

# Cost-Effective Fabrication Routes for the Production of Quantum Well Type Structures and Recovery of Waste Heat from Heavy Duty Trucks

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United Technologies Research Center

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U.S. Department of Energy  
**Energy Efficiency and Renewable Energy**



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# Outline

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- Department of Energy (DoE) Waste Heat Recovery Programs
- Program overview of UTRC-led team
- Thermoelectric Generator Design (Phase I)
- Progress-to-date (Phase II):
  - Thermal-to-Electric Conversion Efficiency Rig
  - Fabrication of Quantum Well (QW) Couples for Efficiency Testing
  - Fabrication of Heterogeneous Nanocomposites

# DOE Waste Heat Recovery & Utilization Program

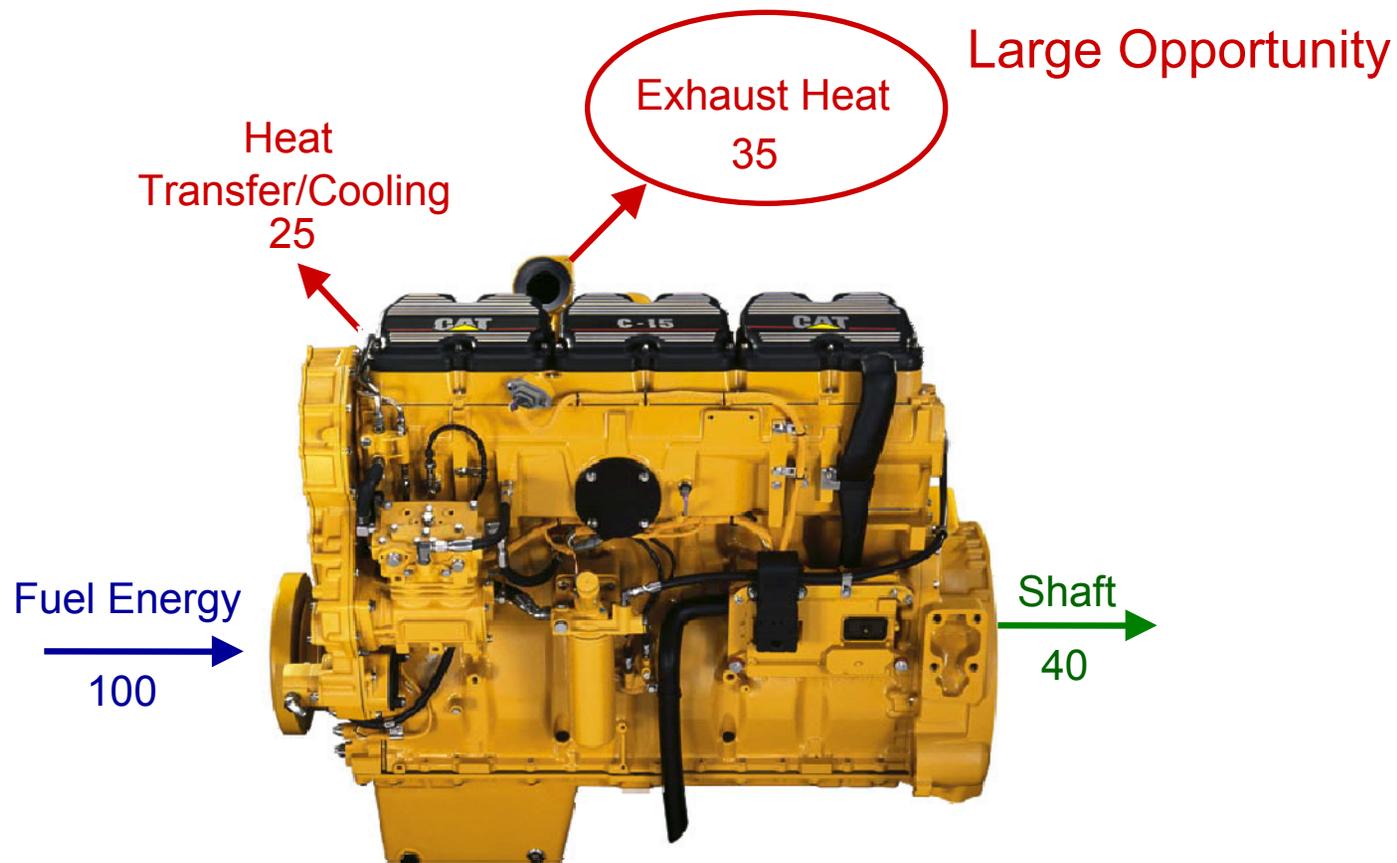
## *Program Overview*

- Four-phase program focused on the development of thermoelectric (TE) materials, devices and systems for waste heat recovery from automotive exhaust
- Team is currently in Phase II of IV
- Specific focus is on QW film technology for Class 8 on-highway trucks
- Exploration of alternative deposition routes to reduce cost
- Exploration of alternative form factors (bulk nanocomposites) to reduce cost



