

# Electrochemistry Cell Model

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**Project ID# ES031**

# Overview

## *Timeline*

- Start: October 2008
- Finish: September 2012
- Future: Will continue to support ABR programs
  - Voltage fade
  - Electrochemical couples
  - ABR facilities

## *Budget*

- Total project funding
  - 100% DOE
- FY2011: \$400K
- FY2012: \$400K

## *Barriers*

- Development of a safe cost-effective PHEV battery with a 40 mile all electric range that meets or exceeds all performance goals
  - Interpreting complex cell electrochemical phenomena
  - Identification of cell degradation mechanisms

## *Partners (Collaborators)*

- Daniel Abraham, Argonne
- Wenquan Lu, Argonne
- Andrew Jansen, Argonne
- Kevin Gering, INL

# Objectives, Milestones, and Approach

- The objective of this work is to correlate analytical diagnostic results with the electrochemical performance of advanced lithium-ion battery technologies for PHEV applications
  - Link experimental efforts through electrochemical modeling
  - Identify performance limitations and aging mechanisms
- Milestones for this year:
  - Complete conversion of existing models into gPROMS (Complete)
  - Initiate parameter estimation of LMR-NMC cathodes (Complete)
  - Complete SEI growth model (Delayed due to focus on cathode)
- Approach for electrochemical modeling activities is to build on earlier successful characterization and modeling studies in extending efforts to new PHEV technologies
  - Expand and improve database and modeling capabilities

# Major Accomplishments and Technical Progress

- Initiated model development and parameter refinement of LMR-NMC cathode materials,  $x\text{Li}_2\text{MnO}_3(1-x)\text{LiMO}_2$
- Initial fits of Toda HE5050 Cathode AC Impedance
- Simulation of most likely sources of impedance growth
- Measurement and early model development of
  - Hysteresis and path dependence in open-circuit voltage curve
  - Slow relaxation process observed in GITT studies
- Completed conversion of models to more advanced differential equation solver software (PSE gPROMS)

# Description of Electrochemical Model

- Phenomenological model developed for AC impedance and DC studies using same constituent equations and parameters
- Combines thermodynamic, kinetic, and interfacial effects with continuum based transport equations
- Complex active material / electrolyte interfacial structure
  - Film on active particles acts as an electrolyte layer with restricted diffusion and migration of lithium ions
  - Surface layer of active particle inhibits the diffusion of lithium into the bulk active material
  - Electrochemical reaction and double layer capacitance at film/layer interface
  - Particle contact resistance and film capacitance
- Volume averaged transport equations account for the composite electrode geometry
- Lithium diffusion and possible phase change in active particles included, along with multiple particle fractions
- The system of coupled differential equations are solved numerically
- Model parameters determined independently (e.g. electrolyte parameters are supplied by Kevin Gering's Advanced Electrolyte Model)

# Electrochemical Model Effectively Used to Examine Interfacial and Diffusional Phenomena in Intercalation Positive Electrode Active Materials

## Lithium-Ion Electrochemical Model

$$\varepsilon \frac{\partial c}{\partial t} = \frac{\varepsilon}{\tau} \frac{\partial}{\partial x} \left( D \frac{\partial c}{\partial x} \right) + \frac{1}{z_+ \nu_+ F} \frac{\partial \left[ (1 - c \bar{V}_e)(1 - t_+^o) i_2 \right]}{\partial x}$$

$$i_2 = -\frac{\kappa \varepsilon}{\tau} \frac{\partial \Phi_2}{\partial x} - \nu RT \frac{\kappa \varepsilon}{F \tau} \left( \frac{s_+}{n \nu_+} + \frac{t_+^o}{z_+ \nu_+} \right) \left( 1 + \frac{\partial \ln f_{\pm}}{\partial \ln c} \right) \frac{1}{c} \frac{\partial c}{\partial x}$$

$$\frac{\partial i_2}{\partial x} = F z_+ \sum_k a_k j_{kn} \quad I = i_1 + i_2 \quad i_1 = -\sigma_{eff} \frac{\partial \Phi_1}{\partial x}$$

$$\frac{\partial c_+}{\partial t} = D_+ \left( \frac{\partial^2 c_+}{\partial y^2} \right) \quad \eta_f = \frac{i_n \delta_f}{\kappa_f} + \frac{RT s_+}{nF} \ln \frac{c_+|_{electrolyte}}{c_+|_{active material}}$$

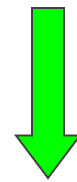
$$\frac{\partial c_{Si}}{\partial t} = D_{Si} \left( \frac{\partial^2 c_{Si}}{\partial y^2} \right) \quad \frac{\partial c_{Sb}}{\partial t} = \frac{\partial}{\partial z} \left( D_{Sb} \frac{\partial c_{Sb}}{\partial z} \right)$$

$$i_n = i_0 \left( \frac{c_+}{c_{+,ref}} \right)^{\alpha_A} \left( \frac{c_{Ti} - c_{Si}}{c_{Ti} - c_{Si,ref}} \right)^{\alpha_A} \left( \frac{c_{Si}}{c_{Si,ref}} \right)^{\alpha_C} \left\{ e^{\left[ \frac{\alpha_A F \eta_K}{RT} \right]} - e^{\left[ \frac{-\alpha_C F \eta_K}{RT} \right]} \right\}$$

$$\eta_R = \sigma_P z_+ F j_n$$



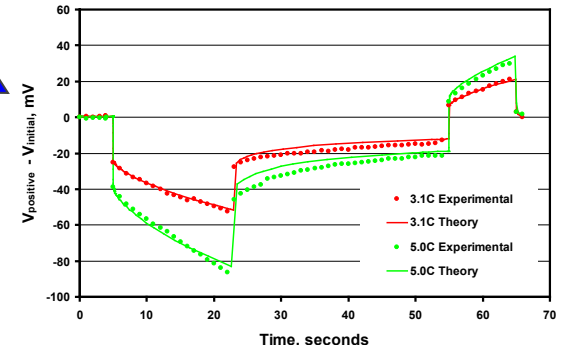
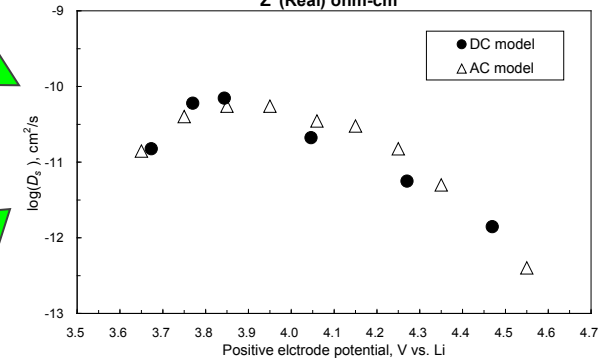
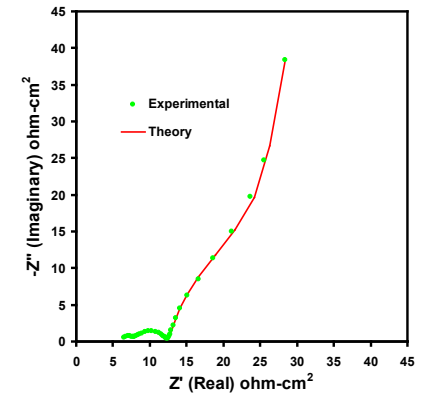
AC  
Model



