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# ***Development of Advanced Diesel Particulate Filtration (DPF) Systems (ANL/Corning/Caterpillar CRADA)***

***Project ID: ACE024***

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***DOE Annual Merit Review & Peer Evaluation Meeting***

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U.S. Department  
of Energy

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# Overview

## Timeline

- Start: Oct 2006
- Finish: Sept 2009  
(extended to 2011)
- 80% Finished

## Budget

- Total Project funding (3 yrs)
  - DOE: \$1,450K
  - Industry sponsors: \$1,450K
- Funding received in FY10
  - \$500K
- Funding received in FY11
  - \$250K

## Barriers

- Increased back pressure and fuel penalty
- Lack of effective regeneration strategies to reduce input energy and deal with low exhaust temperature
- Durability of the system, including filter materials
- Sensor technology

## Partners

- Corning and Caterpillar
- University of Illinois – Chicago
- University of Wisconsin – Madison
- ILJIN Electric Co., Korea
- IBIDEN, Japan

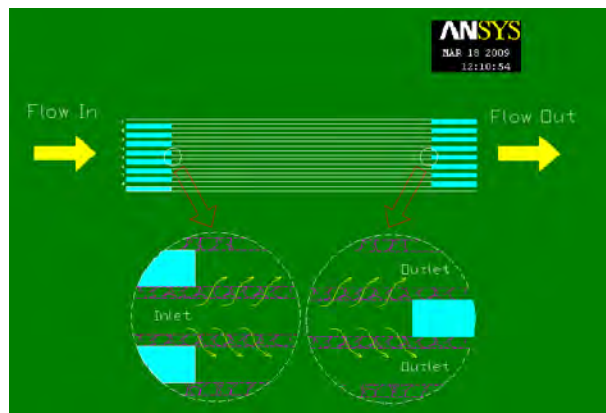
# *Relevance and Objectives*

- Existing DPF systems still need to improve filtration/regeneration efficiencies and pressure drops.
- DPF systems need efficient regeneration strategies, which can control thermal run-away.
- A real-time DPF control/management system is required for developing an advanced DPF system with on-board diagnostics (OBD) capability.
- Evaluate pressure drops for catalyzed DPF membranes.
- Investigate the regeneration process via real-time monitoring.
- Predict the transient heat release from DPF regeneration.
  - Evaluate the oxidation rates and kinetic parameters for diesel particulates
- Develop a real-time DPF control/management system that can measure the instantaneous mass of soot deposits in a DPF, control DPF regeneration, and provide OBD signals for DPF operation.

# Approach – Overall



DPF experiments for filtration, regeneration,  $\mu$ -imaging



Numerical modeling



Diesel Engine



Soot oxidation experiments with TGA, DSC

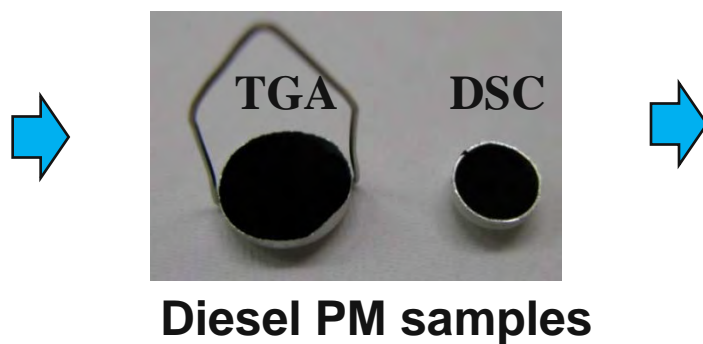
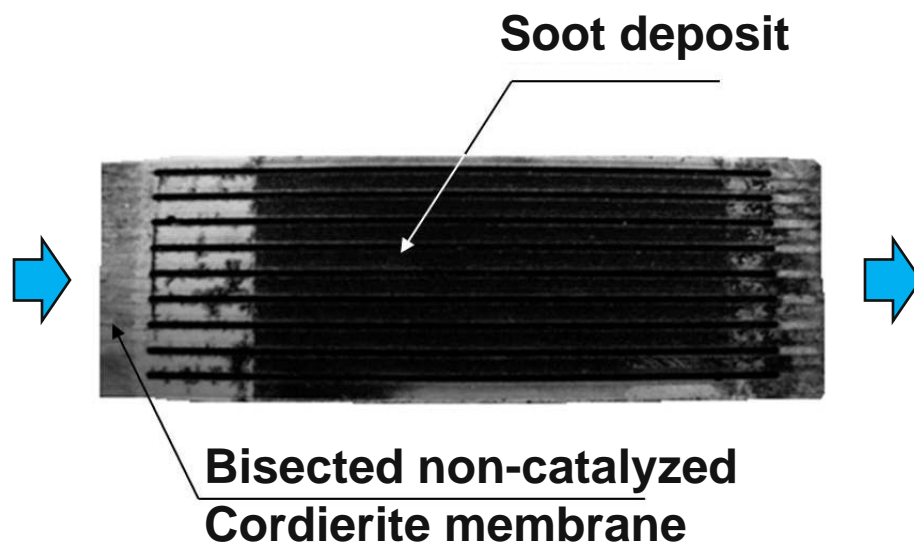
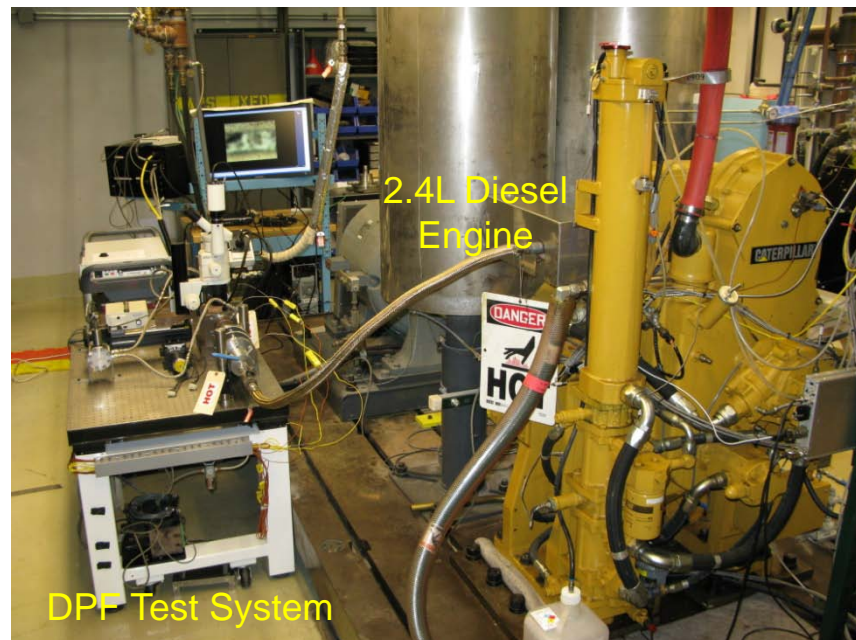