# Motivation for Waste Heat Recovery from Diesel Engines

*Large opportunity to improve fuel economy and engine efficiency*



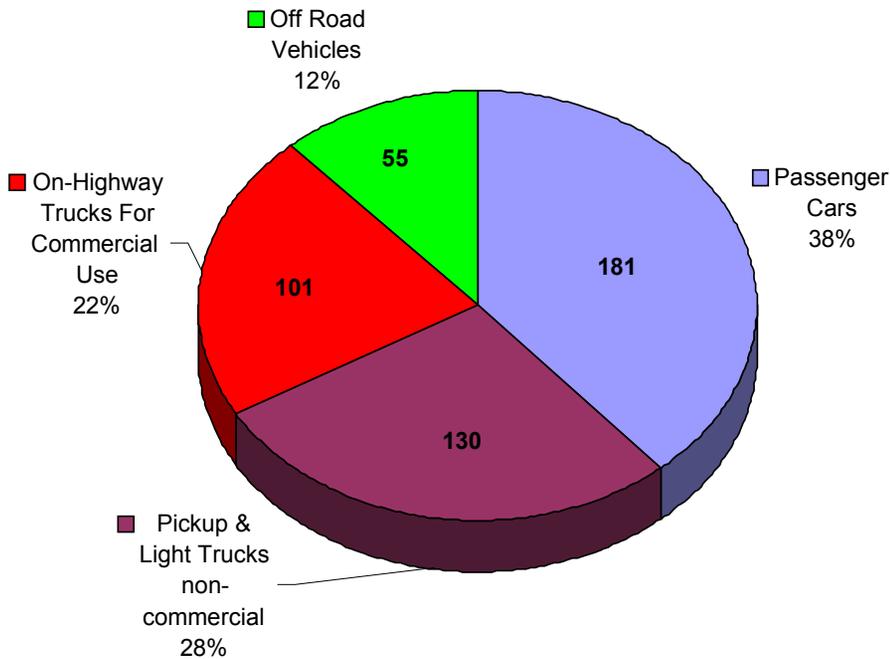
Class 8 Truck Engine Energy Audit

# Motivation for Waste Heat Recovery from Diesel Engines

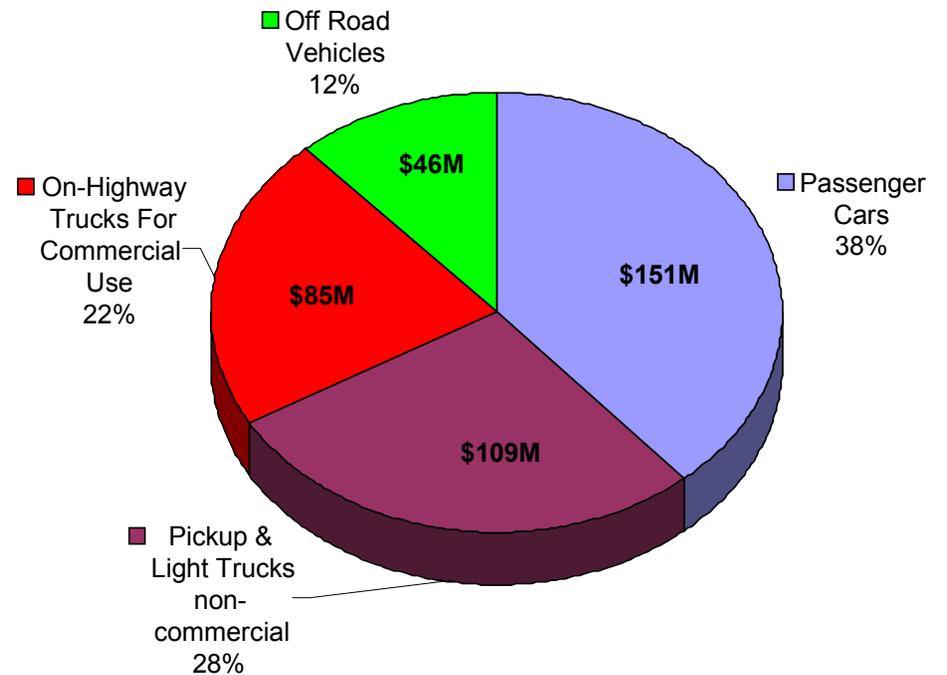
*Large opportunity to improve fuel economy with early payback*

