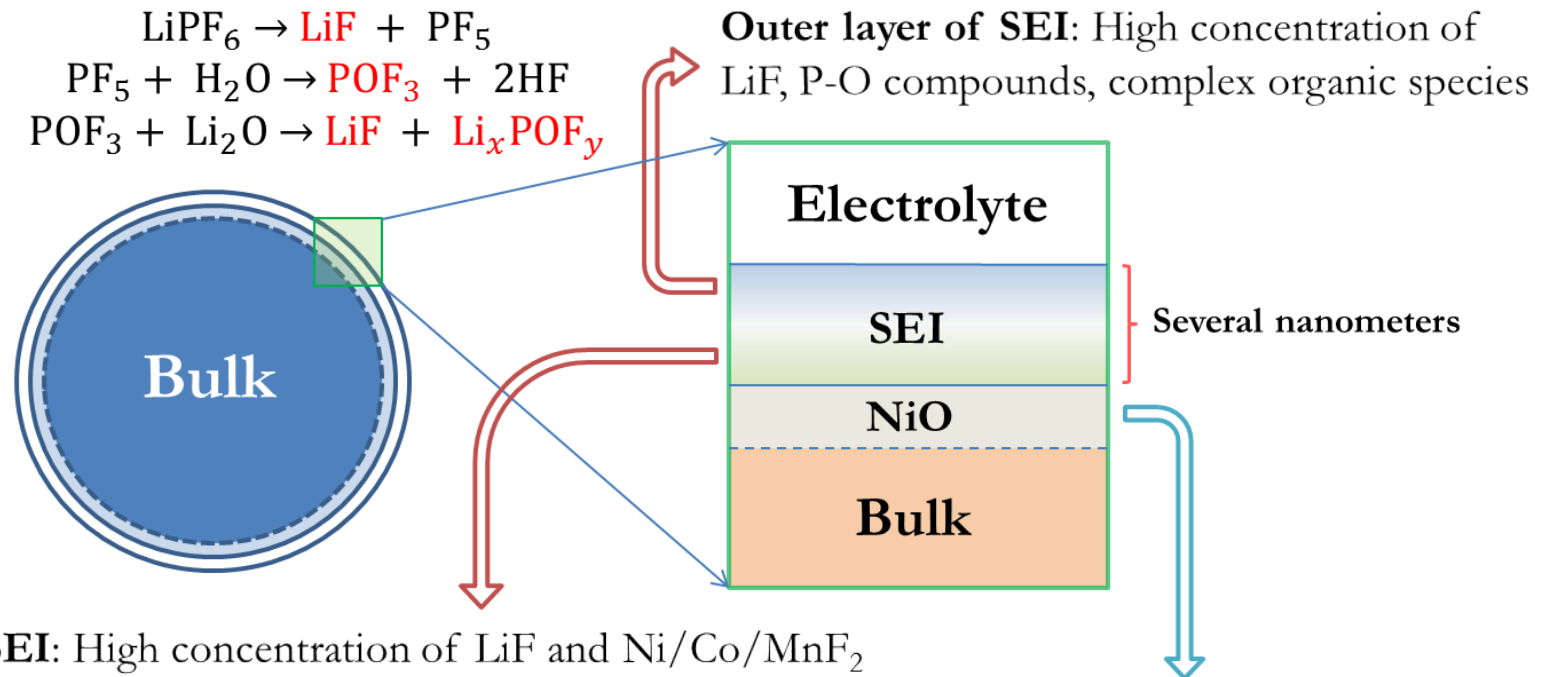
# EFFECT OF PARTICLE SIZE ON IMPEDANCE EVOLUTION



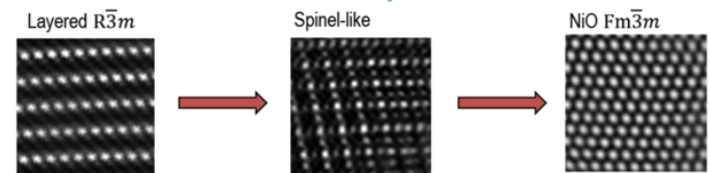
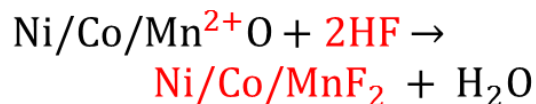
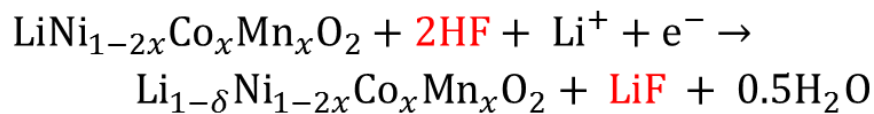
- Overall cathode impedance decreases with increasing secondary particle size
- Charge-transfer resistance is the chief contributor to overall cathode impedance
- Charge-transfer resistance decreases with increasing secondary particle size
- Surface film resistance increases with increasing secondary particle size

# SURFACE DEGRADATION OF NI-RICH LAYERED OXIDES

- Due to electrolyte decomposition and electrode-electrolyte reactivity, the SEI layer is inhomogeneous in chemical composition as a function of depth