PM mass, filtration efficiency with TEOM

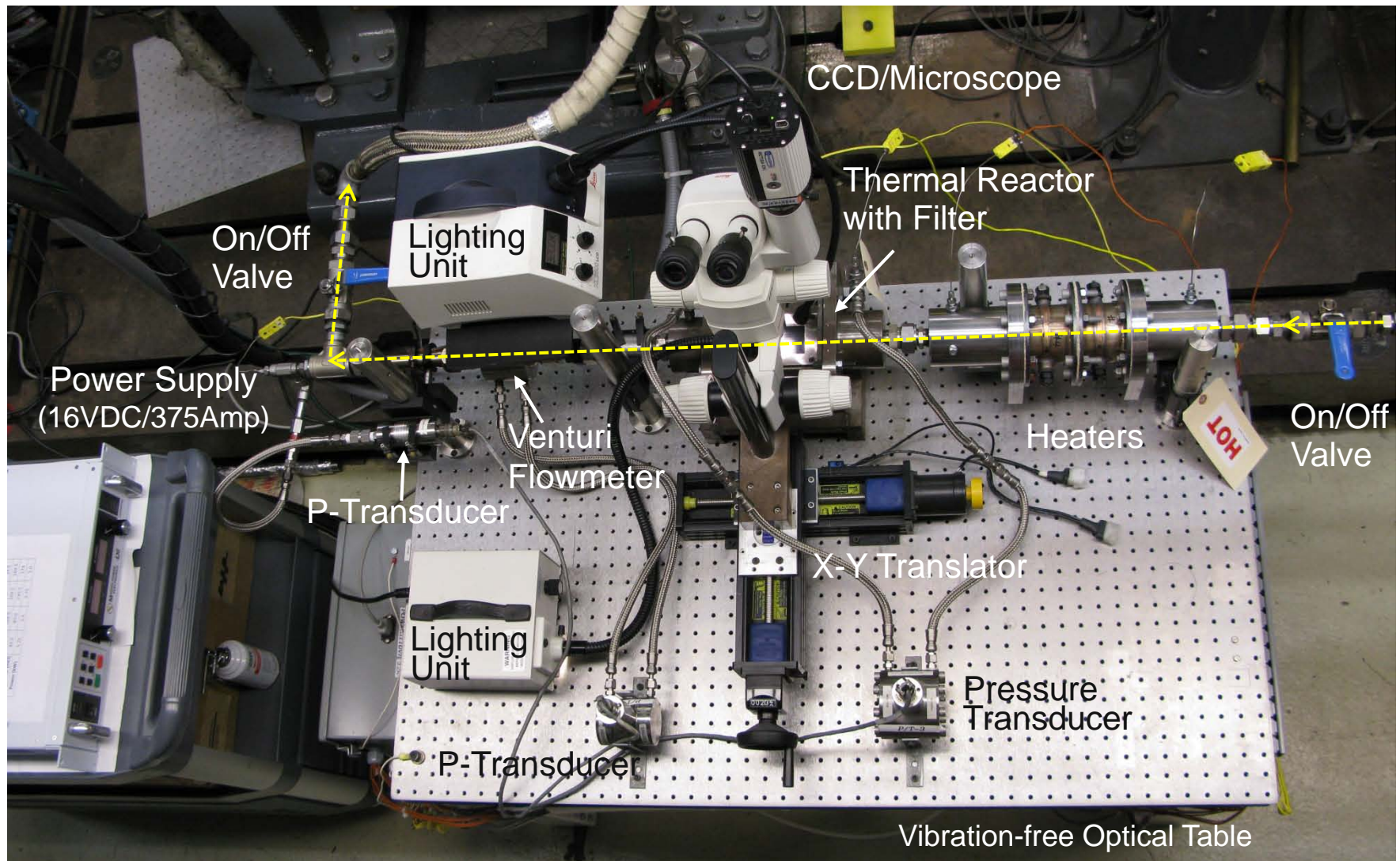


# Approach

## ■ Experimental procedure

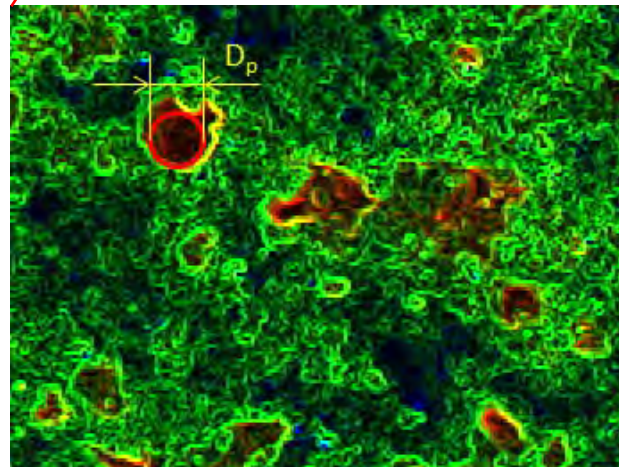
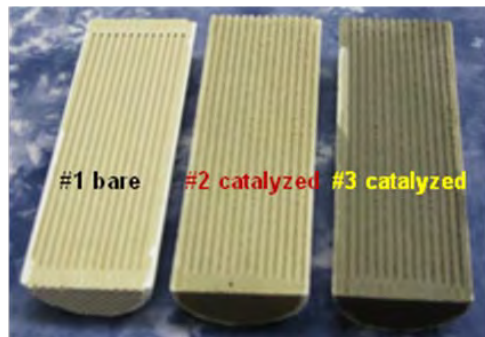
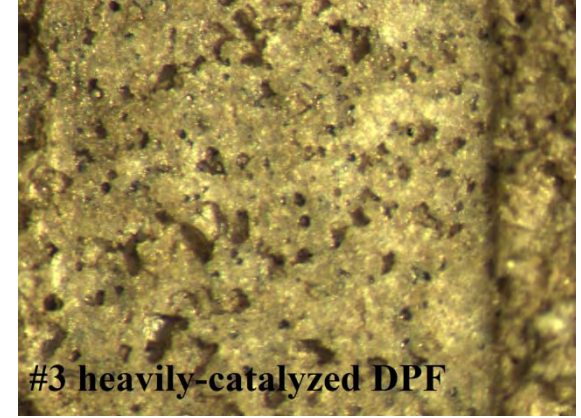
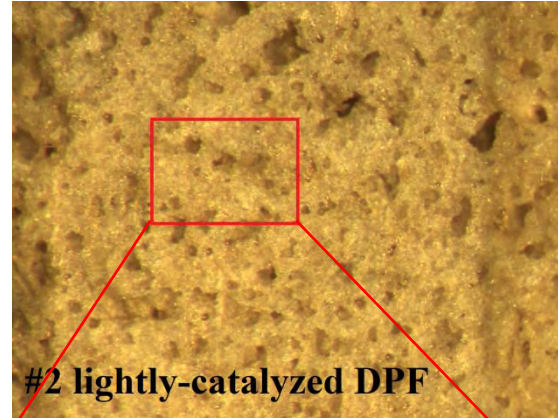
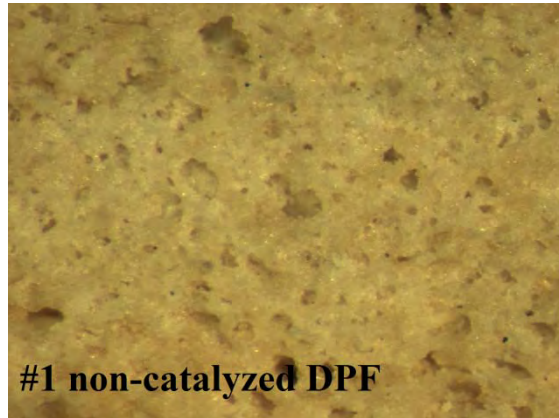