DC  
Model

EIS  
Studies

HPPC  
Studies



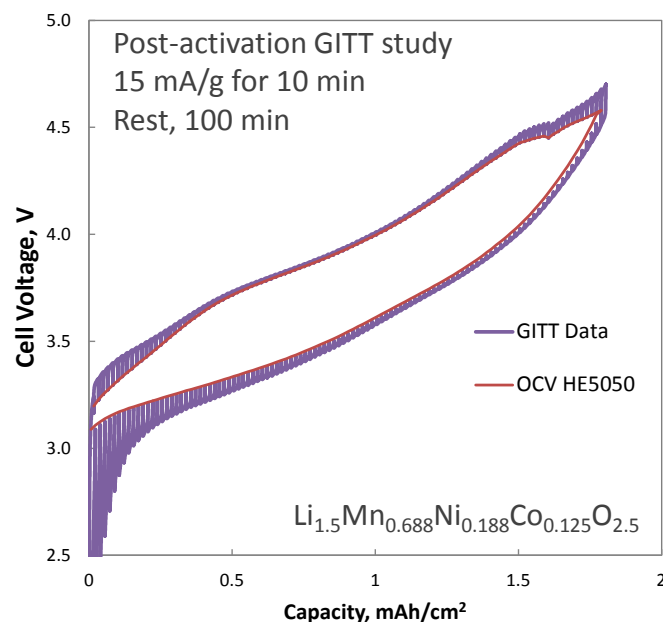
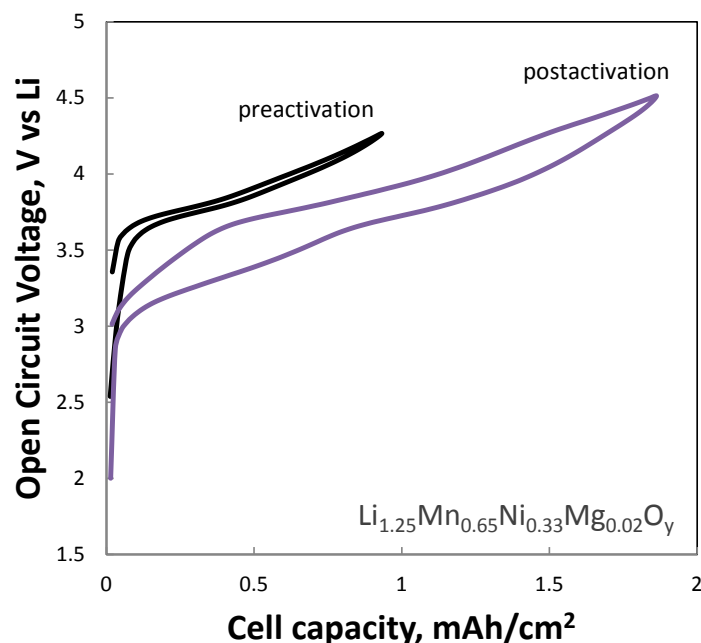
- Diffusion coefficient obtained from GITT studies using DC model shows strong correlation with AC modeling studies

# Focus of current work: Positive Electrode

- Electrochemical couples ABR-1 and ABR-2 use graphite and LMR-NMC,  $x\text{Li}_2\text{MnO}_3(1-x)\text{LiMO}_2$
- LMR-NMC is currently the least understood of the couple
- Initial diagnostic effort has singled out LMR-NMC cathode
  - Largest source of impedance rise leading to capacity loss at relevant current densities
  - Voltage fade: change in open-circuit voltage (OCV) with aging
  - Instability of the electrolyte at the oxidizing potentials
- Path forward
  - Understand OCV function after activation
  - Fit parameters with GITT and EIS (DC and AC models)
  - Inform aging studies by exercising model