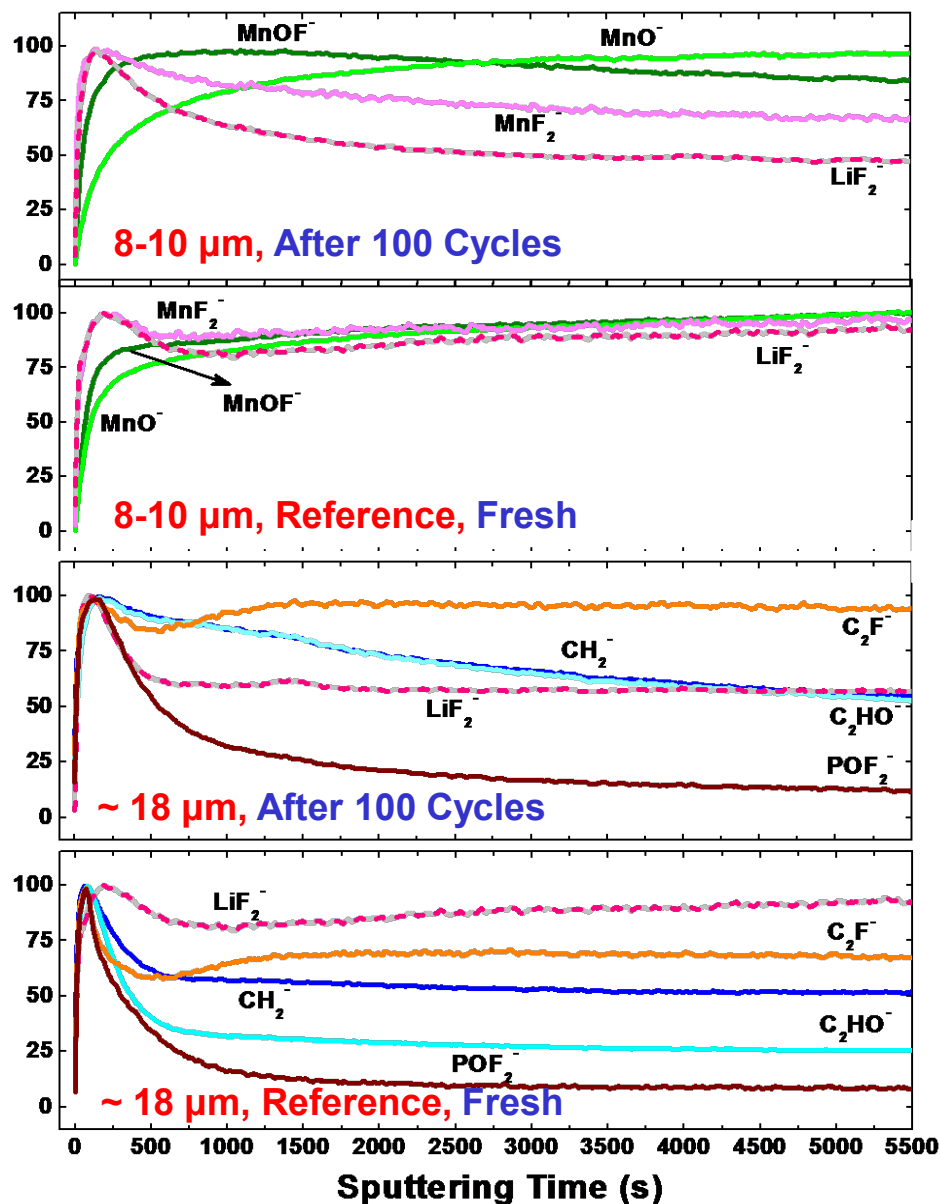


**Inner layer of SEI:** High concentration of LiF and Ni/Co/MnF<sub>2</sub>



*Adv. Energy Mater.* 2014, **4**, 1400631