# DPF bench test system upgraded with heater unit



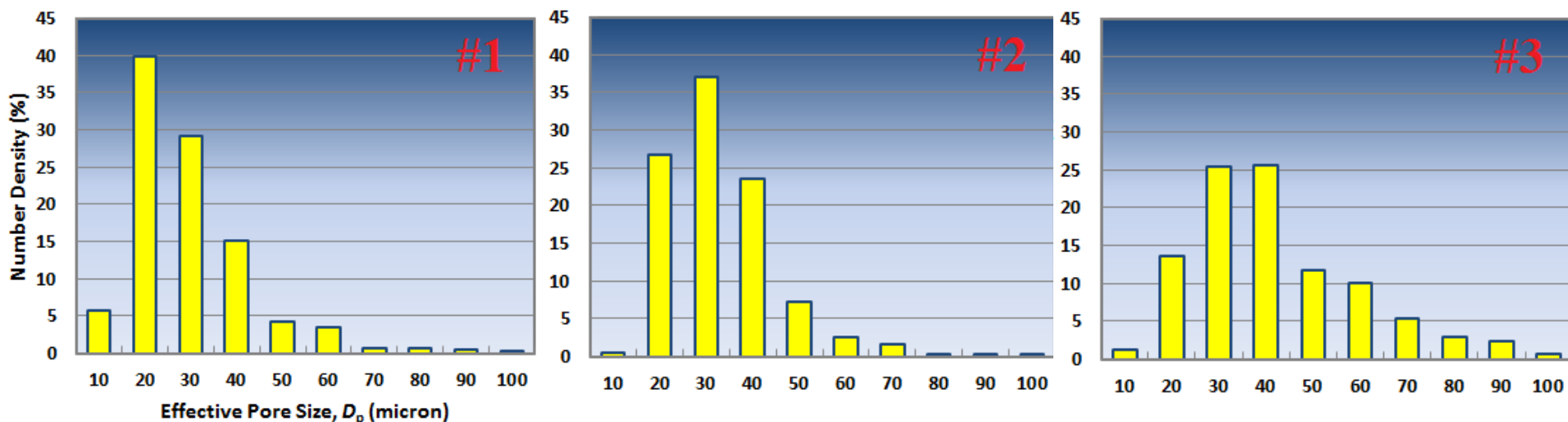


# Pore size distributions were evaluated for catalyzed DPF membranes



- Definition of pore size ( $D_p$ ): max diameter of a sphere that can pass through the pore on the surface

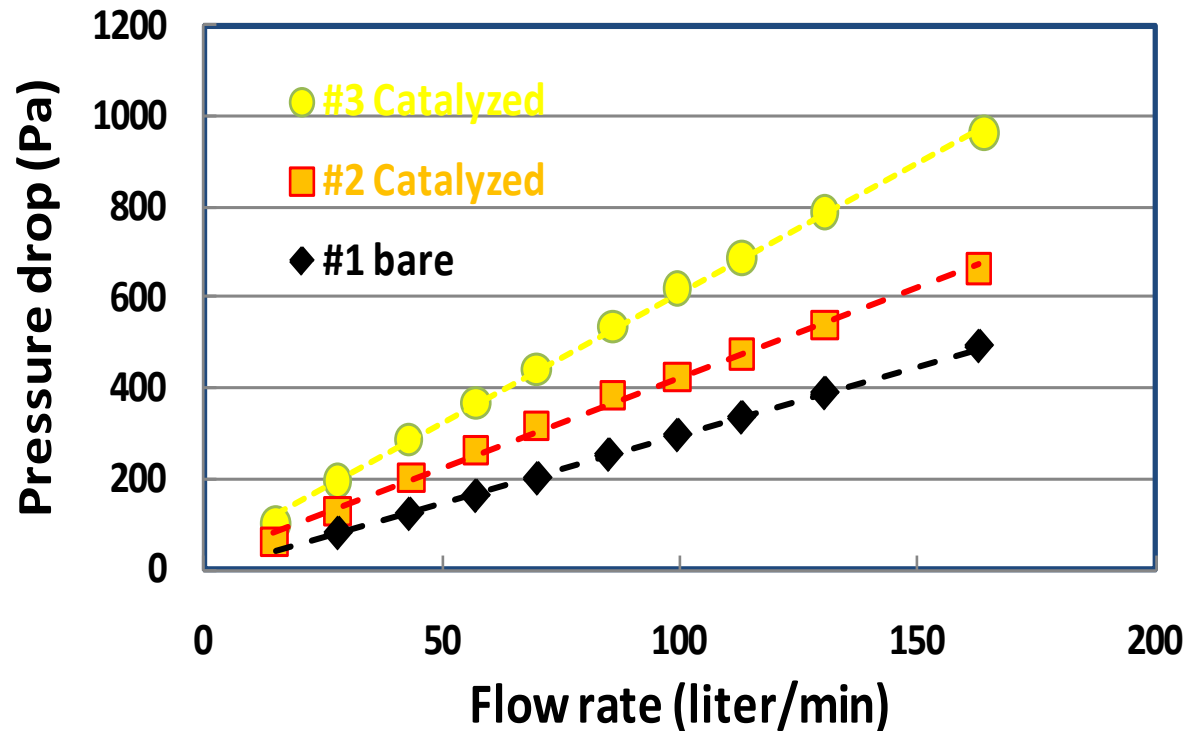
# Average pore size increased with catalyst coating



- Average pore diameters: 28.4  $\mu m$  (#1), 34.2  $\mu m$  (#2), 41.9  $\mu m$  (#3)
  - With catalyst coating, the number of small micro pores was reduced (blocked by catalyst materials), while the number of medium/large pores relatively increased.



## *Heavily catalyzed membrane increased back pressure by a factor of two (2) compared to bare membrane*



- Reduced total number of pores with catalyst coating is responsible for the increased pressure drop.

# Accurate evaluation of kinetic parameters is important for calculating transient heat release

- Numerical calculation of transient heat release during regeneration is pursued, because of limited access to the hardware and inaccuracy in measurements.

$$\dot{Q} = q \times \frac{dm}{dt}$$

Q [kW]: Transient heat release  
q [kJ/g]: Heat release per mass  
dm/dt [g/s]: Oxidation rate

- Heat release per mass (q) of diesel particulates has been measured by using a DSC.

$$q_{\text{dry soot}} = 17.2 \text{ kJ/g}, \quad q_{\text{SOF}} = 5.5 \text{ kJ/g}$$

- Oxidation rates can be found by TGA experiments

$$r = -\frac{dm}{dt} = A \cdot \exp\left[-\frac{E_a}{RT}\right] \cdot [m]^n \cdot [P_{O_2}]^{n_{O_2}}$$

r: Reaction rate  
m: Remaining carbon mass  
**A: Pre-exponential factor**  
**E<sub>a</sub>: Activation energy**  
R: Universal gas constant  
T: Temperature  
**n: Reaction order of carbon**  
P<sub>O<sub>2</sub></sub>: Partial pressure of oxygen  
**n<sub>O<sub>2</sub></sub>: Reaction order of oxygen**

# *Activation energy was evaluated for carbon black*

- Model soot: Carbon black (Printex-U)

- Instruments

- Thermogravimetric analyzer (TGA) → oxidation rate
- Differential scanning calorimeter (DSC) → heat release