# Measurement of Open-Circuit Voltage Function

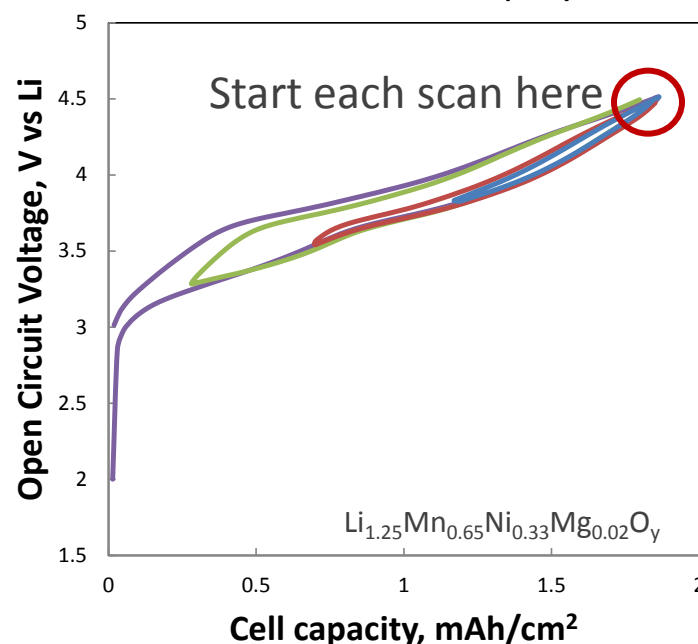
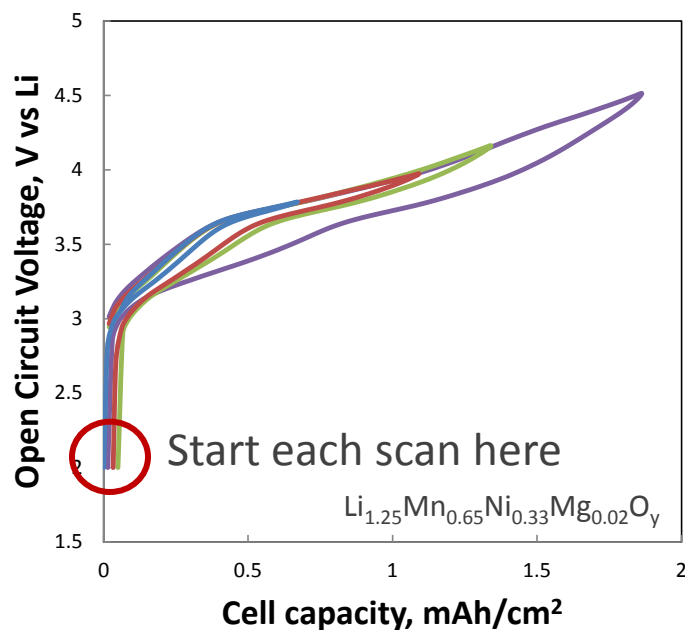
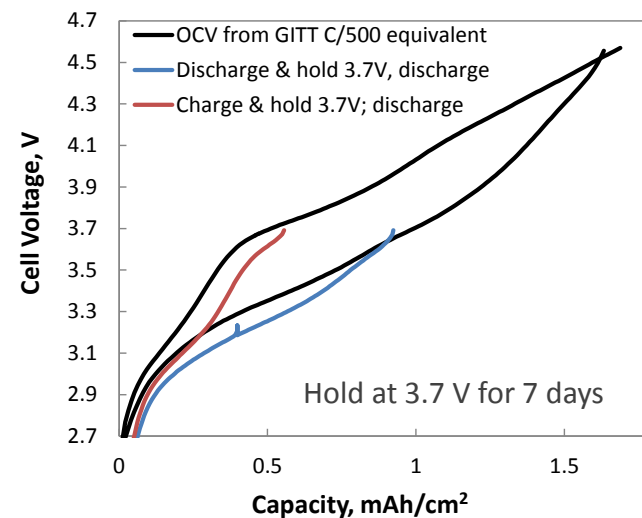
- Use slow cycling or GITT study to determine open-circuit voltage as function of lithium concentration
- Large hysteresis observed in LMR-NMC cathodes after activation  $\text{Li}_2\text{MnO}_3$  domains in  $x\text{Li}_2\text{MnO}_3(1-x)\text{LiMO}_2$
- Standard layered oxides (e.g. NCA) do not show this behavior





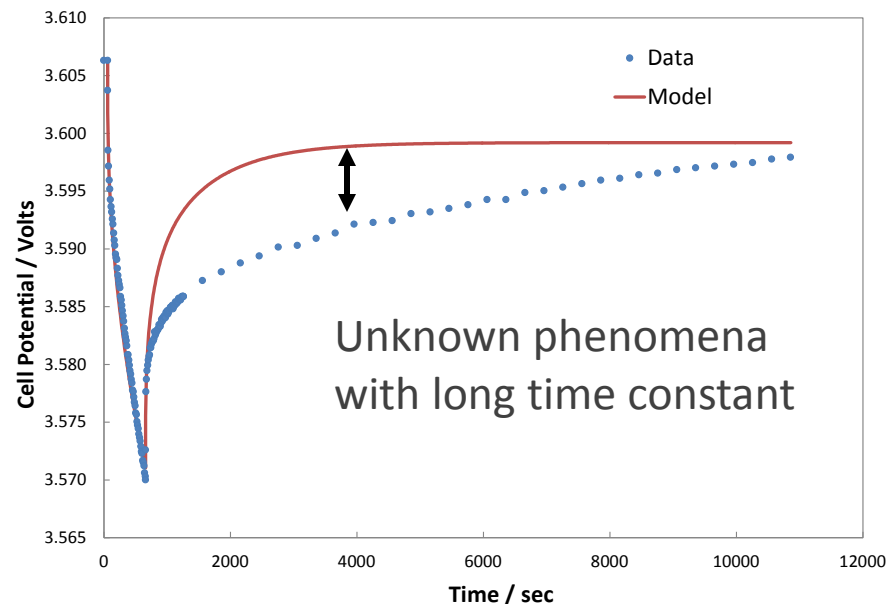
# Hysteresis and Path-Dependence in OCV Curve

- Unknown physical process leading to stable hysteresis
- Scanning curves show shift from one boundary to other depends on voltage
- Current hypotheses: two set of vacancies (sites) or reversible structural change