## Daily Fuel Consumption and Potential Opportunity

**Million Gallons per day**



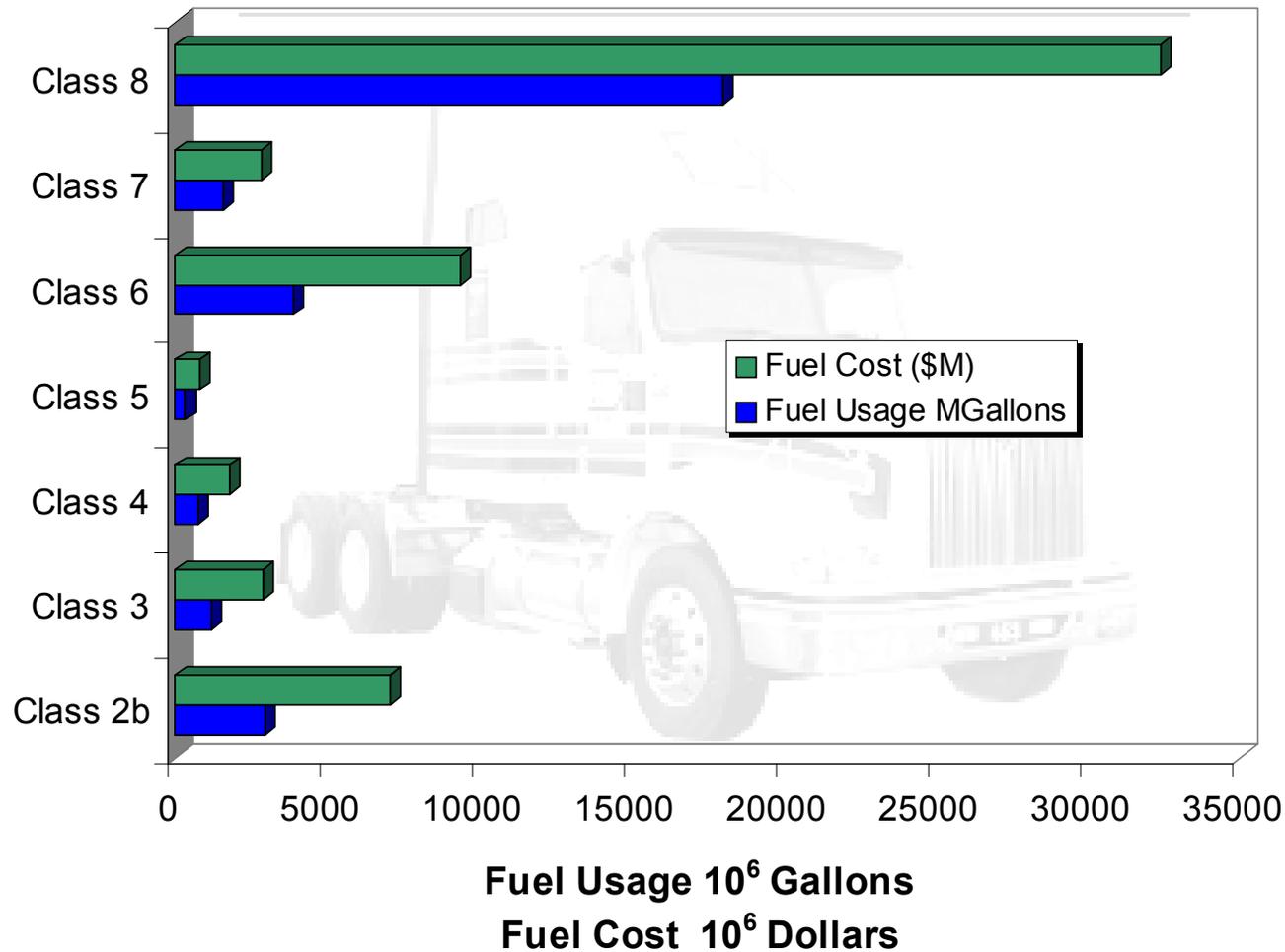
**\$M per day**



35% waste heat amounts to

# Motivation for Waste Heat Recovery from Diesel Engines

*Class-8 diesel trucks represent significant portion of fuel consumed*



# Caterpillar's MorElectric Truck Platform

## Effective "Decoupling" of Essential Power Systems from Engine Gear-Drive

### Modular HVAC

Variable speed compressor more efficient and serviceable  
3X more reliable compressor-no belts, no valves no hoses leak-proof refrigerant, instant electric heat



### Shore Power and inverter

Supplies DC Bus Voltage from 120/240 VAC 50/60 Hz Input and Supplies 120 VAC outlets from battery or generator power



### Down Converter

12 V Battery from DC Bus



### Thermoelectric Generator (TEG)



### Starter/Generator Motor

Belt less engine, product differentiation, improve systems design flexibility, more efficient & reliable accessories



### Auxiliary Power Unit

Supplies DC Bus Voltage when engine is not running, fulfills hotel loads without main engine overnight



### Electric Water Pump

Higher reliability, variable speed, faster warm up, less white smoke, lower cold weather emissions