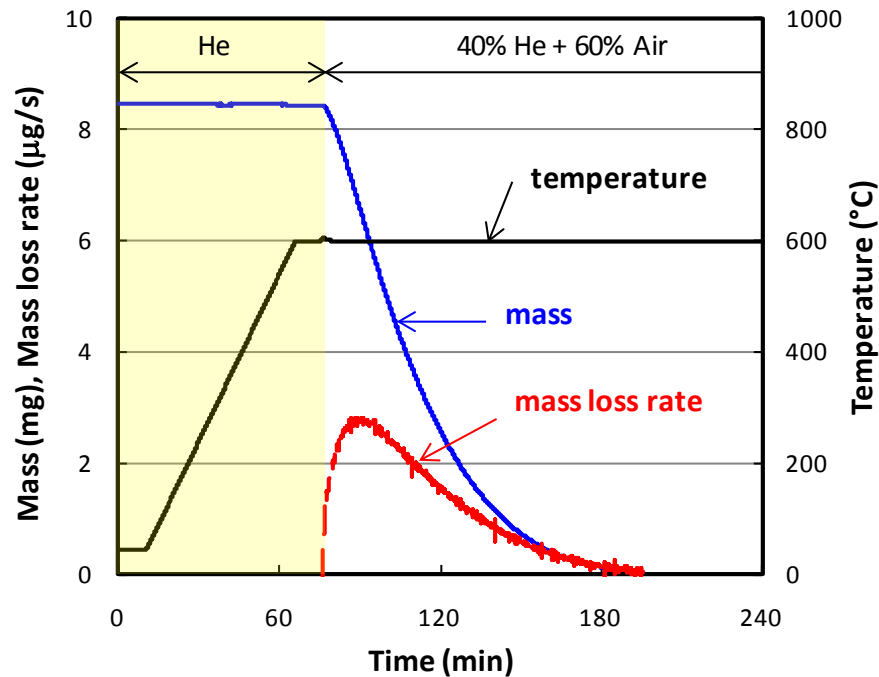
- Experimental conditions

- Isothermal oxidation (@ 600 °C isothermal)
  - *Environment: 40% He + 60% air (overall 12% O<sub>2</sub> concentration)*
  - *Total flow rate : 100 ml/min*
  - *Heating rate: 10 °C/min*

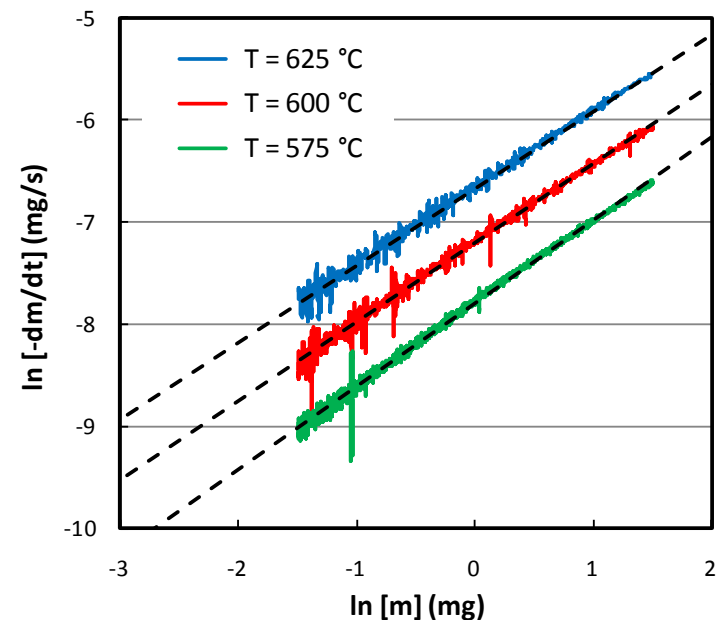


# Reaction order of surrogate soot was successfully evaluated via TG oxidation experiments.

- Model soot sample



- Reaction order of soot sample ( $n = 0.78 \pm 0.03$ )



$$\ln\left[-\frac{dm}{dt}\right] = n \ln[m] - \frac{E_a}{R} \cdot \frac{1}{T} + n_{O_2} \ln[P_{O_2}] + \ln[A]$$

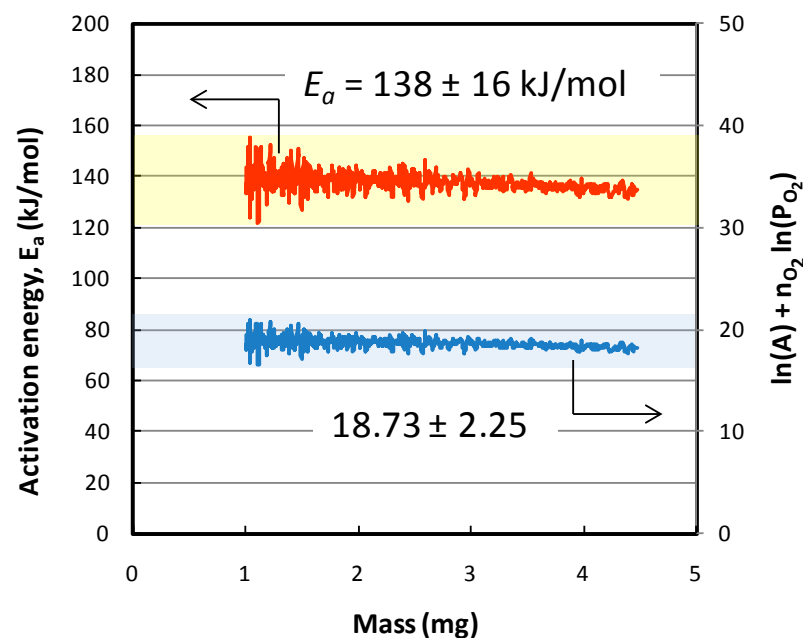
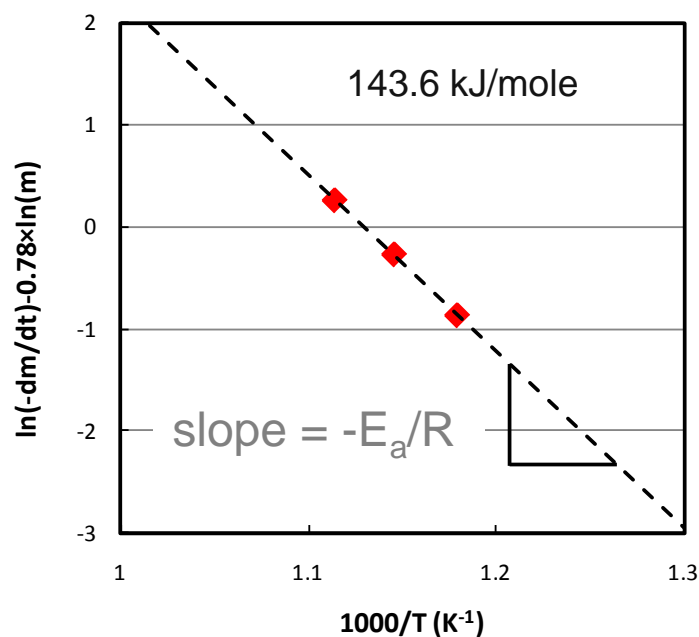
# Activation energy for surrogate soot turned out to be comparable to those from other investigations

**Model soot sample (n = 0.78)**

@  $\ln(m)=0$

$137 \pm 8.7$  kJ/mol @ He/air (A. Yezerets, 2005)

$168 \pm 1.0$  kJ/mol @ O<sub>2</sub>/Ar (J.P.A. Neeft, 1997)



$$\ln \left[ -\frac{dm}{dt} \right] - n \ln[m] = \frac{-E_a}{R} \cdot \frac{1}{T} + n_{O_2} \ln[P_{O_2}] + \ln[A]$$

$$\Rightarrow -\frac{dm}{dt} \left( \frac{mg}{sec} \right) = 1.3625 \times 10^5 \exp \left[ -\frac{16598}{T(K)} \right] \cdot [m]^{0.78} (mg)$$

# ***Effects of ambient experimental conditions and analytic methodology need to be examined to accurately evaluate kinetic parameters***

- Effects of inert gas and heating rate have not been investigated for evaluation of kinetic parameters, especially in engineering research communities.
- The magnitude of kinetic parameters also depends on the methodology that analyzes the thermogravimetric data.
- Analytic methodologies
  - Isothermal kinetic analysis
  - Non-isothermal kinetic analysis
    - *Integral method*
    - *Iso-conversional method*
    - *Differential method (proposed by Argonne research team)*



# Theory of the different analytic methodologies

## ■ Isothermal kinetic analysis

- ❖  $\alpha = \frac{m_0 - m}{m_0 - m_1}$  (Degree of conversion, m: mass of soot)
- ❖  $\frac{d\alpha}{dt} = A \exp(-\frac{E_a}{RT}) f(\alpha)$  (Rate of reaction,  $f(\alpha)$ : kinetic expression)
- ❖  $f(\alpha) = (1 - \alpha)^n$  Simple assumption
- ❖ No effects of heating rate considered.