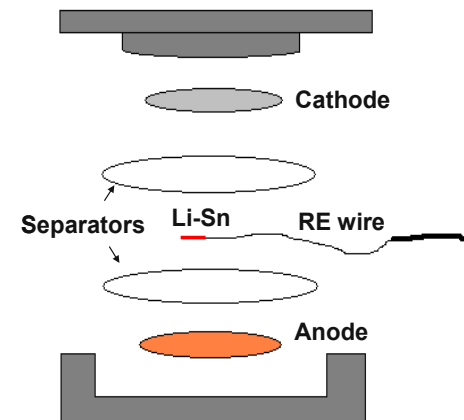
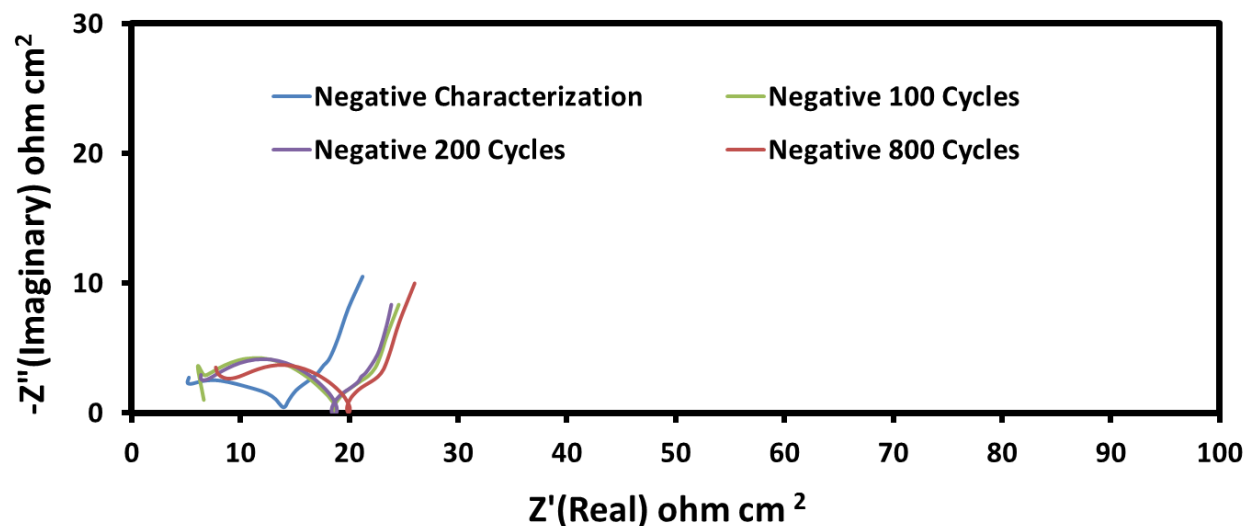
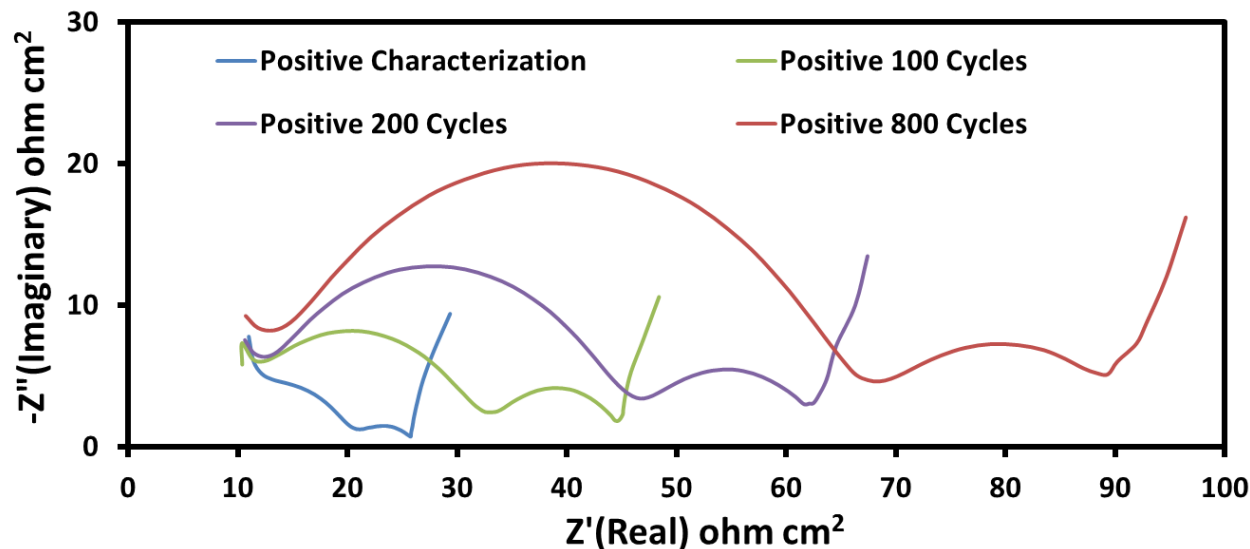


# DC Model: GITT Analysis

- Unknown slow relaxation process not accounted for with current physical model of standard intercalation material
- Fitted diffusion coefficient  $\ll$  smaller than NCA and NCM
  - $10^{-14}$  as compared to  $10^{-10}$ - $10^{-11}$   $\text{cm}^2/\text{s}$
  - Must capture physics generating slow time constant in DC model
- Evaluate interfacial behavior with AC Model

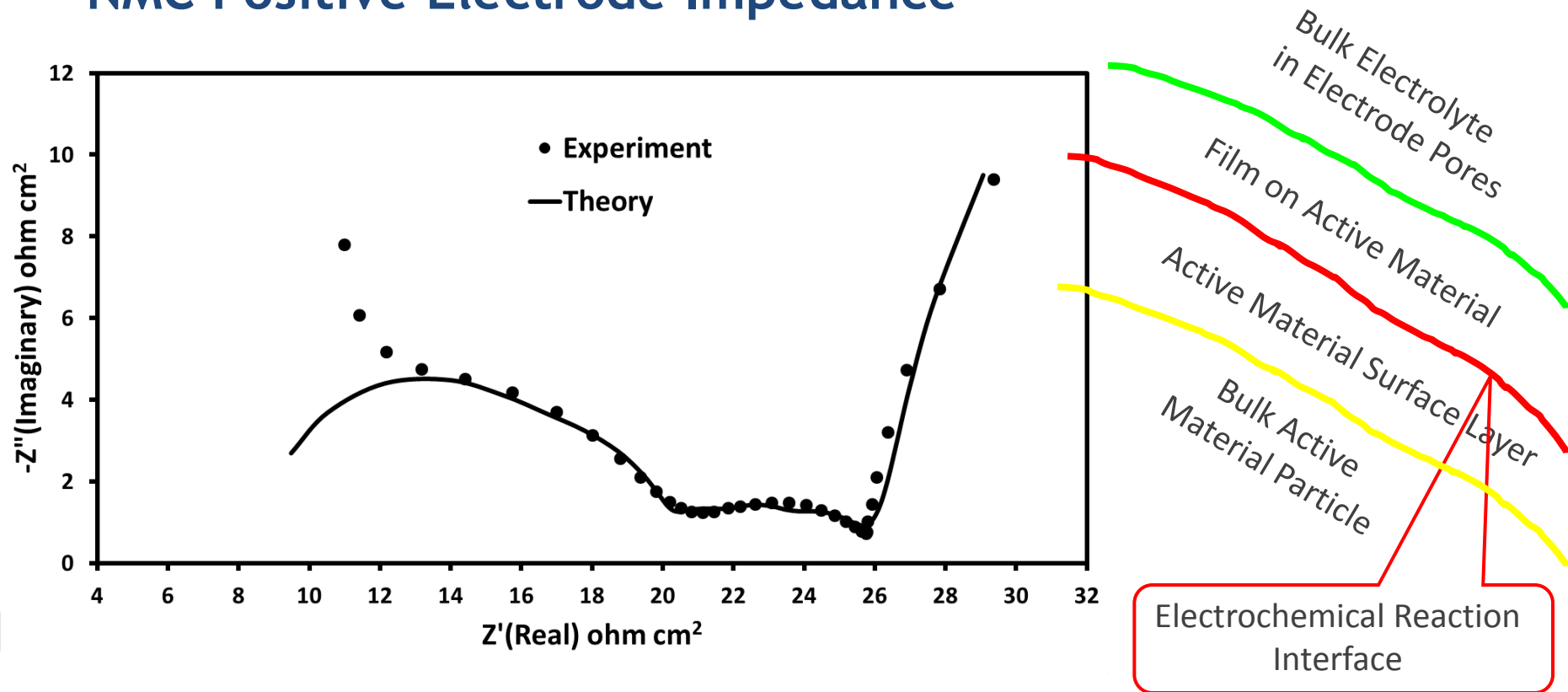


# Major Source of Impedance Rise in LMR-NMC/Graphite Cells is Interfacial Impedance at the Positive Electrode



- Diagnostic aging studies taken on LMR-NMC positive and graphite negative reference electrode cell (no electrolyte additives).
- Impedance data (100 kHz-10 mHz) taken at 3.75 volts and 30°C.
- Focus of modeling efforts on positive electrode.

