*Design of High Performance, High Energy Cathode Materials

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Overview

2

Timeline

- Project start date 10/1/2011
- Project end date 9/30/2015
- Percent complete 60%

Budget

- Total project funding
 - DOE share \$475/yr (\$1900k total)
- Funding received in FY13
- \$475k
- Funding for FY14 \$475k

Barriers

- Barriers addressed
 - o Energy Density
 - o Cost
 - o Cycle Life

Partners

- Interactions/ collaborations
 - LBNL, SSRL, UCB
- Project lead= LBNL

Relevance/Objectives

3

Objectives

- Develop high energy, high performance cathode materials including composites and coated powders: emphasis on modified high capacity NMCs
- Optimize low-cost spray pyrolysis methods for preparation of materials
- o Understand effect of Ti-substitution on high capacity NMCs

Relevance

- Improving NMCs to increase capacity by cycling to higher voltages is the fastest route to the higher energy density batteries needed for vehicle applications
 - Ti-substituted NMCs show improved practical capacity (up to 225 mAh/g) and better high voltage cycling than baseline materials
 - Ti-substituted NMCs are structurally stable and do not exhibit voltage fade like layered-layered composites
- Spray pyrolysis is an attractive, one-step method for preparation of spherical particles (good for processing). Coated and composite materials can be made in either one or two steps
- Higher energy, simpler processing= lower costs per kWh

* Milestones

- Complete optimization of Ti-NMC synthesis with TiOSO₄ precursor (Dec-13)
 - Discontinued. This effort has been transferred to the ABR program
- <u>Go/No-Go</u> decision on infiltration of LiFePO₄ into LNMO (Mar.-14).
 - <u>Criteria</u>: A "no go" decision will be made if attempts to prevent reaction of LiFePO4 with LNMO during processing fail (Mar-14)
 - No go decision made. Effort will be redirected towards composites with spray pyrolyzed NMCs.
- Complete soft XAS experiments on Ti-NMCs (Jun-14).
 - Completed ahead of schedule
 - Paper published in Nature Communications
- <u>Go/No-Go</u> decision on spray pyrolysis of NMCs (Sept.-14)
 - <u>Criteria</u>: A "no go" decision will be made if the electrochemical performance of the spraypyrolyzed material does not equal that of the material made by co-precipitation.
 - Spray pyrolyzed materials appear to have superior performance
 - On schedule to make a "go" decision

Approach/Strategy

5

* Use soft XAS and other synchrotron techniques to understand effects of high voltage cycling on NMCs and Ti-substituted NMCs

- * Goal is higher capacity without sacrificing performance
- * What is origin of improved performance in Ti-substituted samples?
- Paper published in Nature Communications
- * Synthesize NMCs by spray pyrolysis techniques
 - * Simple one-step process, potentially very low cost
 - Good control of morphologies (uniform spherical particles)
 - Can make solid, porous, or hollow particles by controlling residence time
 - * Can make composites with hollow particles (fill with a second phase by infiltration)
 - * Can make coated materials in one step by exploiting different melting points of precursors
 - * Demonstrated that hollow NMC particles made by spray pyrolysis have superior performance to those made by co-precipitation

6



Kinson C. Kam, Apurva Mehta, John T. Heron, and Marca M. Doeff, **J. Electrochem. Soc.** <u>159</u>, A1383 (2012).

Kinson Kam and Marca M. Doeff, **J. Mater. Chem.**, <u>21</u>, 9991 (2011).

Previous work (reported in 2013)



Background

- Partial Ti-substitution of NMCs increases practical capacities
- 1st cycle inefficiencies are decreased
- Improved cycling to high voltages is observed Impetus for FY14 work
- Understand source of 1st cycle inefficiency
- Surface effect? Use depthresolved soft XAS to investigate
- How does Ti-substitution improve performance?