## ■ Non-isothermal kinetic analysis

- ❖  $\frac{d\alpha}{dT} = \frac{A}{\beta} \exp(-\frac{E_a}{RT}) f(\alpha)$  ( $\beta = \frac{dT}{dt}$  : Heating rate)
- ❖  $\int_0^\alpha \frac{d\alpha}{f(\alpha)} = \int_{T_0}^T \frac{A}{\beta} \exp(-\frac{E_a}{RT}) dT$
- ❖ Integral method
  - $g(\alpha) = \frac{A E_a}{\beta R} \cdot p(x)$  [ $g(\alpha) = \int_0^\alpha \frac{d\alpha}{f(\alpha)}$ ,  $p(x) = \int_x^\infty \frac{e^{-u}}{u^2} du$ ,  $u = \frac{E_a}{RT}$ ]
  - Coats-Redfern's approximation,  $p(x) = e^{-x} \frac{x-2}{x^2}$ , and taking logarithm
  - $\log \frac{g(\alpha)}{T^2} = \log \left[ \frac{AR}{\beta E_a} (1 - \frac{2RT}{E_a}) \right] - \frac{E_a}{2.3RT}$  (A plot of  $\log \frac{g(\alpha)}{T^2}$  vs.  $\frac{1}{T}$ )

# Theory (continued)

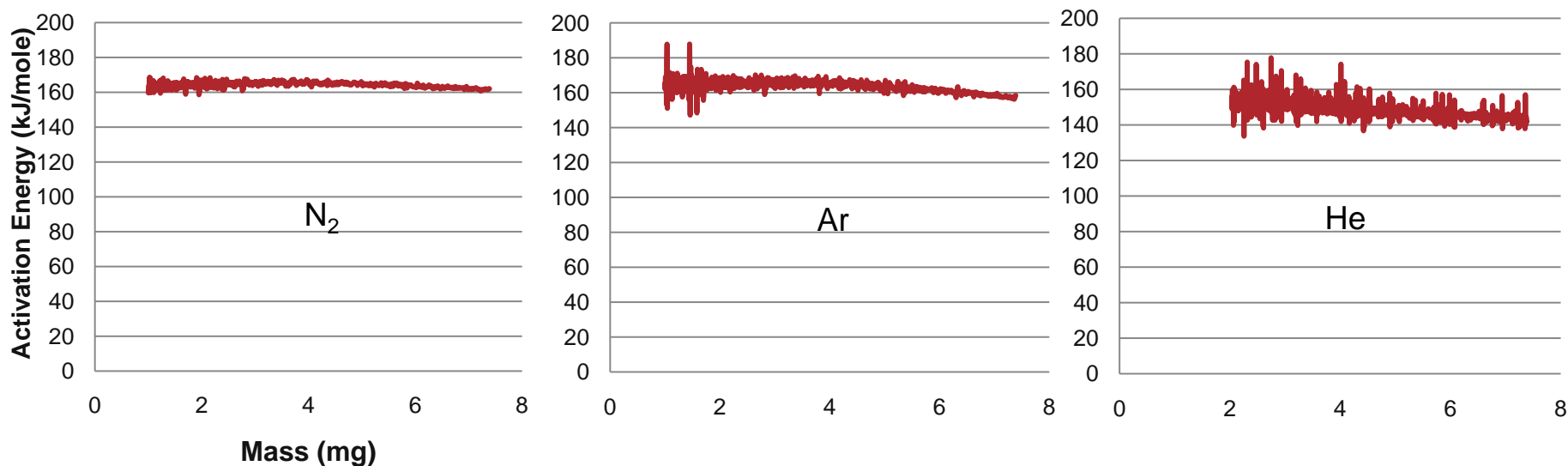
## ■ ❖ Iso-Conversional method (Vyazovkin)

- $g(\alpha) = \frac{A}{\beta} \frac{E_a}{R} \cdot p(x)$
- Used different heating rates,  $\beta_i$  ( $i = 1, \dots, n$ )
- Approximation:  $p(x) = \frac{e^{-x}}{x} \frac{x^2 + 10x + 18}{x^3 + 12x^2 + 36x + 24}$ , *where  $x = \frac{E_a}{RT}$*

## ❖ Differential method (proposed)

- $\frac{d\alpha}{dt} = A \exp(-\frac{E_a}{RT}) \cdot (1 - \alpha)^n$ , *where  $f(\alpha) = (1 - \alpha)^n$*
- $\log(\frac{d\alpha}{dt}) - n \log(1 - \alpha) = -\frac{E_a}{RT} + \log A$
- $E_a$  and  $A$  can be found by plotting left-hand terms vs.  $1/T$  with a variation of hypothesized  $n$ .

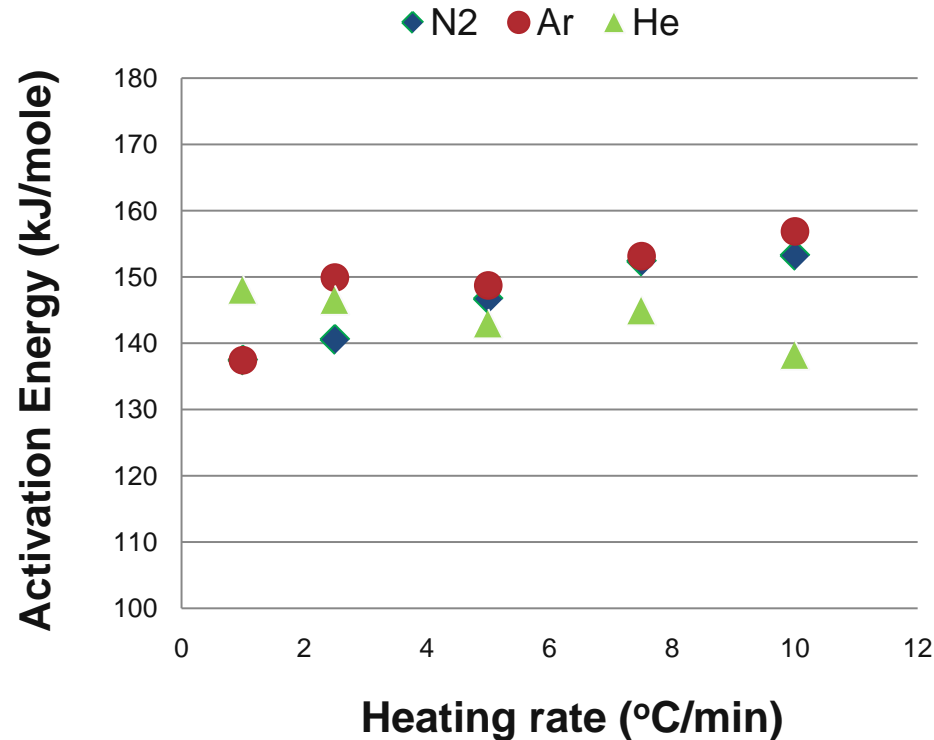
# *Isothermal Method gives different activation energies depending on inert gases*



- Each data set was evaluated for three different isothermal conditions: 500, 550, and 600 °C.
- Average activation energy: 164.5 (N<sub>2</sub>), 163.9 (Ar), and 149.5 kJ/mole (He)