# AC Model: Electrochemical Model Simulation of LMR-NMC Positive Electrode Impedance



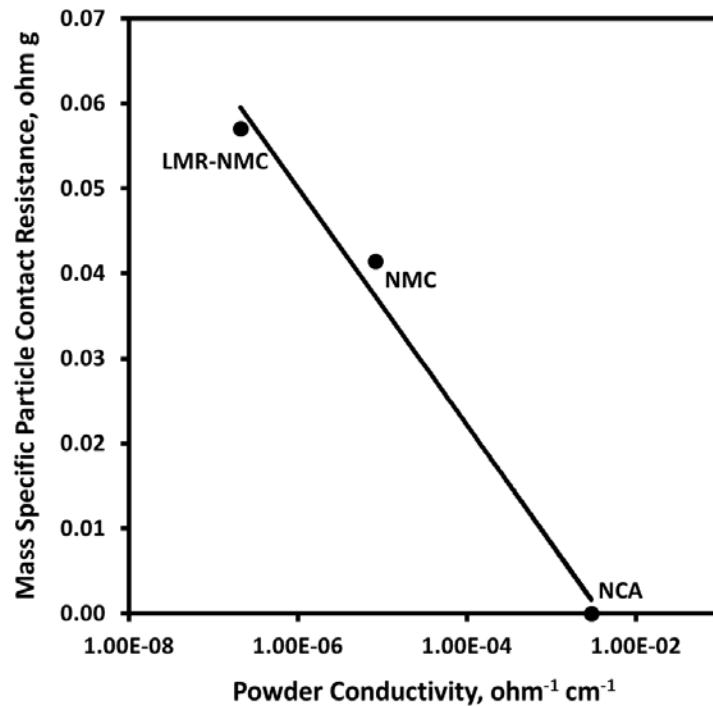
- Impedance dominated by high frequency interfacial arc associated with electronic contact resistance between oxide active material and conducting carbon additive.
- Multiple particle fractions of oxide active material used to improve fit of low frequency Warburg diffusional impedance.

# Comparison of Positive Electrode Interfacial and Oxide Active Material Parameters

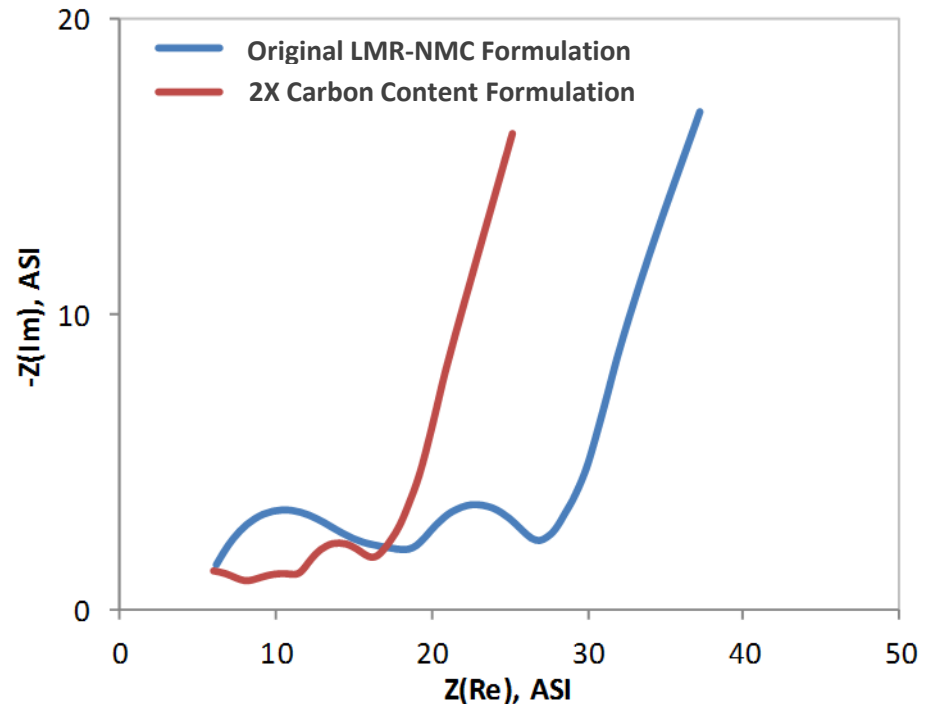
	Active Material Surface Layer				SEI Film on Active Material			Electronic Contact Resistance	Kinetic and Capacitance			
Parameter	a cm <sup>-1</sup>	D <sub>si</sub>	D <sub>sb</sub>	K <sub>s</sub>	D <sub>+</sub> cm <sup>2</sup> /s	K <sub>f</sub> Ω <sup>-1</sup> cm <sup>-1</sup>	C <sub>+</sub> M	σ <sub>p</sub> Ωcm <sup>2</sup>	i <sub>o</sub> mA/cm <sup>2</sup>	C <sub>c</sub>	C <sub>d</sub>	C <sub>f</sub>
		cm <sup>2</sup> /s			μf/cm <sup>2</sup>							
NCA	8900	10 <sup>-10</sup>	10 <sup>-10</sup>	5	10 <sup>-9</sup>	10 <sup>-7</sup>	10 <sup>-2</sup>	0	1	0	40	0.3
NMC	7700	10 <sup>-11</sup>	10 <sup>-11</sup>	1	10 <sup>-9</sup>	10 <sup>-7</sup>	10 <sup>-2</sup>	170	0.1	0.06	100	0.5
LMR-NMC	48000	10 <sup>-12</sup>	10 <sup>-12</sup>	6	10 <sup>-8</sup>	10 <sup>-8</sup>	10 <sup>-3</sup>	1500	0.1	0.005	20	0.1