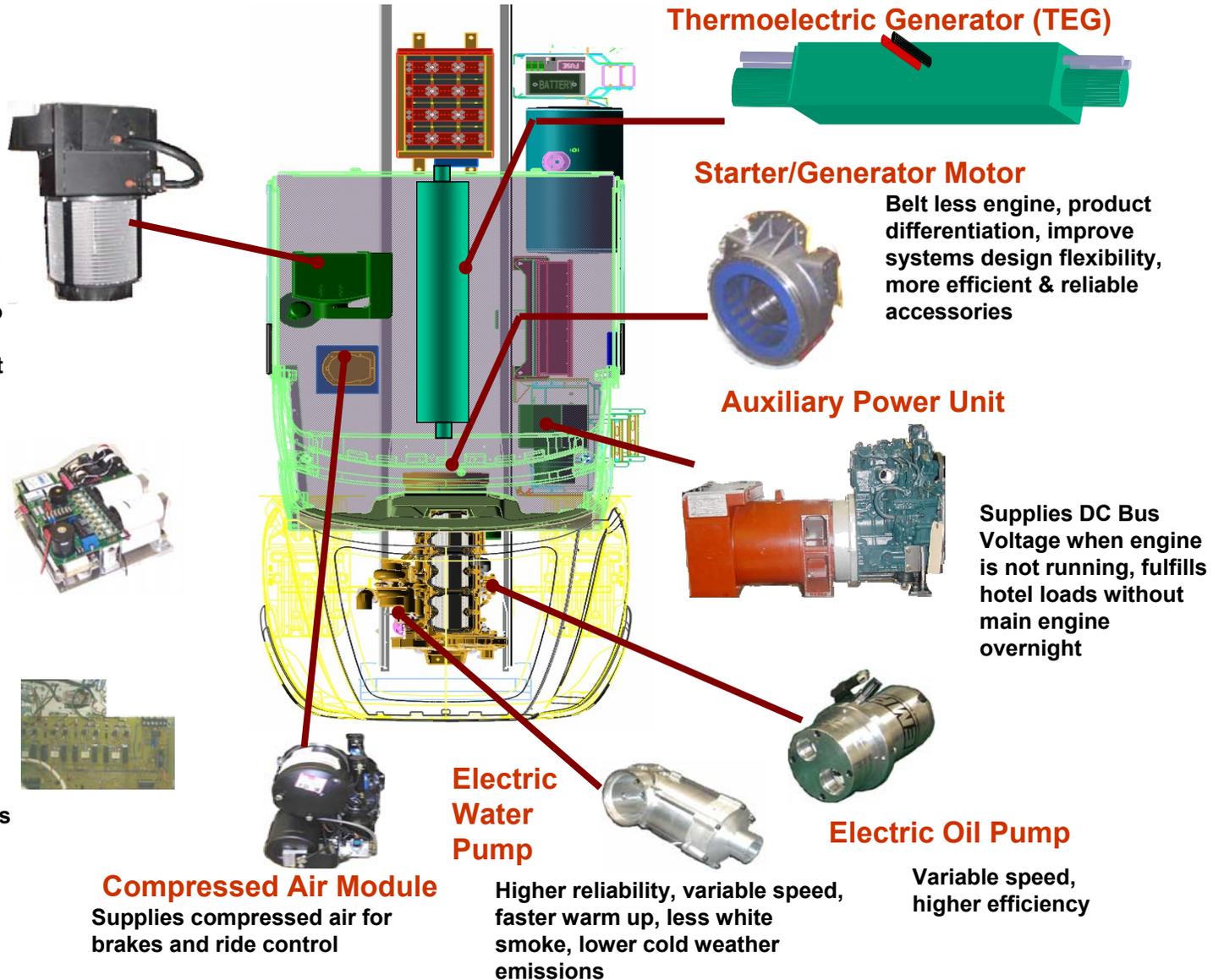
### Electric Oil Pump

Variable speed, higher efficiency



### Compressed Air Module

Supplies compressed air for brakes and ride control



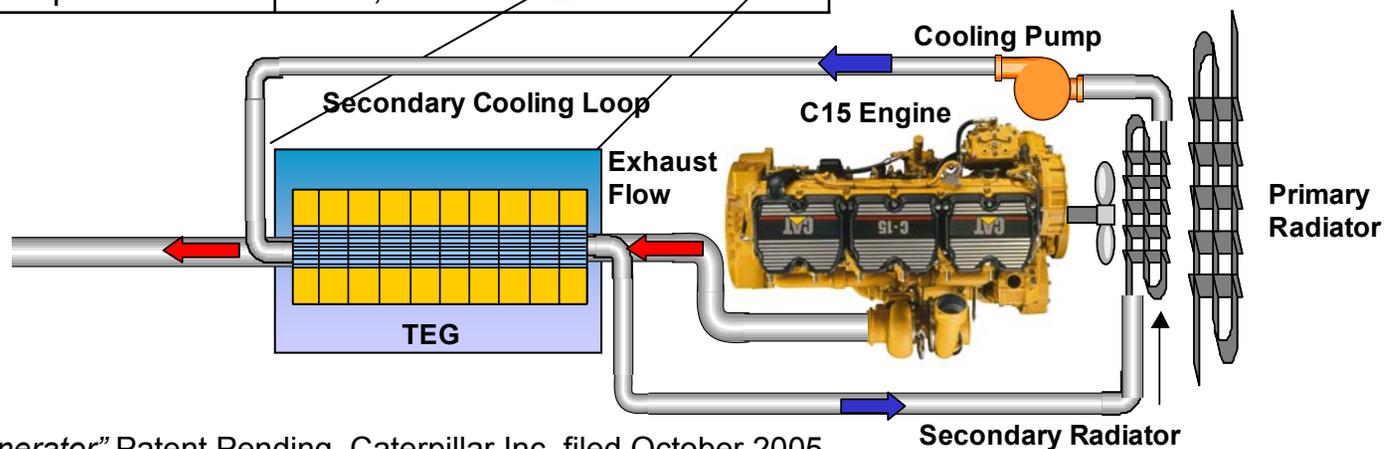
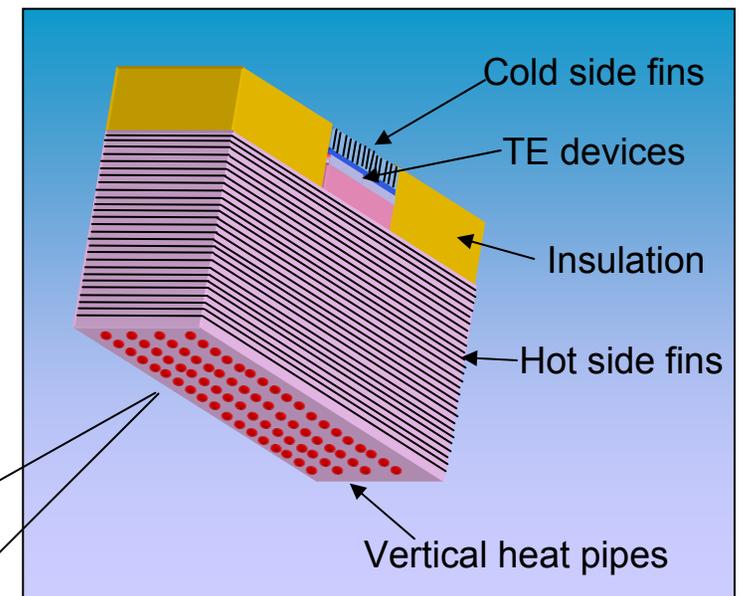
# Thermoelectric Generator (TEG) Design

*TEG heat exchanger design determined as part of Phase I of program*

## KEY FEATURES

TEG location	Under the truck chassis
Exhaust temperature	420°C, direct to TEG
Heat Exchange Design	10-stage counterflow
Hot side delivery	Vertical heat pipes
Cold side rejection	Ethylene glycol / water coolant
TEG cold side temperature	50C, dedicated cooling loop
$\Delta T$ across TE Device	265°C
Load design point	62%
Drive Cycle	Cruise
Thermal Power Extracted	67 kWatts (34% of heat flow out)
Conversion Efficiency	17%
TEG power output	12 kW
Fuel Economy Improvement	4.4%, 6% with MET