- Spatially resolved (Å / EM)
- Ensemble-averaged (mm² / XAS)
- Surface sensitive probing (nm / EM, XPS and XAS/AEY-TEY)
- o Correlation between crystal/electronic structures and battery performance



(a) SEM image. (b) High-resolution Z-contrast ADF/STEM image. (c) Atomic resolution Z-contrast ADF/STEM image along the [100] zone axis, with the R3m 3a and 3b sites indicated in the image. (d) XRD pattern with Rietveld refinement (e) XAS spectra of Ni L-edge (i), Mn L-edge (ii) and Co L-edge using Auger electron yield (blue), total electron yield (red) and fluorescence yield (green) modes. (f and g) EELS spectra integrated from areas (i), (ii), (iii) and (iv).







• Stable cycling to 4.3V with little change in impedance

- Capacity loss upon cycling to 4.7V with increased impedance
- Exposure to electrolyte (no electrochemistry) causes an increase in impedance (7 days total time)
- Similar results seen for undoped materials

Feng Lin, Isaac Markus, Dennis Nordlund, Tsu-Chien Weng, Mark Asta, Huolin L. Xin, and Marca M. Doeff, **Nature Commun.** <u>5:3529</u>, DOI: 10.1038/ncomms4529 (2014).

Surface Reconstruction and Chemical Evolution After Cycling (2.0-4.7V)



Surface transition metals are in the reduced state after cycling to high voltages

(a) Mn L-edge XAS/TEY spectra and (b) Co L-edge XAS/TEY spectra after the designated number of cycles. (c) Mn L-edge XAS spectra and (d) Co L-edge XAS spectra for an electrode after 2 charge-discharge cycles in the AEY (blue), TEY (red) and FY (green) modes. (e) EELS line scan profile for an NMC particle along the <001> direction after 5 cycles: (i) STEM image for the scanning pathway, (ii) Mn L-edge EELS spectra along the scanning pathway, (iii) 2D EELS map visualizing the peak shift, and (iv) concentration profiles for Mn²⁺ and Mn⁴⁺ obtained from the data using a linear combination method. All the measurements were performed on electrodes in the fully discharged state.

Atomic Resolution ADF-STEM Images of Particles



(a) After 30 hours electrolyte exposure (b) After 1 cycle (2.0-4.7 V) (c and d) FFT results showing the surface reconstruction layer (Fm3m [110] zone axis) and the NMC layered structure ($R\overline{3}m$ [100] zone axis) respectively in (b). (e) Showing variation of the surface reconstruction layer thickness on orientation after 1 cycle (2.0-4.7 V). (f) Image showing loose atomic layers on an NMC particle, after 1 cycle (2.0-4.7 V).

Dependence of Surface Reduced Layer on Voltages and Electrolyte Exposure



(a) Mn L-edge XAS/TEY spectra and (b) Co L-edge XAS/TEY spectra of pristine and cycled electrodes (20 cycles). (c) Mn Ledge XAS/TEY/ FY spectra and (d) Co L-edge XAS/TEY/FY spectra of a pristine electrode and one exposed to electrolytic solution for 7 days. The blue dashed arrows in (a-d) indicate the increase of transition metals having low oxidation states. (e) Schematic model of a NMC particle with a surface reduced layer and a surface reaction layer (SRL).



• Rock-salt structure (Fm $\overline{3}$ m): TM²⁺ (electrochemically inactive for cathode)

• Charged layered structure (R3m): Charged Ni^{3+/4+}, Mn⁴⁺, Co³⁺



Surface Reconstruction is Crystal Orientation Dependent





- Uniform hollow spheres
 ~ 10 µm made up of
 nanoparticles
- Hierarchical structuring results in good electrochemical performance
- Longer residence times should result in solid particles
- Hollow particles useful for making composites via infiltration