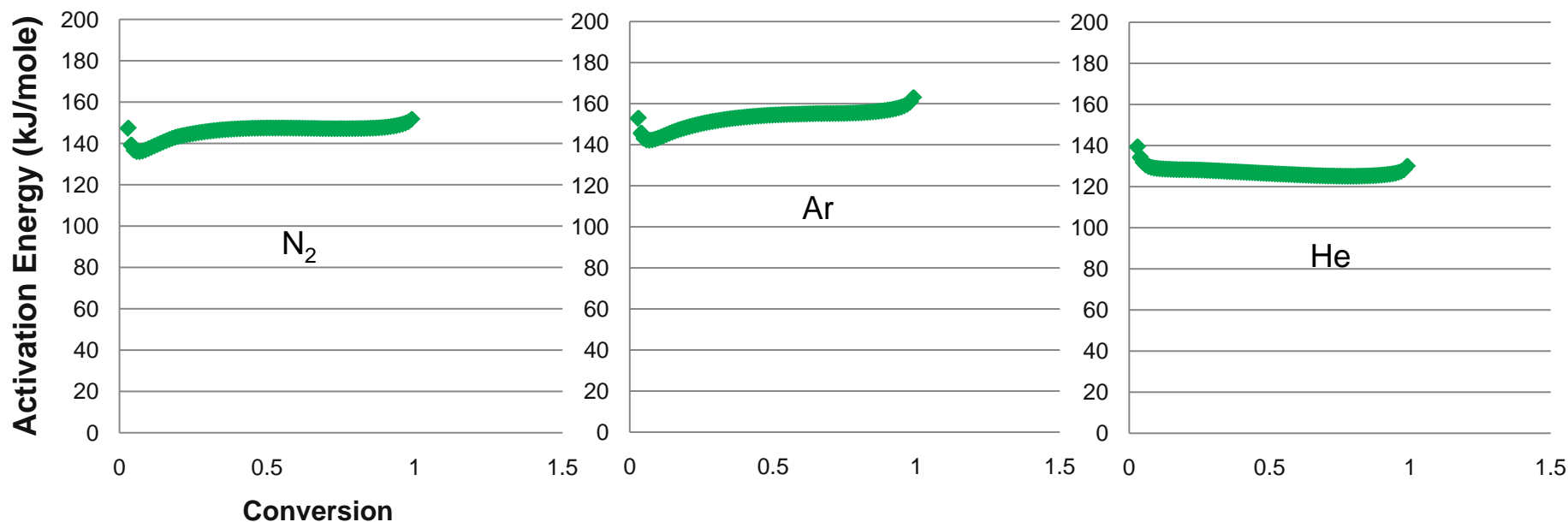


# *Integral Method evaluated activation energies quite significantly changing with heating rate (Non-isothermal)*



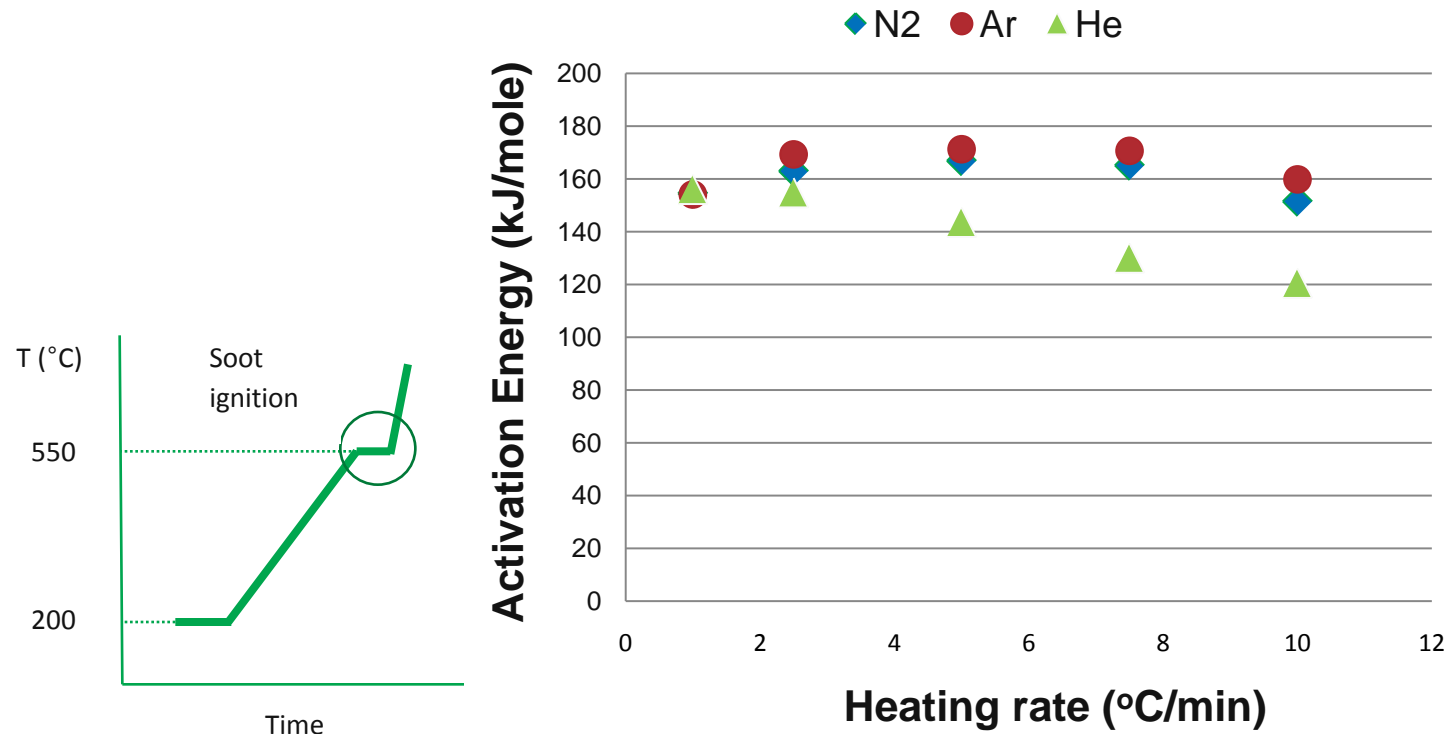
- Activation energy appears to be sensitive to the heating rate for all three inert gas-air mixtures.
- Data for N<sub>2</sub> and Ar show almost identical values.

# *Iso-Conversional Method evaluated the activation energies depending on inert gas*



■ Average activation energy: 145.7 (N<sub>2</sub>), 152.8 (Ar), and 127 kJ/mole (He)