- LMR-NMC assumed to have thicker SEI film thickness (100 vs. 40 nm) and thinner oxide surface layer thickness (5 vs. 10 nm) than NCA
- The LMR-NMC positive electrode has a high electronic contact resistance.
- The lithium diffusion coefficient in LMR-NMC bulk is an order-of-magnitude lower than that of conventional NMC material; although, much closer than DC prediction
- **The LMR-NMC positive electrode's general interfacial characteristics are somewhat worse than the other oxides, but are offset by the higher surface area (~ 6X).**

# The Lower Electronic Conductivity of NMC Electrode Active Materials Make Them More Challenging

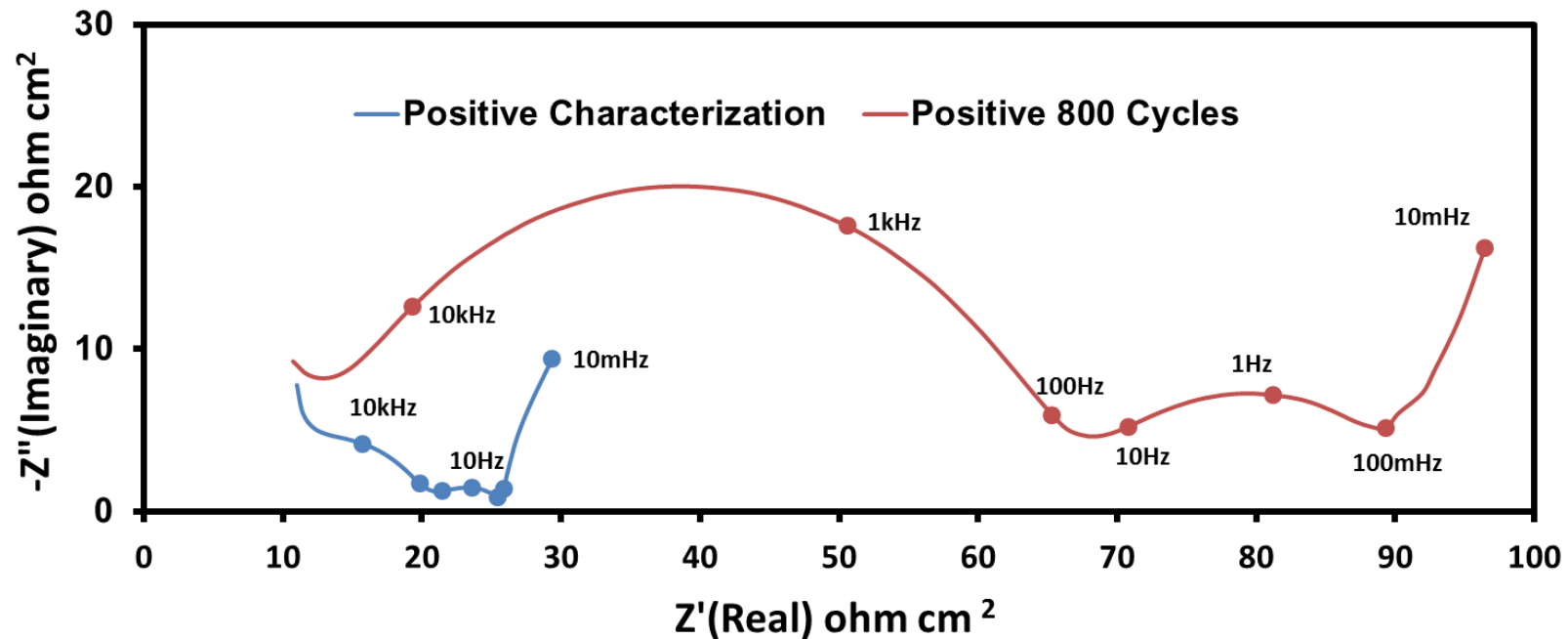


LMR-NMC/Graphite Full Cell EIS Data



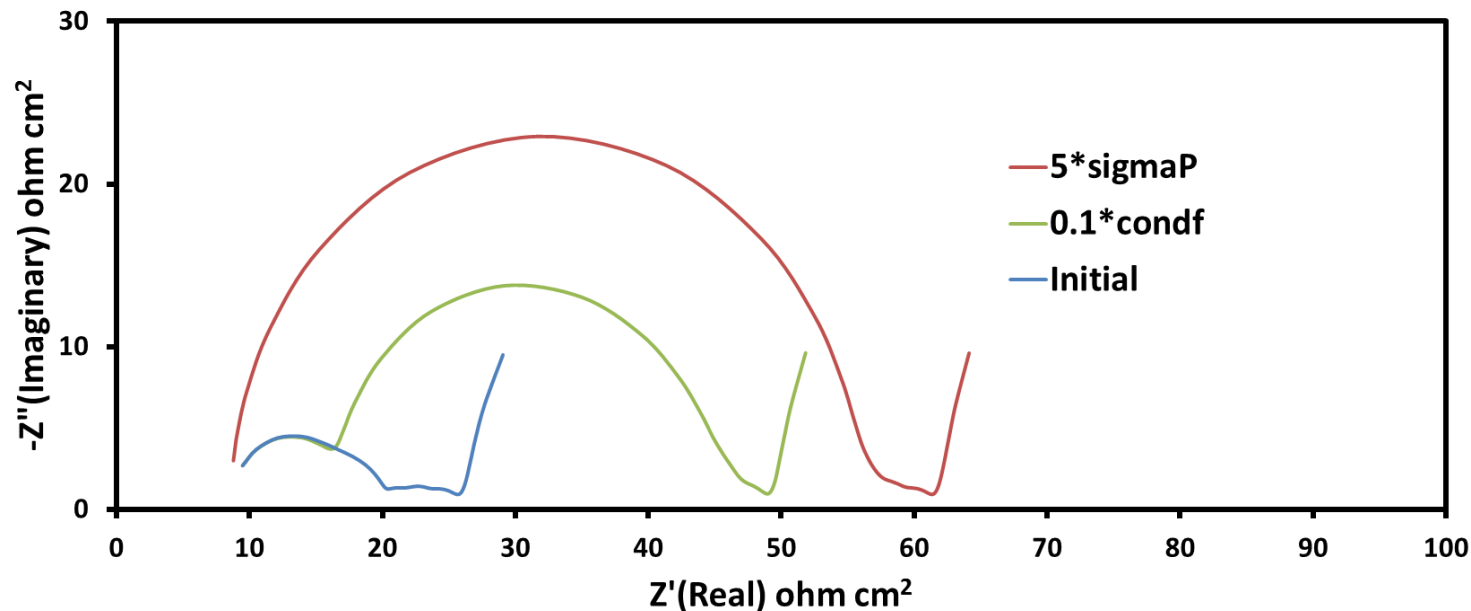
- Modeling studies indicate a correlation between the powder electronic conductivity of active materials and their particle contact resistance in the cell
- Limited electrode formulation optimization studies suggest that it is possible to significantly reduce the contact resistance associated with NMC materials