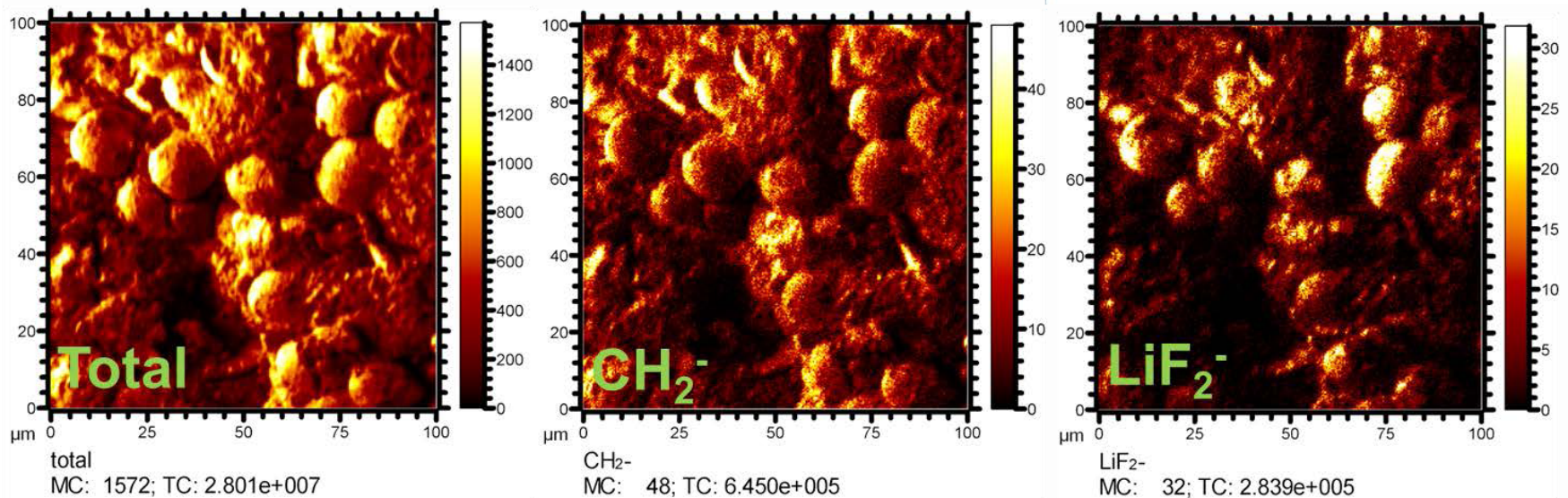
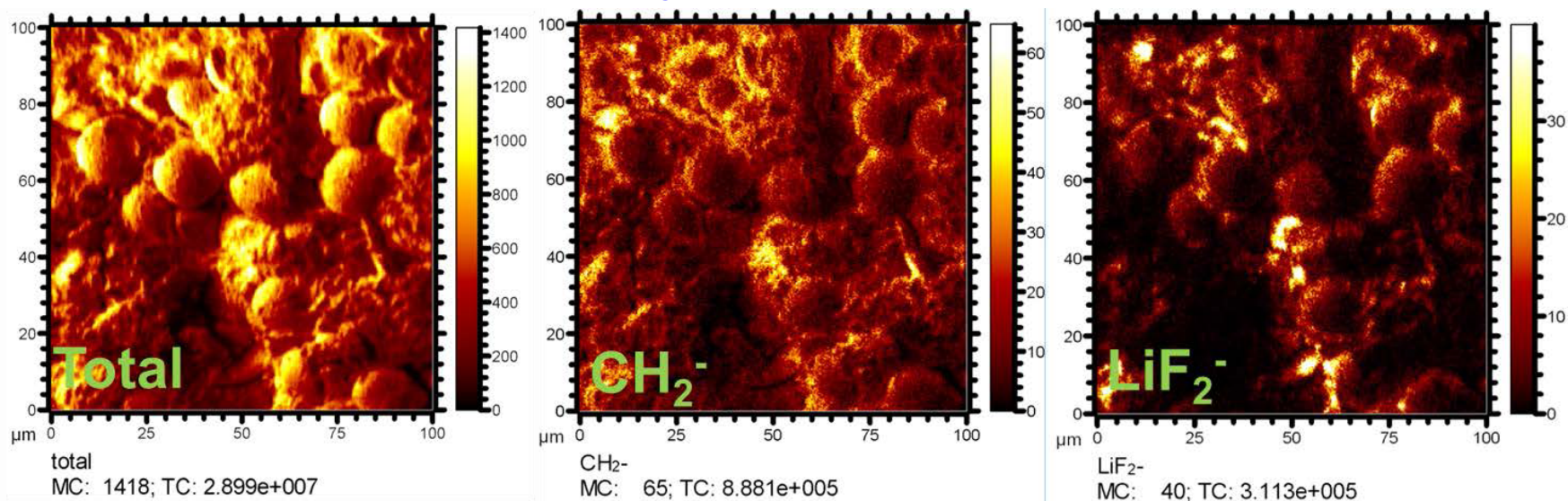
# SURFACE DEGRADATION: TOF-SIMS DEPTH PROFILE