Thermoelectric Heat Exchanger



"Thermoelectric Generator" Patent Pending, Caterpillar Inc, filed October 2005

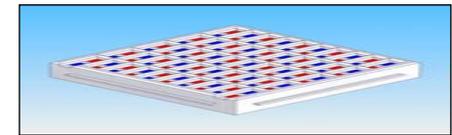
# Thermoelectric Device Design

*TEG device design determined as part of Phase I of program*

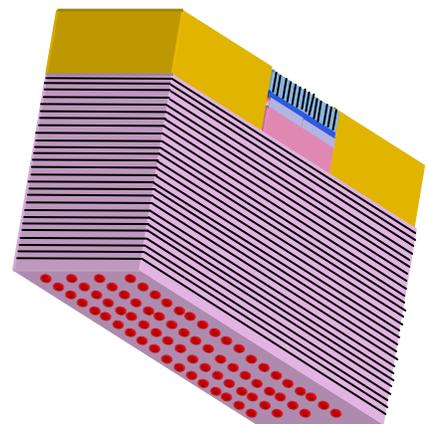
## KEY FEATURES

TE Material Form(s)	Up to 350C, QW films > 350C, QW nanocomposites
TE Material Composition(s)	Silicon Germanium; Boron Carbide
Substrate Material (if film)	Kapton™
Heat Flux through Device	25 W/cm <sup>2</sup>
Specific Power (W/g)	~1.0 (Films), ~3.0 (Nanocomposites)

TE Device (QW Nanocomposite)



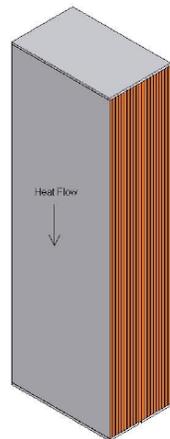
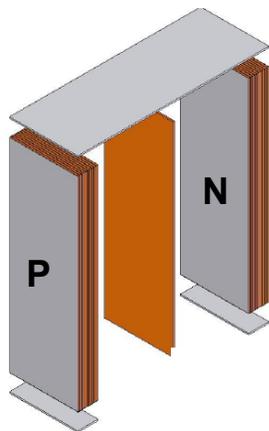
TE Heat Exchanger



QW Film P-N Couple

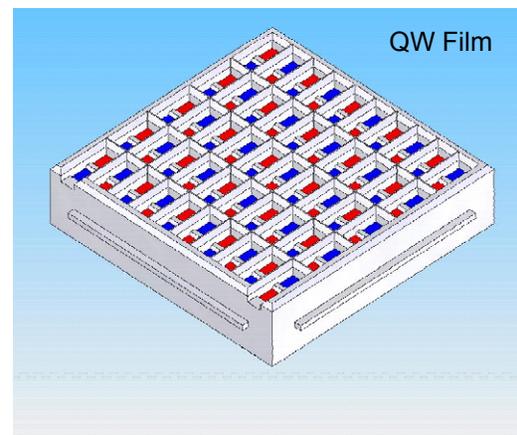
*Exploded View*

*Collapsed View*



1.5 cm x 0.5 cm x 0.3 cm

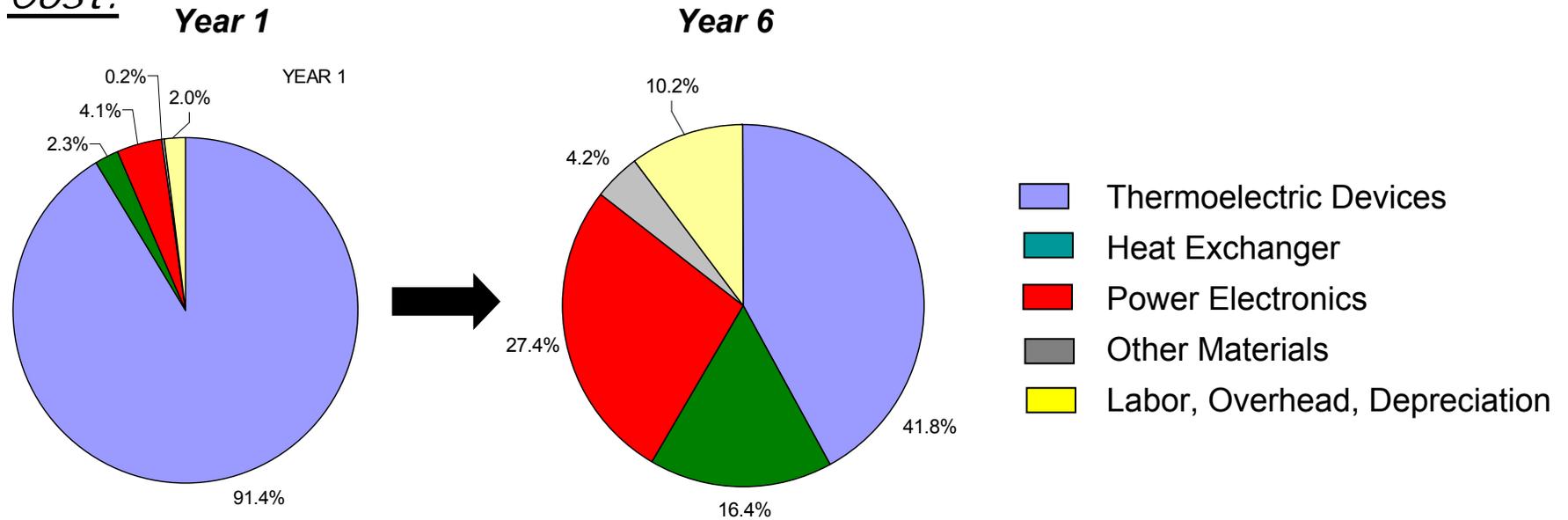
TE Device (QW Film)