# EIS Aging Study on the LMR-NMC Positive Electrode



- The positive electrode impedance growth with aging is dominated by the high frequency interfacial arc, but the mid frequency interfacial arc and the low frequency Warburg impedance also increases.
- The interfacial impedance shifts to lower frequencies as the cell ages. This is generally the case for parallel RC circuits or Warburg diffusive phenomena where there is a significant increase in impedance.

# Aging of LMR-NMC Positive Electrodes: Increasing size of the high frequency interfacial arc



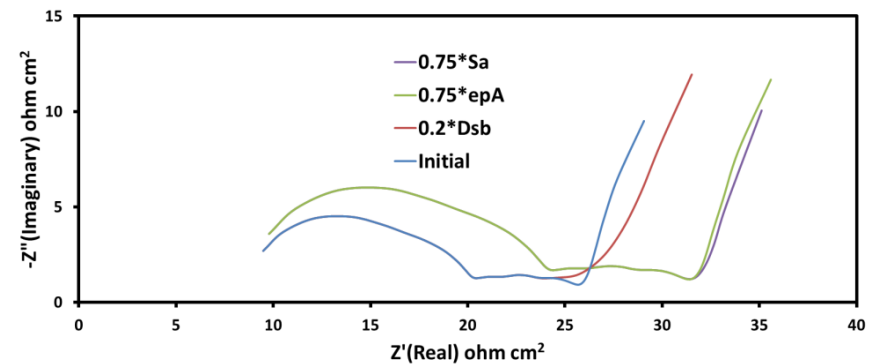
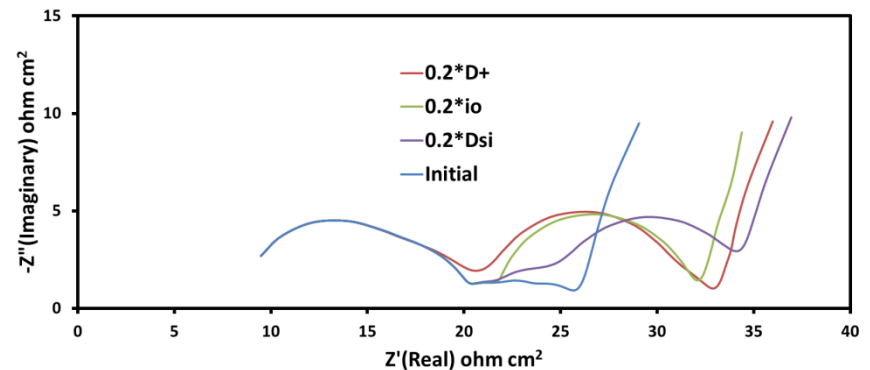
- Based on the initial assumption that the majority of the high frequency arc impedance is associated with the electronic contact resistance between the oxide and the conductive carbon ( $\sigma_p$ ), it is also the likely source of the increasing impedance.
- The only other possible source in the electrochemical model with the present parameter set is the SEI resistance ( $\kappa_f$ ).
- Because of the high characteristic frequency ( $\sim 10 \text{ kHz}$ ) of the arc it is possible that an electronic contact resistance at the electrode/current collector interface is responsible, at least partially, for the increase in impedance.



# Aging of LMR-NMC Positive Electrodes :

## Increasing impedance in lower frequencies

- Three physical processes affecting mid-frequency interfacial arc
  - Butler Volmer kinetics ( $i_o$ )
  - Diffusion through the SEI ( $D_+$ )
  - Diffusion in oxide surface layer ( $D_{si}$ ).
- Experimental observations and model sensitivities point to growth of SEI layer & slowing B-V kinetics
- Changes in Warburg impedance could derive from possible changes in the bulk oxide material
- Alternatively, a loss of 25% of active materials (0.75epA) or surface area (0.75Sa) would increase impedance in both mid and low frequency response



# Future Work

- Transitioning project to new ABR format
  - Supporting Voltage Fade project
  - Supporting development of Electrochemical Couples
  - Supporting ABR facilities (CFF, MERF, Post-Test)
- Develop understanding of slower time constant phenomena in LMR-NMC cathode materials
  - Faster time constant behavior fits in current modeling approach
- Refine negative electrode SEI growth model
- Milestones for next year
  - Understand slow relaxation in GITT study
  - Understand hysteresis and path dependence in OCV curve
  - Initiate voltage fade model

# Summary

- The objective of this work is to correlate analytical diagnostic results with the electrochemical performance of advanced lithium-ion battery technologies for PHEV applications
- Approach for electrochemical modeling activities is to build on earlier successful characterization and modeling studies in extending efforts to new PHEV technologies
- Technical Accomplishments
  - Simulated AC impedance of LMR-NMC cathodes
  - Propose most likely sources of impedance rise for ABR-1 cathode
  - Analyzed hysteresis and path-dependence in OCV curve
  - Identified presence of slow relaxation process of currently unknown physical origin.
- Future plans include the continued development of PHEV focused electrochemical models, further development of models examining impedance growth and capacity fade phenomena, and support of other ABR projects

# Acknowledgements & Collaborators

- **Support for this work from DOE-EERE, Office of Vehicle Technologies is gratefully acknowledged**
  - David Howell & Peter Faguy