- Even with the fresh sample, small amount of  $\text{MnF}_2$  is found on the surface
- An inner layer of SEI (due to HF attack of the active material leading to transition-metal fluorides as well as LiF) is seen with cycled sample
- Even though sample transfer was handled in a glovebox without exposing to air, small surface contamination is still found
- An outer layer of SEI (comprised of complex organic species as well decomposition products from electrolyte salt) is found with the cycled sample

# SURFACE DEGRADATION: TOF-SIMS HIGH RESOLUTION MAPPING

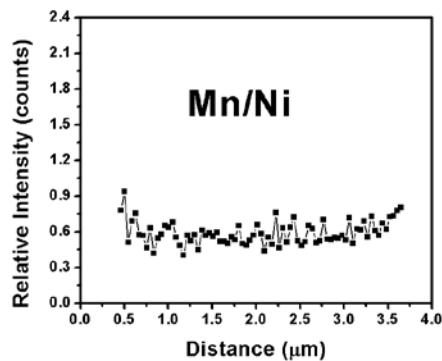
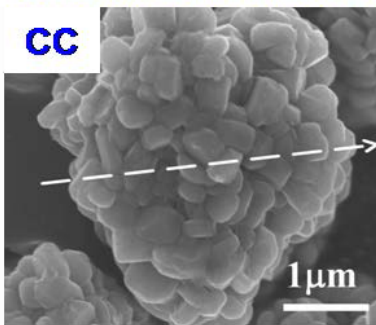
~18  $\mu\text{m}$  cycled, 3600s sputtered