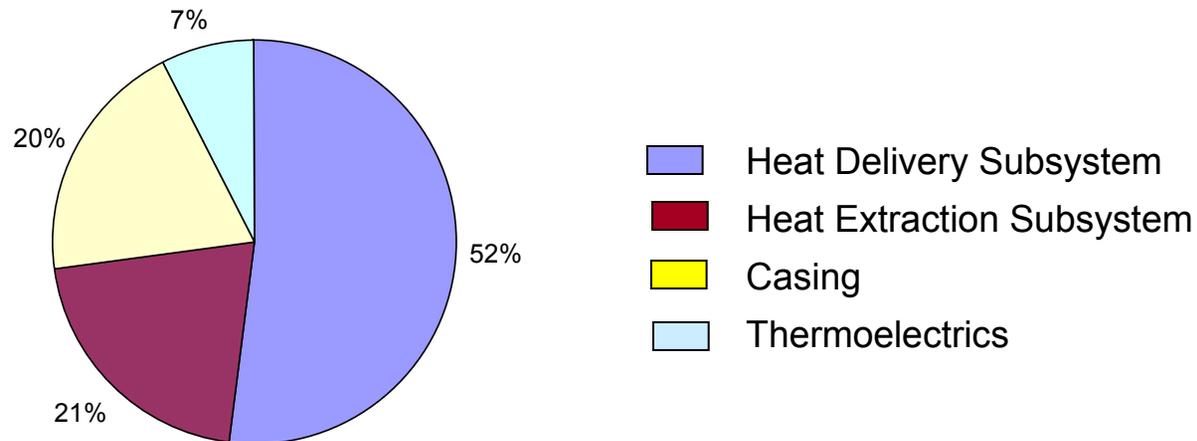
# Cost and Weight Breakdowns

*TE devices constitute the largest cost of the TEG system; Heat delivery system constitutes the largest weight*

Cost:



Weight:

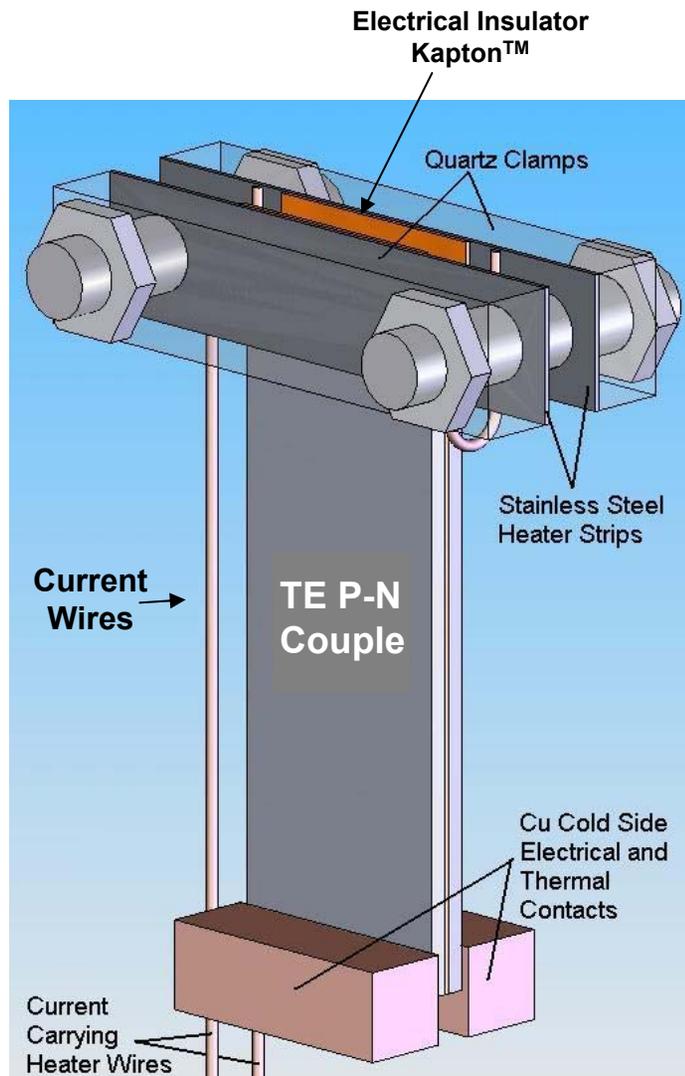


## Phase II Objectives

- ❖
- ❖
- ❖ Determine thermal stability of the fabricated QW- type structures
- ❖ Identify and overcome key risks associated with the integration of thermoelectric materials into a subsystem level device
- ❖ Define requirements and design the TE device – TEG interface
- ❖ Develop a technical path for fabricating a prototype subsystem level heat management unit

# Thermal-to-Electric Conversion Efficiency Rig

## *Inner wire heater design*



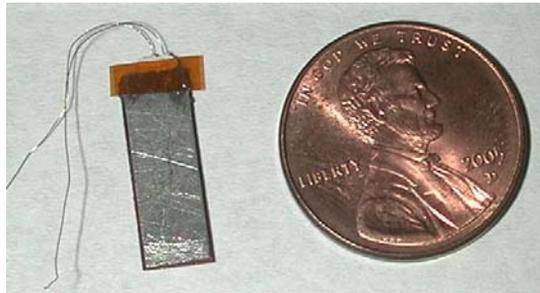
### ***Inner Wire Heater Design***

- Easy to assemble / reassemble
- Heat load applied directly to sample hot side, minimizing heat losses
- Heat losses can be predicted and accounted for
- Heater compressed against sample, aiding in thermal contact and transfer
- Minimizes electrical and thermal contact resistance