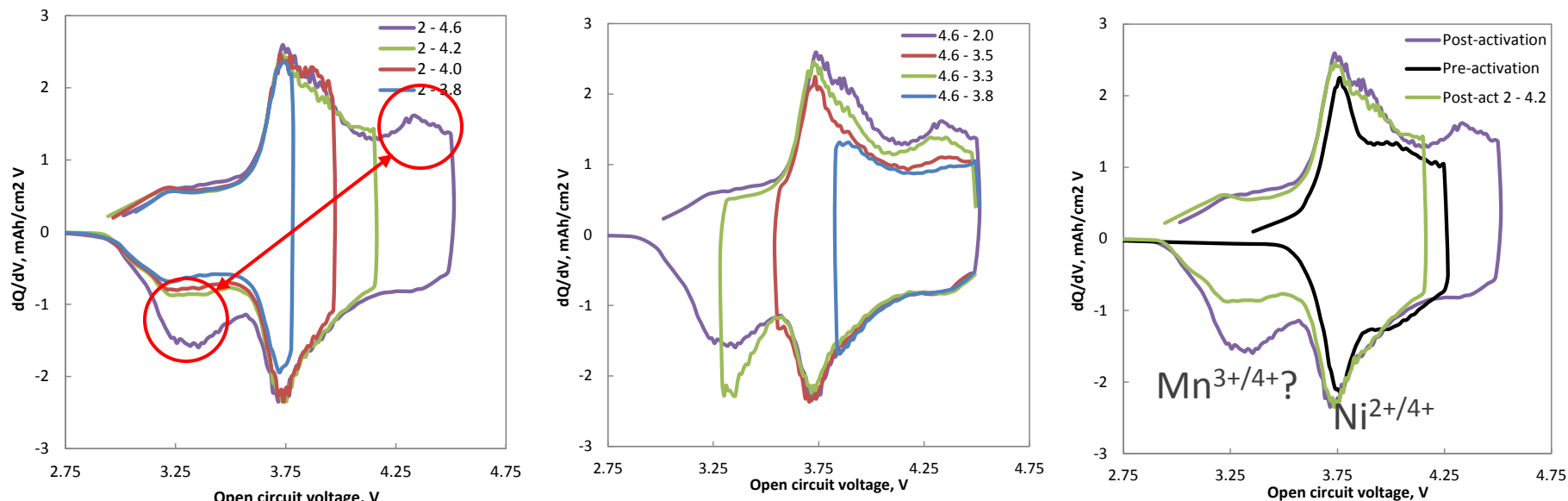
## Collaborators:

- **Argonne National Laboratory**
  - Daniel Abraham, Y. Li, M. Bettge
  - Wenquan Lu, Q. Wu, M. Miguel, K. Yassin Lakhsassi
  - Sun-Ho Kang, D. Kim, J. Croy, M.M. Thackeray
  - Andrew Jansen, Chris Johnson, Javier Bareno, Jack Vaughey
  - Huiming Wu, K. Amine
- **Idaho National Laboratory**
  - Kevin Gering

# Technical Back-Up Slides

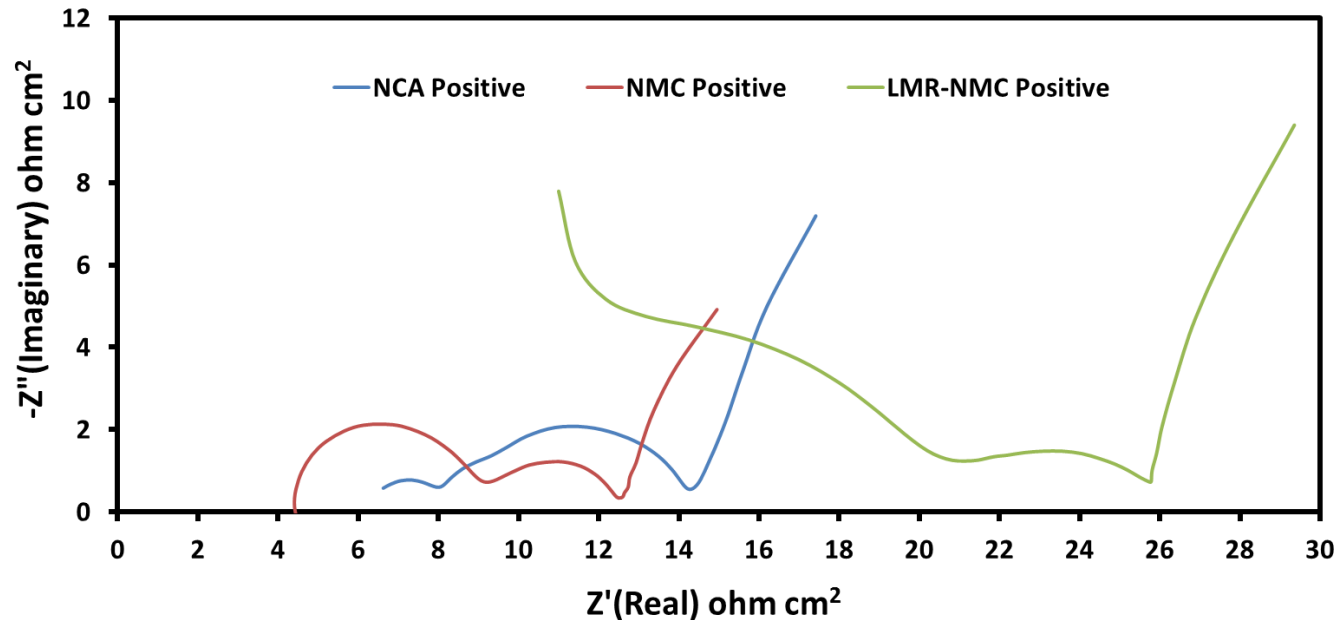


# Examining hysteresis in LMR-NMC cathodes



- Analysis of post-activated  $dQ/dV$  suggests lithium removed from activated  $Li_2MnO_3$  component above 4.3 V but does not fill these sites until 3.25 V on discharge

# Comparison of LMR-NMC Positive Electrode Impedance to Earlier Positive Electrode Studies



- Electrodes have similar formulations and oxide particle size (secondary 9-12  $\mu\text{m}$  average diameter), but vary in active material and loading:
  - NCA: Active Loading 8  $\text{mg/cm}^2$ , BET 0.39  $\text{m}^2/\text{g}$ , Electrode Thickness 35  $\mu\text{m}$
  - NMC: Active Loading 10.4  $\text{mg/cm}^2$ , BET 0.41  $\text{m}^2/\text{g}$ , Electrode Thickness 55  $\mu\text{m}$
  - LMR-NMC: Active Loading 6.4  $\text{mg/cm}^2$ , BET 2.63  $\text{m}^2/\text{g}$ , Electrode Thickness 35  $\mu\text{m}$
- **The LMR-NMC positive electrode's general interfacial characteristics are somewhat worse than the other oxides, but are offset by the higher surface area ( $\sim 6\text{X}$ ).**