NMC442 made by spray pyrolysis

* Responses to Previous Year Reviewers' Comments

- * Several reviewers suggested coordinating Ti-NMC work with groups working on high voltage electrolytes
- * One reviewer asked for more cycling data
- **Response:** The practical Ti-NMC work has been transferred to an ABR project with Farasis, Inc., which includes a high voltage electrolyte developer (DuPont). Materials will be tested in full cell configurations at different rates and cycled more extensively, using specially developed electrolytes. Work on Ti-NMCs under BATT is now solely focused on diagnostics directed towards understanding high voltage performance.
- * Two reviewers expressed concern that Ti-substitution in NMCs was not a new concept; one suggested a literature and patent search.
- **Response:** There are examples in the literature where Ti⁴⁺ has been substituted for Mn⁴⁺ (**isovalent** substitution) in NMCs. Note that our work involves substitution of Ti⁴⁺ for Co³⁺ (**aliovalent** substitution), which has not been reported in the literature until now. **Isovalent** substitution of Ti⁴⁺ degrades performance, while **aliovalent** substitution improves performance.

* Collaboration and Coordination with Other Institutions

- * Soft XAS experiments performed at Stanford Synchrotron Radiation Lightsource (SSRL) with Dr. Dennis Nordlund and Dr. Tsu-Chien Weng. (outside VT program).
- * Synchrotron XRD and hard XAS experiments with Dr. Thomas Richardson (retired) and Dr. Guoying Chen of LBNL (inside VT program) and Dr. Apurva Mehta of SSRL (outside VT program)
- * Synchrotron XPS experiments underway with Dr. Phil Ross (retired) and Dr. Ethan Crumlin of LBNL (outside VT program)
- * TEM experiments with Dr. Huolin Xin, previously at LBNL, now at Brookhaven National Lab. (outside VT program)
- * Computational work underway with Professor Mark Asta, Materials Sciences and Engineering Department, U. C. Berkeley (outside VT program)
- * Cathode synthesis work with Professor T. Rojo of the University of the Basque Country and CICenergigune, Spain (outside VT program)
- * Cathode synthesis work and synchrotron experiments with Dr. Jordi Cabana, previously at LBNL, now at the U. of Illinois at Chicago (previously in VT program, now in JCESR)

* Remaining Challenges and Barriers

- * Achieving higher capacity in NMCs probably requires cycling to higher voltages. Surface rock salt formation is accelerated under these conditions, causing losses upon cycling.
 - * Ti substitution ameliorates this to some extent, but it still occurs
 - * Can we continue to improve materials, either by substitution or by other means?
 - * An encouraging example is high voltage LiCoO₂
 - * This is worth trying-probably quickest route to higher energy density
- * Spray pyrolysis synthesis of NMCs results in hollow spheres, not good for energy density
 - * Can we make solid particles by lengthening residence time?
 - * Can we make dense composite materials by infiltrating hollow particles?

Proposed Future Work

- * Note that synthesis and full cell characterization (including extended cycling) of Ti-NMCs has been transferred to an ABR project with Farasis, Inc.
- Synchrotron X-ray Raman experiments will be carried out to improve understanding of rock salt formation in NMC materials (milestone planned)
- * Spray pyrolysis/infiltration will be used to make composite materials with hollow NMC spheres. Infiltrates may include LiNi_{0.5}Mn_{0.5}O₂, LiNi_{0.5}Mn_{1.5}O₄, and NMCs with higher Ni content (go/no go decisions on various combinations)
- * Coated particles will be made by spray pyrolysis and tested (coatings include Al₂O₃, ZnO, etc.) to improve high voltage performance. (go/no go decisions on processing)

Summary

- A rock salt structure is formed on NMC particle surfaces during cycling and upon electrolyte exposure.
- The thickness of the rock salt layer depends on particle surface facet, length of electrolyte exposure, and cycling regime
- The rock salt layer contains reduced Ni, Co, and Mn
- Capacity losses during high voltage cycling are due primarily to impedance rise (low rate discharge recoups most of the capacity)
- Ti-substitution may modify composition of rock salt layer, making it more conductive
- Spray pyrolysis is a simple one-step process for making NMCs with spherical particle morphologies and excellent electrochemical properties
- Hollow particles may be useful for preparation of composites, solid particles can be made by lengthening residence time.