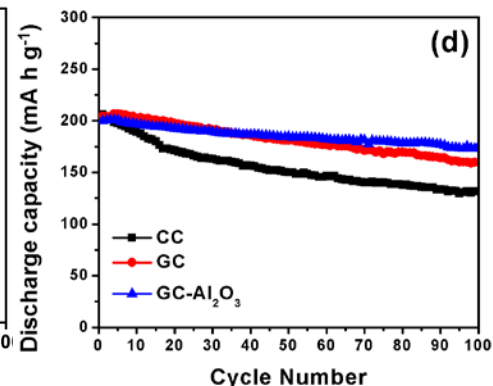
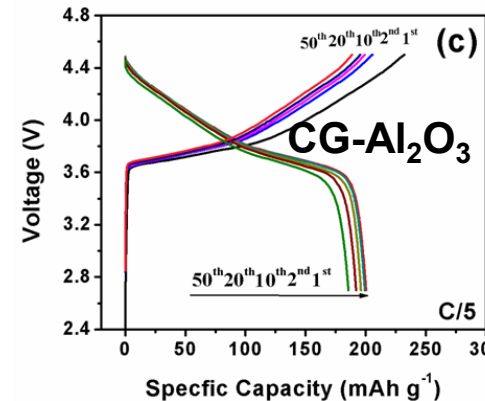
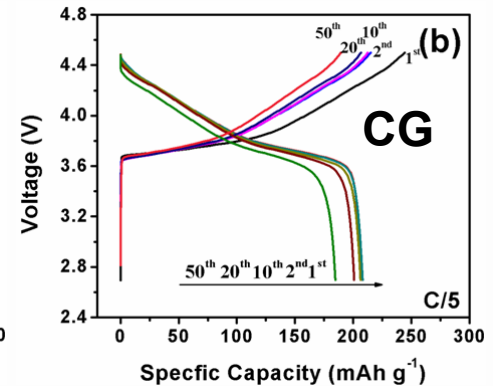
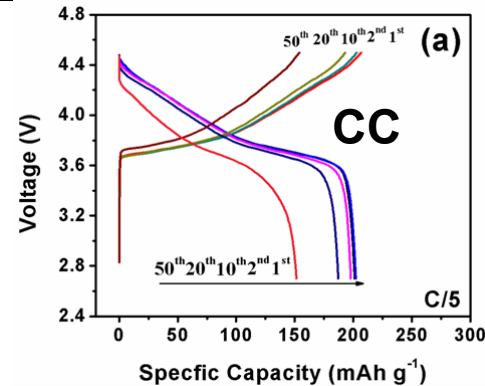
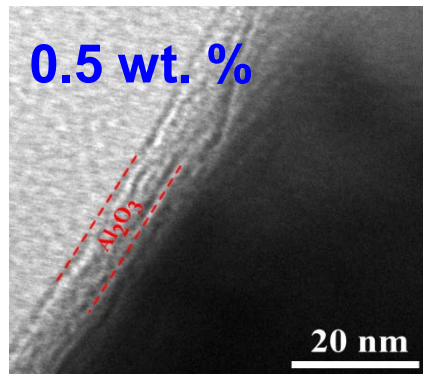
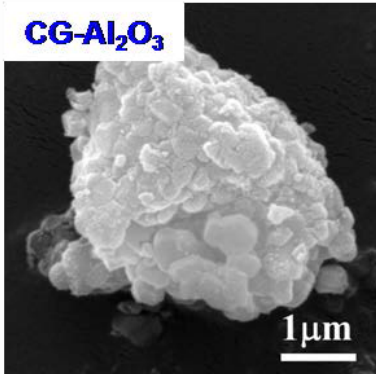
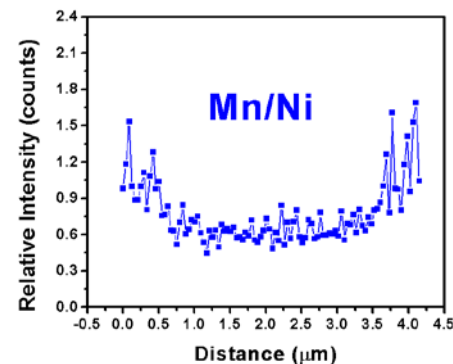
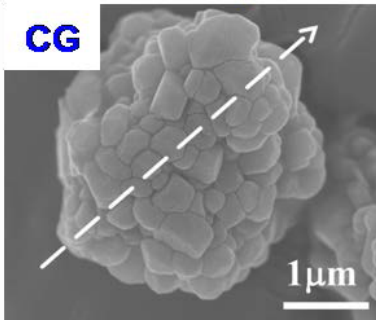
~18  $\mu\text{m}$  cycled, 100s sputtered

# Al<sub>2</sub>O<sub>3</sub>-COATED CONCENTRATION-GRADIENT CATHODES

Constant-concentration



Concentration-gradient



- Gradual decrease in Ni and increase in Mn contents from interior to surface improves performance
- Surface coating with Al<sub>2</sub>O<sub>3</sub> gives further improvement in performance



# FY 2014 REVIEWERS' COMMENTS AND RESPONSES

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**Comment:** “it was not very clear if the authors were using a two layer powder, as a cathode powder, with two clear compositions, or a gradient powder”

**Response:** It is a gradient powder with the composition continuously varying from the interior to the exterior.

**Comment:** “The scale up of the anode and cathode materials should be better clarified.”

**Response:** We can scale up our cathode materials up to 1000 g in each batch.

**Comment:** “integrated performance demonstration was less likely”; “the materials capability had been base-lined, but it was not clear that an integrated baseline cell structure had been developed and characterized”

**Response:** We have sent our cathode electrodes to our partners and more integrated performance data are being collected now.