# *Differential Method gives a single value of activation energy suitable for regeneration in DPF systems*



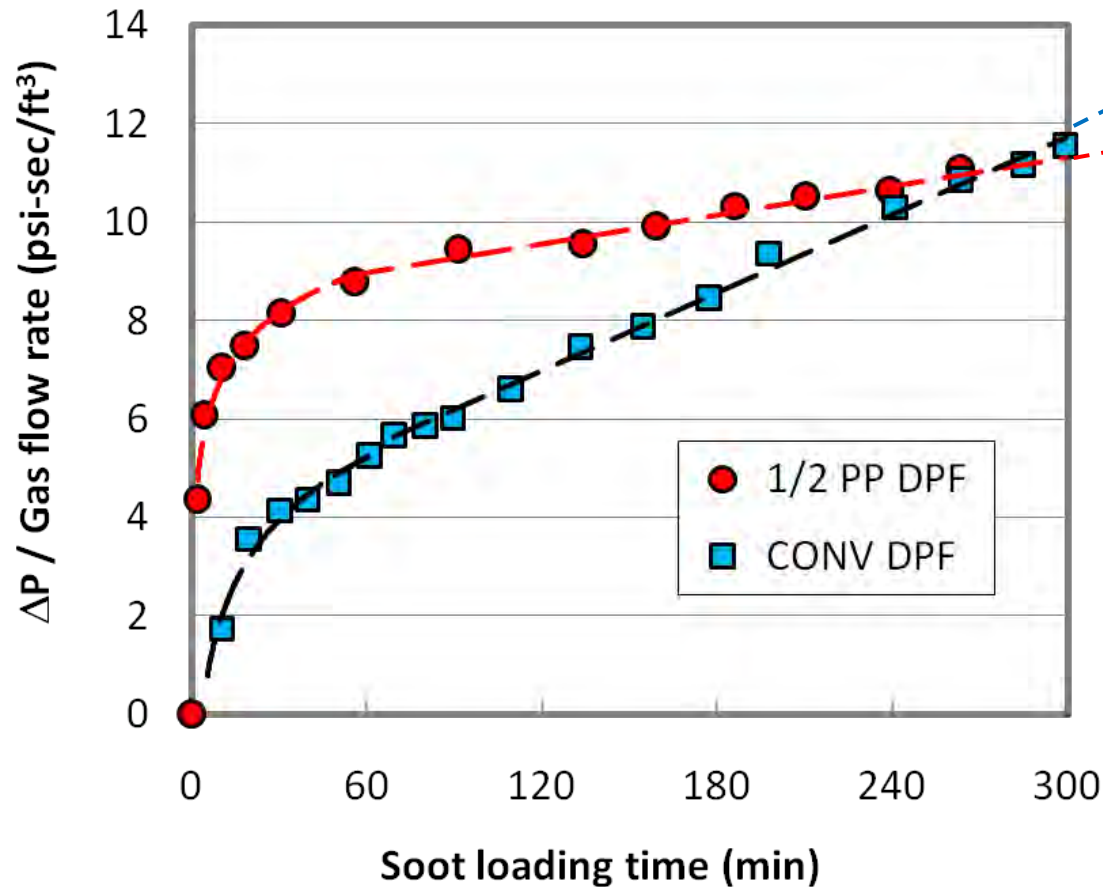
- Relatively even distributions with heating rate were evaluated for N<sub>2</sub> and Ar.
- Activation energy converges at the lowest heating rate, regardless of inert gas (155 kJ/mole).

# Summary of activation energy values evaluated by different analytic methodologies

| Inert gas          |                      | <b>N<sub>2</sub></b><br>(kJ/mole) | <b>Ar</b><br>(kJ/mole) | <b>He</b><br>(kJ/mole) |
|--------------------|----------------------|-----------------------------------|------------------------|------------------------|
| Methodology        |                      |                                   |                        |                        |
| Isothermal         |                      | 164.5                             | 163.9                  | 149.5                  |
| Non-<br>isothermal | Integral             | 138 – 155                         | ←<br>(Approx. same)    | 138 – 148              |
|                    | Iso-<br>conversional | 145.7                             | 152.8                  | 127                    |
|                    | Differential         | 155 – 170<br>(Avg. $\cong$ 160)   | ←<br>(Approx. same)    | 120 – 155              |

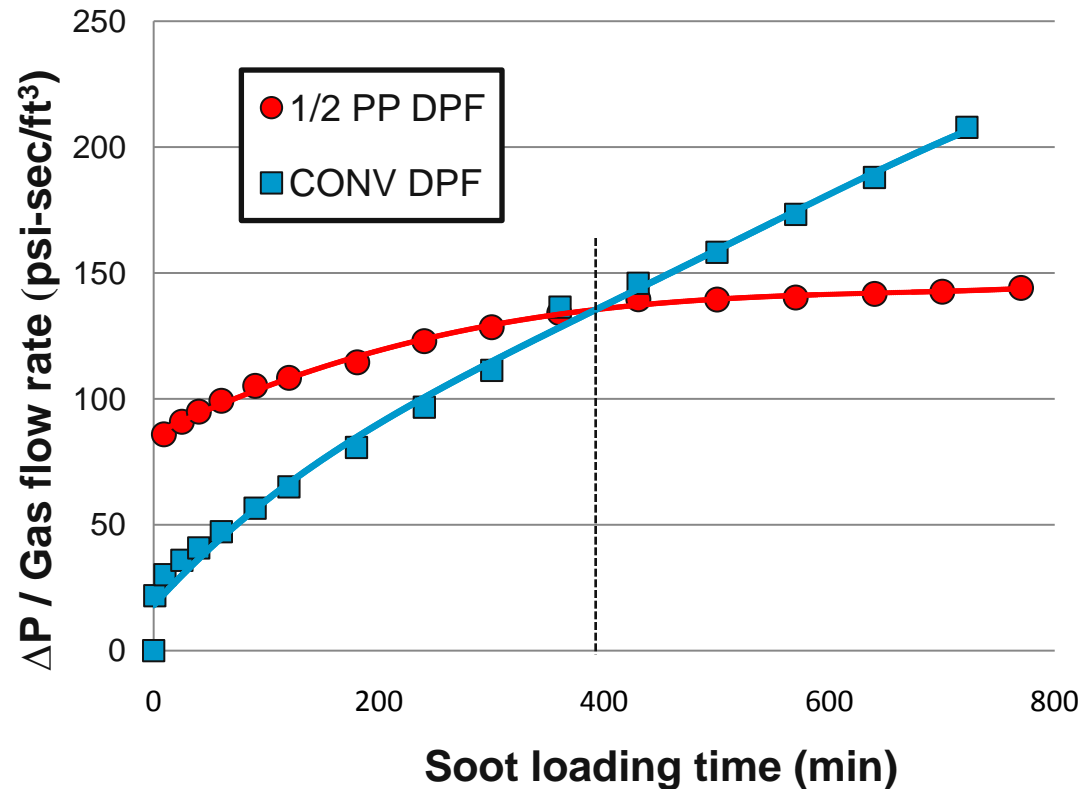
- Comparable molecular weights between N<sub>2</sub> (14) and Ar (18)
- Comparable mass diffusivity and thermal diffusivity between N<sub>2</sub> and Ar

# *Modified DPF membrane showed an improvement of pressure drop in a short soot loading period*



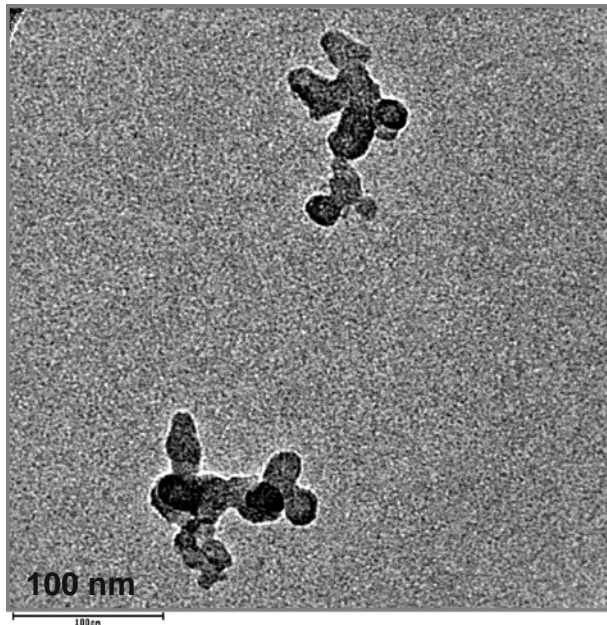


# *Extended soot loading revealed an apparent reduction of back pressure in modified membranes*

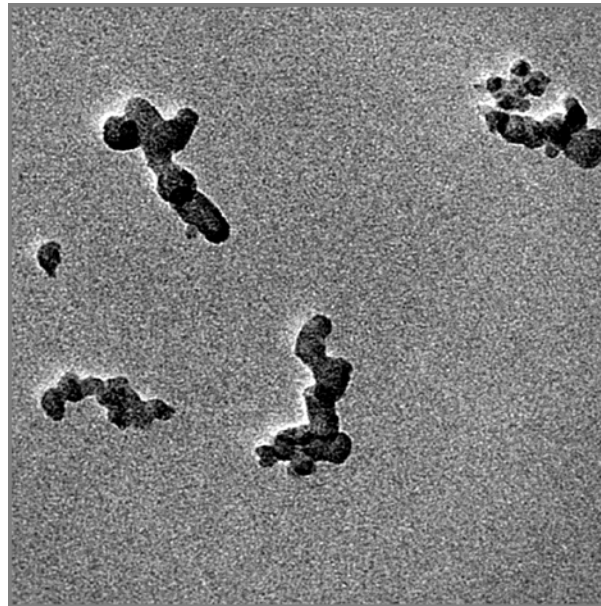


- A heavy-duty single cylinder has been used for soot loading.
- The modified filter allows a lower back pressure during more than 90% time period of a regeneration cycle.