# Thermal-to-Electric Conversion Efficiency Rig

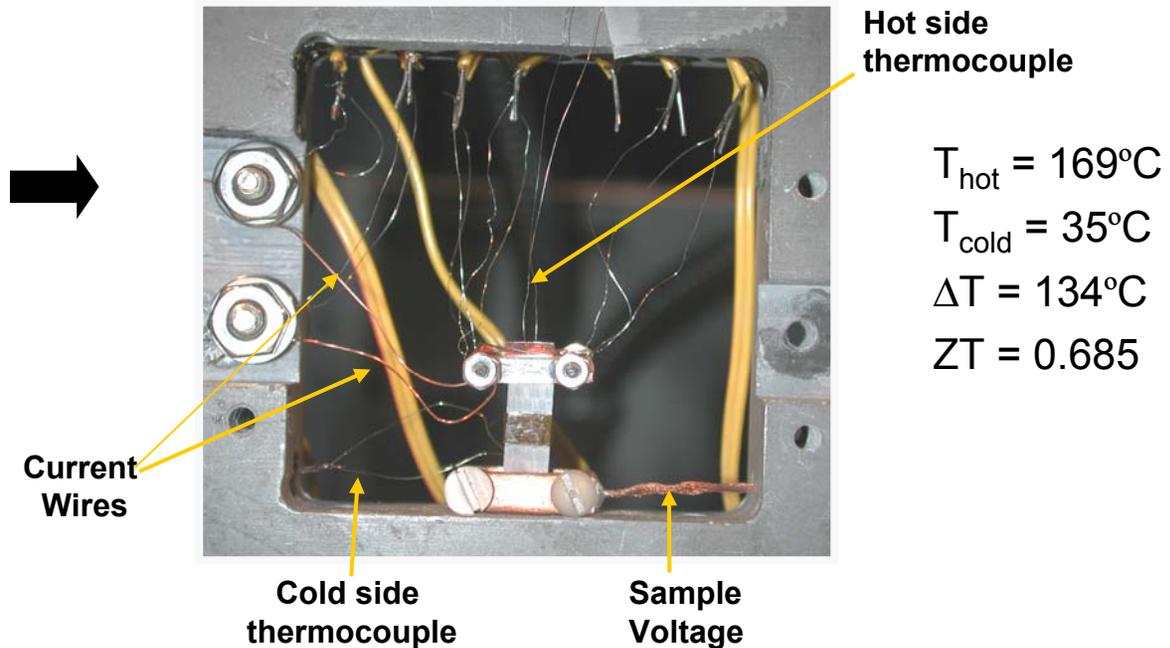
*Baseline testing using bulk  $\text{Bi}_2\text{Te}_3$  gives expected values for  $S$ ,  $\rho$  and  $\eta$*

**Baseline  $\text{Bi}_2\text{Te}_3$  Sample**



Sample Dimensions  
15 x 5 x 5 mm<sup>3</sup>

**Conversion Efficiency Rig**



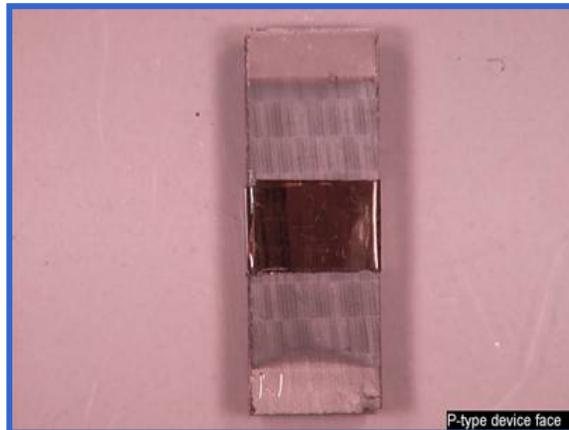
**Baseline Test Results for P-N Couple**

		Literature	Experimental	Percent Difference
Conversion Efficiency	$\eta$ (%)	4.6	4.5	2.2
Seebeck Coefficient	$S$ ( $\mu\text{V}/\text{K}$ )	365	328	10.7
Electrical Resistivity	$\rho$ (mohm-cm)	1.33	1.30	1.9

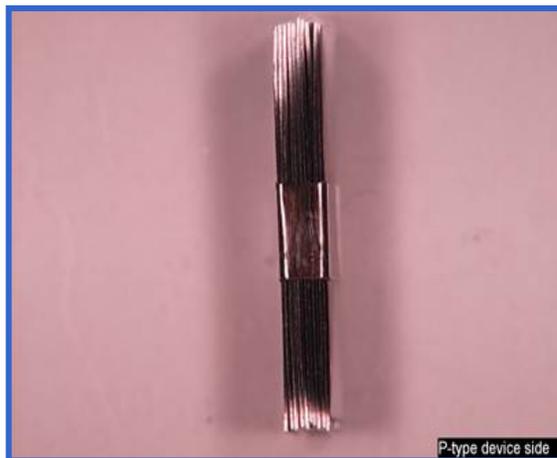
# Fabrication of QW film couple for testing