**Comment:** “the progress with cathode did not seem to be as good”

**Response:** With the optimized synthesis conditions, we have scaled up the cathode materials to 1 kg per batch, and the new batch of gradient materials show much improved performance in terms of both capacity and cyclability.

# COLLABORATION AND COORDINATION WITH OTHER INSTITUTIONS

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- Argonne National Laboratory – Zhengcheng Zhang
  - *Providing us optimized electrolyte compositions to test with Ni-rich cathodes*
  - *Testing our Ni-rich cathodes with optimized high-voltage electrolytes*
- Pennsylvania State University – Donghai Wang
  - *Monthly project discussion to couple the Ni-rich cathodes with other cell components being developed (electrolyte, Si anode, and binder)*
- Lawrence Berkeley National Laboratory – Gao Liu
  - *Monthly project discussion to couple the Ni-rich cathodes with other cell components being developed (electrolyte, Si anode, and binder)*
- EC Power – Rong Kou
  - *Monthly project discussion to couple the Ni-rich cathodes with other cell components to make full cells*

# REMAINING CHALLENGES AND BARRIERS

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- Our project goal is to achieve a capacity of  $220 \text{ mA h g}^{-1}$ , and the compositions with Ni, Mn, and Co and the synthesis conditions need to be further optimized to reach this goal by considering the delicate balance/tradeoff among the various factors/parameters
- Capacity and voltage fading have been suppressed, but need to be completely eliminated
- The side reactions involving the formation of a thick solid-electrolyte interphase (SEI) layer, Li/O vacancies due to cation migration, and a surface reconstruction layer (SRL) consisting of spinel-like and/or NiO rock-salt phases are very complicated and need to be completely understood
- Both interfacial reactions and phase transitions need to be considered to realize stable cycling without voltage degradation
- The surface stability of Ni-rich cathodes needs to be optimized

# PROPOSED FUTURE WORK

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- Evaluate long-term cycle performance ( > 500 cycles)
- Evaluate performance at elevated temperatures (55 °C)
- Make synthesis efforts to increase the capacity to 220 mA h g<sup>-1</sup>
  - Optimize the preparation conditions of Ni-rich materials by adjusting the co-precipitation process, amount of Li in the firing process, and sintering temperature to reduce the side reactions of cathode surface with electrolyte and maximize the electrochemical performance
  - Optimize the primary and secondary particle size, morphology, and chemical compositions of Ni-rich materials (baseline and concentration-gradient samples)
  - Understand the stabilization mechanisms of concentration-gradient materials
  - Coat the baseline and concentration-gradient samples with other agents like Li<sub>2</sub>MnO<sub>3</sub>, Li<sub>2</sub>ZrO<sub>3</sub>, and AlF<sub>3</sub> to improve the electrochemical performance
  - Develop an in-depth understanding of the degradation mechanisms of Ni-rich layered oxides with surface techniques (TOF-SIMS, XPS) and TEM

# SUMMARY

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- A systematic investigation of the effects of Mn content in  $\text{LiNi}_{0.8-x}\text{Co}_{0.10}\text{Mn}_{0.1+x}\text{O}_2$  on the structure, morphology, electrochemical performance, and the thermal stability reveals that the cycle life increases with increasing Mn content
- With the optimized synthesis conditions, the Ni-rich  $\text{LiNi}_{0.7}\text{Co}_{0.15}\text{Mn}_{0.15}\text{O}_2$  cathode materials with different secondary particle sizes have been obtained and their surface degradation and SEI layer formation have been investigated with TOF-SIMS analysis
- Concentration-gradient  $\text{LiNi}_{0.62}\text{Co}_{0.14}\text{Mn}_{0.24}\text{O}_2$  and  $\text{LiNi}_{0.76}\text{Co}_{0.10}\text{Mn}_{0.14}\text{O}_2$  cathodes with Ni-rich interior and Mn-rich surface have been synthesized, and they are found to exhibit superior cyclability compared to the constant-concentration samples
- Surface modification of the constant-concentration and concentration-gradient Ni-rich cathodes with  $\text{Al}_2\text{O}_3$  enhances further the cycle life