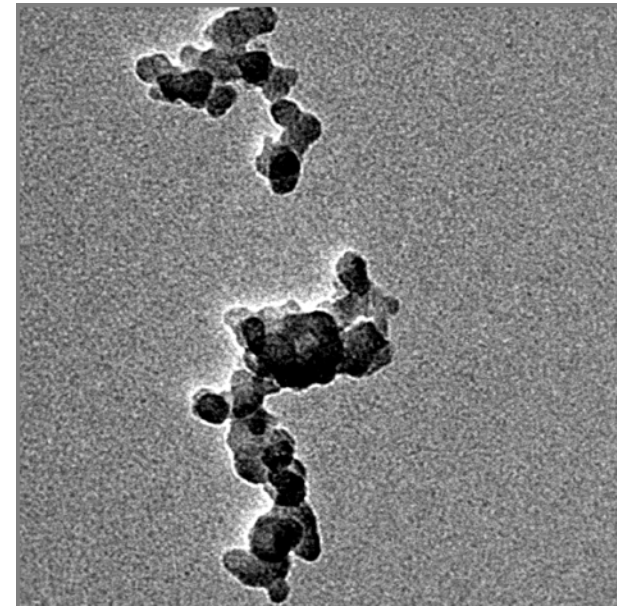
## *Particulates from LTC with bio fuels showed considerably different morphology*



Soy bean B20



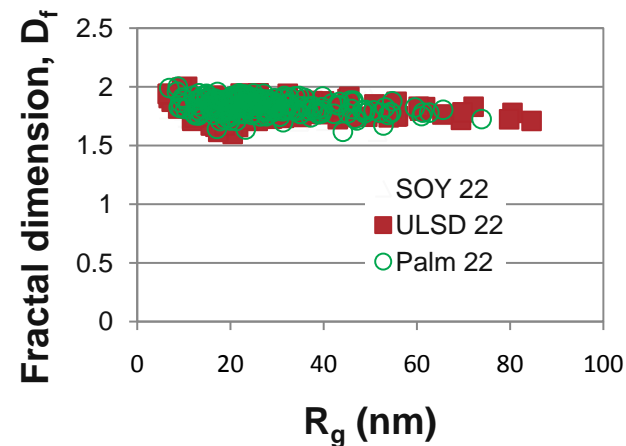
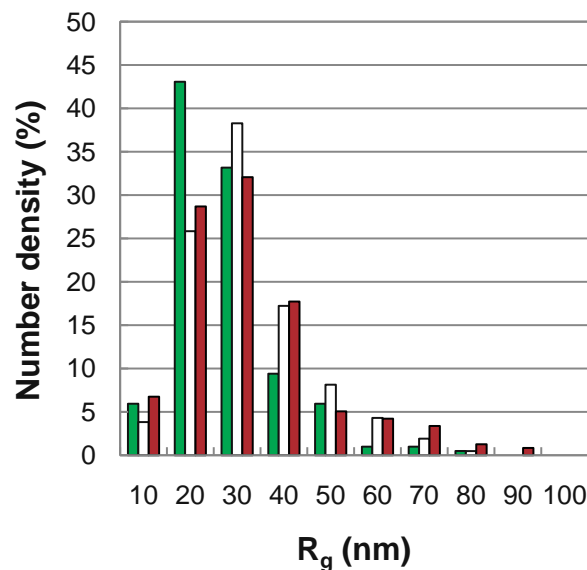
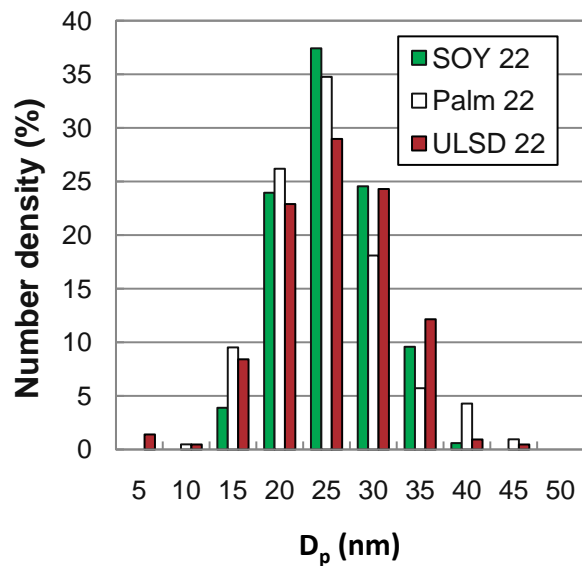
Palm oil B20



ULSD

- 1.9 L diesel; 2000 rpm/5.5 bar IMEP; 67% EGR; 9.5% O<sub>2</sub> concentration; 22° BTDC fuel inj.
- Biofuel-derived particles showed smaller in size than those from the ULSD combustion.
- These LTC-derived particles looked more spherical in shape and amorphous in nano-structures than those from conventional stoichiometric diesel combustion.
- This work has been conducted in collaboration with ERC at University of Wisconsin.

# Detailed TEM image analysis revealed fuel-dependence of particulate dimensions in LTC



| Dimensions      | Soy bean B20 | Palm oil B20 | ULSD |
|-----------------|--------------|--------------|------|
| Avg. $D_p$ (nm) | 23.2         | 22.2         | 23.0 |
| Avg. $R_g$ (nm) | 22.9         | 27.4         | 27.5 |
| $D_f$           | 1.84         | 1.83         | 1.82 |

# Future Work

## ■ FY11

- Evaluate PM filtration efficiencies at various engine operating conditions.
- Conduct regeneration experiments.
  - *Use catalyst-coated membranes.*
  - *Provide optical images of thermal reaction in regeneration.*
- Perform thermogravimetric experiments with various flue gases ( $\text{NO}_x$ , HC, CO,  $\text{CO}_2$ ,  $\text{H}_2\text{O}$ ) to evaluate oxidation rates, kinetic parameters, and heat release.

- This work will be continued under a new CRADA contract in collaboration with Corning, Inc.

# Summary

- The regeneration system has been completed to fabricate by adding the electric heating system.
- Catalytic coating on DPF membranes changed pore structures and increased back pressure up to a factor of two (2).
- Activation energy turned out to be sensitive to the inert gas and heating rate.
- The proposed Differential Method accurately evaluated an activation energy (155 kJ/mole), which is independent of the inert gas.
- The modified DPF membrane significantly reduced back pressure for the majority of filtration period.
- The use of biofuels for LTC significantly changed particulate morphology, in terms of nano-structures, size (particularly by soy bean fuel), and fractal geometry, compared to those from the conventional diesel combustion.



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