*10 segments of each P and N make up the TE couple*

Face view



Side view



## **QW Film P-N Couple**

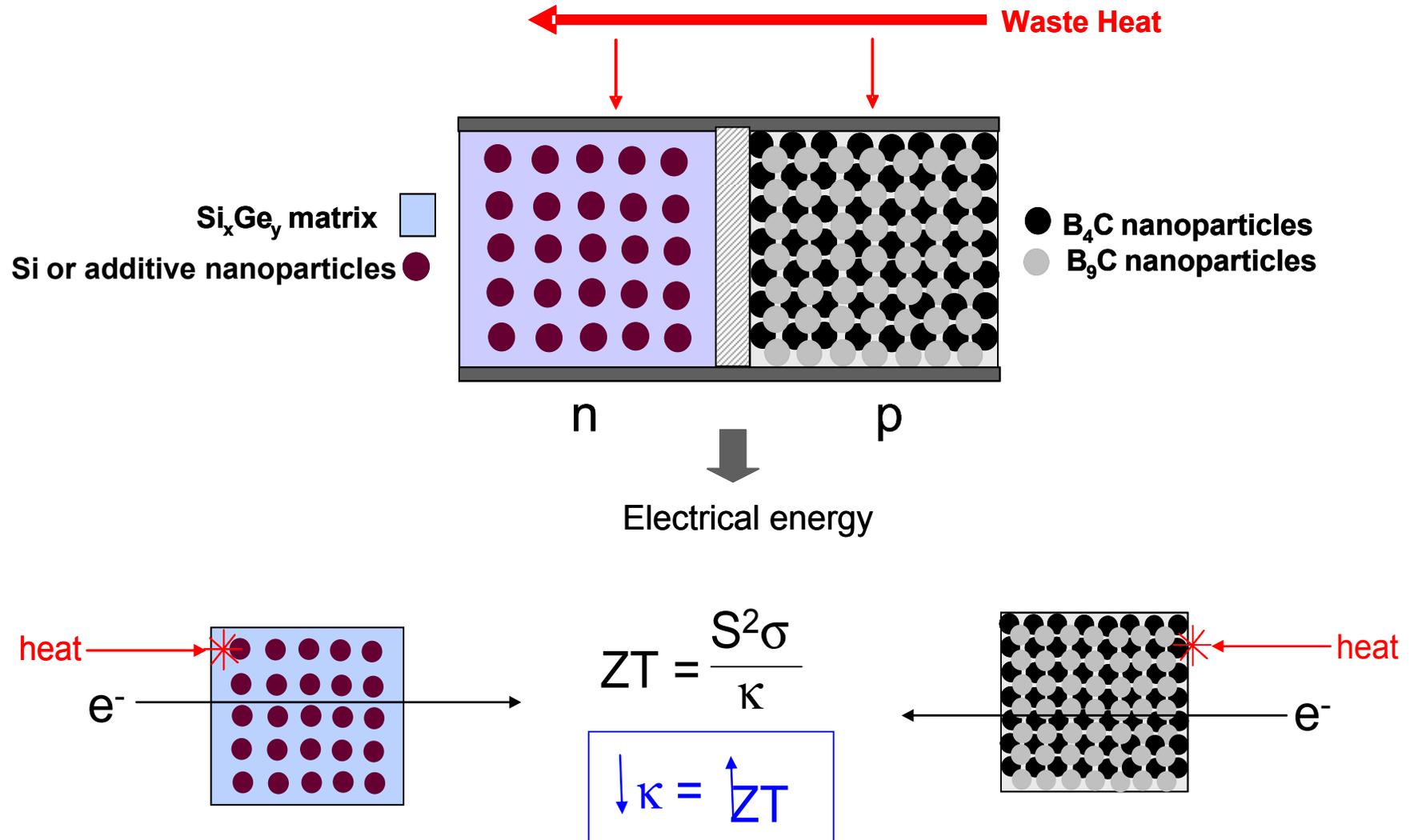
- P and N films deposited on Kapton™
- Deposition area 1.25" x 1.25"
- 12 microns thick
- Deposited film laser sectioned into 12 smaller segments: 1.5 x 0.5 cm<sup>2</sup>
- 10 segments of each P and N film stacked to form the couple
- Films masked and contacts made via magnetron sputtering (Mo, Ag)
- Kapton spacer placed between P and N stack

## **Status To Date**

- First QW film couple built / Testing underway
- Two additional QW film couples being built for test

# Fabrication of Heterogeneous Nanocomposites

## Material Approach



# Fabrication of Heterogeneous Nanocomposites

## *N-type Silicon Germanium*

### Fabrication Approach

2 fabrication approaches being pursued in parallel:

1. Mechanical mixing of Si nanoparticles into coarse  $\text{Si}_{0.8}\text{Ge}_{0.2}$  powder matrix  
→  $\text{Si}/\text{Si}_{0.8}\text{Ge}_{0.2}$
2. Precipitation of insoluble additive phase from molten mixture of silicon and germanium  
→  $\text{X}/\text{Si}_{0.8}\text{Ge}_{0.2}$

### Status to Date

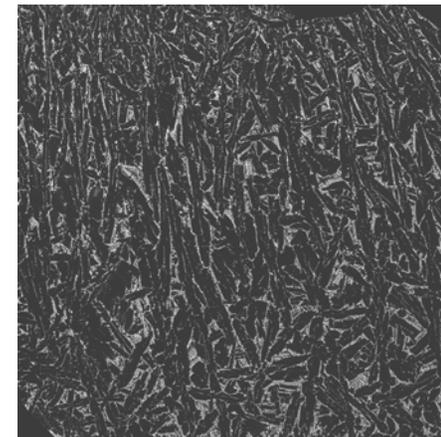
- Mixing technique optimized to give high dispersion of nanoparticle phase (> 90%) into coarse powder
- Phase precipitation of select additives observed, however, at micron scale
- Initial ZT testing underway

(1)



Compacted mixture of  
 $\text{Si} / \text{Si}_{0.8}\text{Ge}_{0.2}$

(2)



Scanning Electron Micrograph  
of  $\text{X-Si}_{0.8}\text{Ge}_{0.2}$  Composite

# Fabrication of Heterogeneous Nanocomposites

## *P-type Boron Carbide*

### Fabrication Approach

2-step process developed:

1. Thermal decomposition of phenolic resin with amorphous boron creates nanostructured boron carbide; material ground and sieved prior to densification via hot pressing
2. Moderate crystal growth (still nanostructured) at higher temperatures during hot press compaction step



### Status to Date

- Several composite panels fabricated from various starting formulations targeting different product stoichiometries
- Nanostructured  $B_4C$ ,  $B_7C$  and  $B_9C$  formed
- Initial ZT testing underway



Nanostructured boron carbide



Ground / Sieved  
boron carbide powder



Hot pressed  
boron carbide panel

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# Fuel, Fuel Cost and Fuel Efficiency Savings

## Caterpillar On-Highway Truck

